

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Strombom, Karen L.	2. Court or Organization District Court - Washington	3. Date of Report 05/4/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. Courthouse #3244 1717 Pacific Avenue Tacoma, WA 98402-3243		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Officer and Member of the Board of Directors	Federal Magistrate Judges Association
2. Note: I became an Officer and Member of the Board in July 2010	Federal Magistrate Judges Association
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1990	PERS II Retirement, State of Washington, pension at retirement
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Strombom, Karen L.

Date of Report

05/4/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

Self-employed building designer

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED1. Federal Magistrate Judges
AssociationFebruary 27 - March
2, 2011

Washington D.C.

Board Meeting

Transportation, meals, hotel

2. Federal Magistrate Judges
AssociationSeptember 13 - 16,
2011

Washington D.C.

Officers Meeting

Transportation, meals, hotel

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Strombom, Karen L.

Date of Report

05/4/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Strombom, Karen L.

Date of Report

05/4/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Judicial Retirement Account		None	L	T					
2. -Fidelity Growth					Sold	10/28/11	K		
3. -Active U.S. Core Stock Fund					Sold	10/28/11	K		
4. -US Large Cap Equity Fund					Buy	10/28/11	K		
5. -US Small Cap Equity Index Fund					Buy	10/28/11	K		
6. Oppenheimer Equity Fund (TSA)		None	K	T					
7. Jackson Ntl Life (IRA)	A	Interest	K	T					
8. Jackson Ntl Life (TSA)	A	Interest	K	T					
9. Strategic Portfolio Service Adv.(IRA)	C	Int./Div.	M	T					
10. -Ameriprise Insured Money Market									
11. -Oppenheimer Global Opps-A,Oppenheimer Funds									
12. -Col Acorn USA-A; Columbia Funds Group									
13. -Franklin Mutual Discovery									
14. -Opp Strategic Income									
15. -J.HancockL/Cap Equity A									
16. -VanEck GL.Hard Assets									
17. -Eaton Low Duration-A Eaton Vance Group									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Strombom, Karen L.

Date of Report

05/4/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -MFS Bond-A MFS Family of Funds					Sold	03/25/11	J		
19. -FT Cap Strength Port Ser 5 First Trust UIT Monthly Fee Acct					Redeemed	10/18/11	K		
20. -MFS Intl. Value-A MFS Family of Funds					Buy	03/25/11	J		
21. -Ft. Capitol Strength Port Ser First Trust UIT					Buy	10/25/11	K		
22. Strategic Portfolio Service advantage, IRA	B	Int./Div.	L	T					
23. -Ameriprise Insured Money market									
24. -INV.UK Real Est. Secs.A fna Van Kampen Real Estate A					Sold	05/24/11	J		
25. -Fid.Adv. High Income A									
26. -Fid.Adv. New Insights A									
27. -MFS Bond A MFS Family of Funds									
28. -Fid.Adv.Strat Income-A									
29. -Ft.Target Triad June 10 First Trust UIT					Redeemed	09/06/11	J		
30. -Ft.Target Focus 4 June 10 First Trust UIT					Redeemed	09/06/11	J		
31. -INV Real Estate -A Invesco Funds					Buy	05/24/11	J		
32. -Ft. Target Focus 4 Oct. '11 First Trust UIT					Buy	10/28/11	J		
33. -Ft. Target Triad Oct. '11 First Trust UIT					Buy	10/28/11	J		
34. SPS Advantage with One Featurs	A	Int./Div.	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Strombom, Karen L.

Date of Report

05/4/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Ameriprise Insured Money Market									
36. -Inv. Tax Free Interm A Invesco Funds									
37. KeyBank Accounts	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Strombom, Karen L.

Date of Report

05/4/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

I. POSITIONS: I became an officer and member of the Board of Directors of the Federal Magistrate Judges Association (FMJA) at the annual meeting in July, 2010 and I failed to report that position on my 2010 Report.

III-B. Non-Investment Income. I did not realize that I needed to report the fact that my husband is self-employed as a building designer, which has been the case since prior to my becoming a magistrate judge.

IV. REIMBURSEMENTS: I failed to report on my 2010 Report the fact that I was reimbursed for my transportation, meals and hotel by the Federal Magistrate Judges Association for my attendance September 14 - 17, 2010 in Washington D.C. at the FMJA Officers Meeting.

VII. INVESTMENTS AND TRUSTS:

Lines 2 - 5: The funds from the sale of Line Items # 2 and #3 were used to purchase Line Items #4 and #5.

Line 20. Funds from the sale of Line Item #18 were used to purchase this fund.

Line 21. Funds from redemption of the fund on Line Item #19 were temporarily placed into the money market fund and then used to purchase this fund.

Line 31. Funds from the sale of Line Item #24 were used to purchase this Fund.

Lines 32 & 33: Funds from the sale of Line Items #29 and #30 were first placed in the money market fund and then used to purchase these two funds.

Line 36. This is the same fund as shown in my 2010 Report except now the correct name is shown. The fund is the same account as in 2010.

Line 37. I added the word "Accounts" pursuant to the instructions.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Strombom, Karen L.

Date of Report

05/4/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Karen L. Strombom**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544