

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SQUATRITO, DOMINIC J.	2. Court or Organization US DISTRICT COURT HARTFORD, CT	3. Date of Report 06/25/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTR. JUDGE-SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address US DISTRICT COURT 450 MAIN STREET HARTFORD, CT 06103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

- wages and pass through

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	People's United Bank & Connecticut Development Authority	Construction mortgage, equipment and revolving credit [REDACTED]	P1
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Contra Fund - mutual fund	A	Dividend	J	T					
2. Bank of America - checking account	A	Interest	K	T					
3. First Bank Niagara - checking account	B	Interest			Sold	2/1/12	M		
4. Lincoln Financial - checking	B	Interest	L	T	Buy	2/1/12	M		
5. [REDACTED] - common stock	G	Int./Div.	P1	U					
6. Land, Windham, CT		None	K	W					
7. Cossombrato, Italy - Real Estate		None	M	W					
8. Rental Property, South Kingston, RI	A	Rent	O	W					
9. [REDACTED] South Windsor, CT	G	Rent	P2						
10. Pfizer, Inc. - common stock	A	Dividend	J	T					
11. Sysco Corp - common stock	A	Dividend	J	T					
12. Manulife (John Hancock) Lifestyle Conserve Fund (401K)		None	M	T					
13. Dodge & Cox Intl Stock Fund - common stock	A	Dividend			Sold	05/11/12	K		
14. Praxair, Inc. - common stock	A	Dividend	J	T					
15. Vanguard High Yield Corporate Fund	B	Dividend	K	T					
16. [REDACTED] Property, South Kingston, RI		None	O	W					
17. Coventry, CT Real Estate		None	J	W					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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18. Apache Corp Com - common stock	A	Dividend			Sold	5/11/12	J		
19. Weatherford - common stock		None			Sold	5/11/12	J		
20. JP Morgan Chase & Co. - common stock	A	Dividend			Sold	5/11/12	J		
21. Int'l Business Machines Corp- common stock	A	Dividend	K	T					
22. Ecolab Inc - common stock	A	Dividend	K	T					
23. Fidelity CT Municipal Income Fund	C	Dividend	M	T					
24. Abbott Labs Inc. - common stock	A	Dividend	K	T					
25. Teva Pharmaceutical Inds Ltd ADR	A	Dividend			Sold	5/11/12	J		
26. Danaher Corp - common stock	A	Dividend	K	T					
27. United Technologies Corp - common stock	A	Dividend	K	T					
28. Cisco Systems Inc - common stock	A	Dividend			Sold	5/11/12	J		
29. Telefonica De Espana A Sponsored ADR	B	Dividend			Sold	5/11/12	J		
30. Plymouth CT 2.000%	A	Int./Div.			Redeemed	5/15/12	K		
31. Griswold CT 3.125%	A	Int./Div.	K	T					
32. Connecticut ST 2.750%	A	Int./Div.	K	T					
33. Portland CT 3.500%	A	Int./Div.	K	T					
34. Derby CT 4.250%	B	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Deutsche CMO - bond	A	Int./Div.	J	T					
36. Chevron Texaco Corp - common stock	B	Dividend	K	T					
37. Corning - common stock	A	Dividend			Sold	5/11/12	J		
38. Cummins Inc. - common stock	A	Dividend	J	T					
39. Illinois Tool Works - common stock	A	Dividend	K	T					
40. ITC Holdings Corp - common stock	A	Dividend	K	T					
41. Metlife Inc - common stock	A	Dividend			Sold	5/11/12	J		
42. Pepsico Inc - common stock	A	Dividend	K	T					
43. Proctor & Gamble Company - common stock	A	Dividend	K	T					
44. Southern CO - common stock	B	Dividend	K	T					
45. Target Corp - common stock	A	Dividend	K	T					
46. Wells Fargo & Co New - common stock	A	Dividend	K	T					
47. Fidelity Adv Emerg Market-1	A	Dividend	K	T					
48. Seadrill Limited	C	Dividend	K	T					
49. Federated Govt Ultra DUR IS - mutual fund	A	Dividend	K	T					
50. Federated Ultra Short FD	A	Dividend	M	T					
51. Vanguard S/T Investment Grade FD-ADM-mutual fund	D	Dividend			Sold	1/6/12	M		

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52. Hartford CT 4.250%	B	Int./Div.	L	T					
53. Madison CT 3.0%	A	Int./Div.	K	T					
54. Newington CT 4.3%	B	Int./Div.	K	T					
55. Newtown CT 2.5%	A	Int./Div.	K	T					
56. Norwalk CT 4.000%	B	Int./Div.	L	T					
57. Gulf Breeze FL 4.5000%	B	Int./Div.	K	T					
58. EMC Corp - common stock		None	K	T					
59. South Cent CT WTR 3.5%	B	Int./Div.	L	T					
60. Royal Caribbean Cruises LTD	A	Dividend			Sold	5/11/12	J		
61. Apple - common stock		None	K	T					
62. Nextera Energy Inc. - common stock	A	Dividend	J	T					
63. T Rowe Price Intl Discovery	A	Dividend			Sold	6/12/12	K		
64. Johnson Controls Inc - common stock	A	Dividend			Sold	6/12/12	J		
65. William Cos Inc. - common stock	A	Dividend	K	T					
66. Thermo Fisher Scientific Inc. - common stock		None			Sold	6/12/12	J		
67. Honeywell International - common stock	A	Dividend	K	T					
68. Jacobs Engineering Group, Inc		None			Sold	6/12/12	J		

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69. Adobe Systems		None			Sold	2/1/12	J		
70. Goldman Sachs - common stock	A	Dividend			Sold	6/12/12	K		
71. T R Price Mid Cap Growth Fund - mutual fund		None			Sold	6/12/12	K		
72. Omnicom Group Com - common stock	A	Dividend	J	T					
73. Kimberly Clark - common stock	A	Dividend	J	T					
74. Middlefield CT, 1.750%	A	Int./Div.			Redeemed	9/12/12	K		
75. Ares Capital Corp - common stock	A	Dividend			Sold	5/12/12	J	A	
76. Baxter International Inc - common stock	A	Dividend			Sold	5/12/12	J		
77. Coca Cola Co Com - common stock	A	Dividend	J	T					
78. Exxon Mobil Corp - common stock	A	Dividend	J	T					
79. Scout International fund - common stock	A	Dividend			Sold	6/12/12	K		
80. T Rowe Price High Yield Fund - mutual fund	C	Dividend			Sold	5/11/12	K		
81. Abb LTD Spons ADR - common stock	A	Dividend			Sold	5/11/12	J		
82. Federated Gov Obl Tx	A	Dividend	K	T					
83. Litchfield CT 2.5%	B	Int./Div.	L	T					
84. Ford Motor - common stock	A	Dividend			Sold	5/11/12	K		
85. Direct CL A - common stock		None			Sold	6/1/12	J		

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86. Google - common stock		None			Sold	6/12/12	J		
87. Union Pac Corp - common stock	A	Dividend	J	T					
88. Disney Walt Co. - common stock	A	Dividend	K	T					
89. Connecticut ST HSG .300% 5/15/12	A	Int./Div.			Redeemed	5/15/12	K		
90. WPX Energy Inc - common stock		None	J	T	Spinoff (from line 65)	1/3/12	J		
91. Oppenheimer Senior Floating Rate Fund - mutual fund - Y									
92. Noble Corp - common stock - Y									
93. Autodesk- common stock - Y									
94. Staples - common stock - Y									
95. Research in Motion - common stock - Y									
96. freeport McMoran Copper and Gold- common stock - Y									
97. Bank of America - common stock - Y									
98. Dentspy Intl - common stock - Y									
99. DPL Inc - common stock- Y									
100. Fluor Corp - common stock - Y									
101. MDU Res Group - common stock - Y									
102. Morgan Stanley Dean Witter- common stock - Y									

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103. Nordstrom - common stock - Y									
104. Occidental Pete Corp - common stock - Y									
105. Coach - common stock - Y									
106. Hewlett Packard - common stock - Y									
107. Air Products - common stock - Y									
108. Cognizant Technology Solutions - common stock - Y									
109. Middlefield CT 1.950% - Y									
110. Oppenheimer Rochester Muni - Y									
111. New Alliance Bank Taxable Deposit - Y									
112.									
113.									
114.									
115.									
116.									
117.									
118.									
119.									

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120.									
121.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SQUATRITO, DOMINIC J.

Date of Report

06/25/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The following were disposed in full in 2011 and reported on 2011 report:

Oppenheimer Senior Floating Rate Fund -Y

Noble Corp - Y

Autodesk - Y

Staples - Y

Research in Motion - Y

Freeport McMoran Copper and Gold -Y

Bank of America -Y

Dentsply International -Y

DPL Inc -Y

Fluor Corp -Y

MDU Res Group -Y

Morgan Stanley Dean Witter- Y

Nordstrom -Y

Occidental Pete Corp -Y

Coach -Y

Hewlett Packard -Y

Air Products- Y

Cognizant Technology Solutions -Y

Middlefield CT, 1.950% -Y

Oppenheimer Rochester Muni -Y

New Alliance Bank Taxable Deposit - Y

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

SQUATRITO, DOMINIC J.

Date of Report

06/25/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DOMINIC J. SQUATRITO**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544