

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hanen, Andrew S.	2. Court or Organization United States District Court - Texas	3. Date of Report 05/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States District Judge Andrew S. Hanen United States Courthouse 600 E. Harrison St., #301 Brownsville, Texas 75820		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. TexasBarCLE

09/14/12 - 09/14/2012

Houston, Texas

CLE Panelist

Hotel and mileage

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA Account #1 (See Note 1)	A	Interest	N	T					
2. -Treasury Strips					Sold	07/13/12	K	A	
3. -Chase Retirement Money Mkt Reserves					Sold (part)	07/19/12	N	A	
4. -Capstar Bank (CD)					Sold	07/13/12	J	A	
5. IRA Account #2	A	Dividend	K	T					
6. -Merrill Lynch Money Mkt. Reserves									
7. -Aberdeen Developing					Sold	04/10/12	J	A	
8. -Blackrock Global					Sold	04/10/12	J	A	
9. -First Eagle					Sold	04/10/12	J	A	
10. -Ivy Asset Strategic					Sold	04/10/12	J	A	
11. -Janus Forty					Sold	04/10/12	J	A	
12. -Janus Overseas Fund					Sold	04/10/12	J	A	
13. -Prudential Jennison					Sold	04/10/12	J	A	
14. -John Hancock Global					Sold	04/10/12	J	A	
15. -Loomis Sayles Strategic					Sold	04/10/12	J	A	
16. -Putman Diversified					Sold	04/10/12	J	A	
17. -Putman Voyager					Sold	04/10/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -RS Partners FD					Sold	04/10/12	J	A	
19. -Templeton Global Bond					Sold	04/10/12	J	A	
20. -Apple					Buy	04/26/12	J		
21. -Consumer Dis.					Buy	04/26/12	J		
22. -Spdr Cons. STPL					Buy	04/26/12	J		
23. -Spdr Energy					Buy	04/26/12	J		
24. -Spdr Indus.					Buy	04/26/12	J		
25. -Spdr Gold					Buy	04/26/12	J		
26. -Vanguard Info					Buy	04/26/12	J		
27. IRA Account #3	B	Dividend	L	T					
28. -Merrill Lynch Money Mkt. Reserves									
29. -Aberdeen Developing					Sold	04/10/12	J	B	
30. -Blackrock Global					Sold	04/10/12	J	A	
31. -First Eagle					Sold	04/10/12	J	A	
32. -Ivy Asset Strategy					Sold	04/10/12	J	B	
33. -Janus Forty					Sold	04/10/12	J	B	
34. -Janus Overseas Fund					Sold	04/10/12	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Prudential Jennison					Sold	04/10/12	J	A	
36. -John Hancock Global					Sold	04/10/12	J	A	
37. -Loomis Sayles Strategic					Sold	04/10/12	J	A	
38. -Putnam Diversified					Sold	04/10/12	J	A	
39. -Putnam Voyager					Sold	04/10/12	J	B	
40. -RS Partners FD					Sold	04/10/12	J	A	
41. -Templeton Global Bond					Sold	04/10/12	J	A	
42. -Alexion Phar					Buy	04/20/12	J		
43. -Alexion Phar					Buy	08/9/12	J		
44. -Apple					Buy	04/20/12	J		
45. -Apple					Buy	07/25/12	J		
46. -Apple					Sold (part)	09/25/12	J	B	
47. -Apple					Buy	11/27/12	J		
48. -Celgene					Buy	04/20/12	J		
49. -Celgene					Sold	05/04/12	J	A	
50. -Continental Resources					Buy	04/20/12	J		
51. -Continental Resources					Sold	05/4/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Mastercard					Buy	04/20/12	J		
53. -Mastercard					Sold	08/9/12	J	A	
54. -Nu Skin					Buy	04/20/12	J		
55. -Sourcefire					Buy	04/20/12	J		
56. -VF Corp					Buy	04/20/12	J		
57. -VF Corp					Sold	05/08/12	J	A	
58. -RS Low Duration					Buy	05/25/12	J		
59. -RS Low Duration					Sold (part)	08/03/12	J	A	
60. -RS Low Duration					Sold	09/06/12	J	A	
61. -DWS Short Duration					Buy	05/25/12	J		
62. -DWS Short Duration					Sold (part)	07/25/12	J	A	
63. -DWS Short Duration					Sold	08/03/12	J	A	
64. -Putnam US Gov					Buy	05/25/12	J		
65. -Putnam US Gov					Sold	08/03/12	J	A	
66. -CYS Investments					Buy	05/25/12	J		
67. -Intuitive Surgical					Buy	04/26/12	J		
68. -Intuitive Surgical					Sold	08/30/12	J	A	

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69. -Tractor Supply					Buy	04/26/12	J		
70. -Tractor Supply					Sold	10/26/12	J	A	
71. -Fresh Mkt					Buy	08/03/12	J		
72. -HMS Holdings					Buy	08/03/12	J		
73. -HMS Holding					Sold	10/26/12	J	A	
74. -Monsanto					Buy	08/03/12	J		
75. -Monsanto					Sold	10/26/12	J	A	
76. -CF Inds					Buy	08/09/12	J		
77. -Express Scripts					Buy	09/07/12	J		
78. -LinkedIn					Buy	09/07/12	J		
79. -Rackspace					Buy	09/07/12	J		
80. -Ivy High Income					Buy	10/26/12	J		
81. -Spdr Gold					Buy	11/27/12	J		
82. -Salix Phar					Buy	04/20/12	J		
83. -Salix Phar					Sold	08/30/12	J	A	
84. Retirement Account #1	D	Int./Div.	N	T					
85. -Fidelity Short Term Bond Fund									

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86. -Marshall Bond Fund									
87. -Dartmouth College Bonds									
88. -Fidelity Cash Reserves									
89. -Allstate Life Bonds									
90. -Barclays Bank Bonds									
91. -Microsoft Bonds									
92. -Walmart Bonds									
93. -Pimco Investment Grade Bonds					Buy	03/21/12	K		
94. Brokerage Account #1									
95. -Pearland ISD Municipal Bonds	B	Interest	M	T					
96. -Killeen Tex. Municipal Bonds	B	Interest			Redeemed	02/01/12	L	A	
97. -Katy Tex. ISD Municipal Bonds	B	Interest	M	T					
98. -Lubbock Tx. Tax & Wtrworks Municipal Bonds	B	Interest			Redeemed	05/01/12	L	A	
99. -Plano ISD Municipal Bonds	C	Interest			Redeemed	02/15/12	L	A	
100. -Burleson Tex ISD Municipal Bonds	B	Interest	M	T					
101. -Port Neches Grove ISD Municipal Bonds	B	Interest	M	T					
102. -Brownsville Tx Uty Systm. Municipal Bonds	B	Interest	M	T					

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103. -Hutto Tex. ISD Municipal Bonds	B	Interest	M	T					
104. -MS Tax Free Income Trust (See Note 3)	A	Dividend	N	T					
105. Brokerage Account #2									
106. -Brownsville Tex Municipal Bonds	C	Interest	L	T					
107. -Lower Col. Riv. Auth. Municipal Bonds	A	Interest	J	T					
108. -Harris County Municipal Bonds	D	Interest	M	T					
109. -University of N. Tex Municipal Bonds	B	Interest			Redeemed	04/16/12	K	A	
110. -Sharyland ISD Municipal Bonds	C	Interest	M	T					
111. -Lancaster ISD Municipal Bonds	C	Interest	M	T					
112. -N. Tex. Twy. Auth. Municipal Bonds	C	Interest	L	T					
113. -Houston Tex. Higher Ed Municipal Bonds	B	Interest	L	T					
114. -BIF Tax Exempt	A	Dividend	J	T					
115. Compass Bank	A	Interest	K	T					
116. Northwestern Mutual EOL Life Ins	A	Dividend	J	T					
117. Northwestern Mutual EOL Life Ins	A	Dividend	J	T					
118. Northwestern Mutual Whole Life Ins	A	Dividend	J	T					
119. Northwestern Mutual Whole Life Ins	C	Dividend	L	T					

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120. Northwestern Mutual Whole Life Ins [REDACTED]	C	Dividend	L	T					
121. Northwestern Mutual EOL Life Ins [REDACTED]	C	Dividend	K	T					
122. IRA Account #4 (See Note 1)	A	Int./Div.	N	T					
123. -Treasury Strips					Buy	07/13/12	K		
124. -Capstar Bank CD					Buy	07/13/12	J		
125. -American FDS					Buy	07/26/12	M		
126. -Capital Income					Buy	07/26/12	J		
127. -Capital Income					Buy	08/15/12	J		
128. -Capital Income					Buy	09/17/12	J		
129. -Capital Income					Buy	10/15/12	J		
130. -Capital Income					Buy	11/15/12	J		
131. -Capital Income					Buy	12/17/12	J		
132. -WF Money Mkt					Buy	07/19/12	N		
133. Brokerage Account #3 (See Note 2)									
134. -MS FT	A	Dividend	J	T	Buy	08/13/12	J		
135. -WF Money Mkt	A	Interest	J	T	Buy	08/13/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Hanen, Andrew S.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note No. 1: The Money Market assets in IRA Account #1 were sold and the funds transferred to IRA Account #4. The sale is designated as partial sale because for some reason the brokerage firm handling Account #1 failed to transfer less than \$2.00 and this was not discovered until after December 31, 2012. The Treasury Strips and Capstar CD contained in IRA Account #1 were transferred to Account #4, but this form does not have a way to designate a transfer. The Administrative Office advised me to list it as a sale and subsequent purchase, which I did.

Note No. 2: Brokerage Account #3 is a new brokerage account.

Note No. 3: The brokerage house transferred the assets of Western Asset Municipal Money Market Fund into Morgan Stanley Tax Free Income Trust as noted in Note 6 of last year's report.

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Name of Person Reporting

Hanen, Andrew S.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Andrew S. Hanen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544