

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Nickerson, William M.	2. Court or Organization Maryland	3. Date of Report 10/24/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior St	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 101 W. Lombard Street Baltimore MD 21201		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ **NONE** *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ **NONE** *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1. 12/6/93	State of Md. Judicial Pension Plan;
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. monthly	State of Md. Judicial Pension Plan	\$29,727.36
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bristol Myers Squibb cmn	B	Dividend	L	T					
2. Chevron Texaco Corp. formerly Chevron Corp. cmn	B	Dividend	L	T					
3. Coca Cola Co. cmn	C	Dividend	M	T					
4. Colgate Palmolive Co. cmn	B	Dividend	L	T					
5. Diamond Offshore Drilling Co. cmn	A	Dividend	K	T					
6. DuPont, E. I. cmn	A	Dividend	J	T					
7. Equifax Inc. cmn	A	Dividend	K	T					
8. General Electric Co. cmn	B	Dividend	K	T					
9. General Mills Inc. cmn	B	Dividend	L	T					
10. Johnson & Johnson cmn	C	Dividend	L	T					
11. Merck & Co., Inc. cmn	A	Dividend	K	T					
12. Pitney Bowes, Inc. cmn	A	Dividend	J	T					
13. Procter & Gamble Co. cmn	A	Dividend	J	T					
14. Mutual Shares Fund	A	Dividend	J	T					
15. Constellation Energy cmn	A	Dividend	J	T					
16. Cheesecake Factory Inc. cmn		None	K	T					
17. Jones Grp cmn (Formerly Jones Apparel)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. T.R.P. Md. Tax Free Bond	C	Dividend	L	T					
19. T.R.P. Tax Exempt M/M	A	Dividend	M	T					
20. J P Morgan - Trust	A	Distribution	P1	T					
21. - J P Morgan US DollarPrincipal (Form Deposit Sweep Inst'l.									
22. - JP Morgan Short Term Mun. Bd. Fd.					Buy (add'l)	09/28/10	K		See VIII
23. - Verizon Communications									
24. - Chevron Corp.									
25. - Dow Chemical									
26. - Gen Elect Co									
27. - Exxon Mobil Corp									
28. - J P Morgan Core Bond Sel Fid Fund									
29. - Altria Group, Inc.									
30. - American Electric Power Co, Inc.									
31. - Bank of America Corp (Formerly New)									
32. - Boeing Co.									
33. - Bristol Meyers Squibb Co									
34. - Consolidated Edison, Inc.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Dominion Resources Inc VA									
36. - Home Depot, Inc.									
37. - McDonalds Corp.									
38. - Duke Energy (Formerly Hldg.) Corp.									
39. - Travelers Cos. Inc.									
40. - Whirlpool Corp.					Sold	09/28/10	K		See VIII
41. - Kraft Foods, Inc.									
42. - Spectra Energy Corp.									
43. - Pfizer Inc.									
44. - Philip Morris Int'l., Inc. (X)									
45. - Kellogg Co.									
46. - Coca-Cola Co.									
47. - Sysco Corp.									
48. - Yum Brands Inc.									
49. - Frontier Communications Corp.	A	Dividend	J	T	Spinoff (from line 23)	07/08/10	J		See VIII
50. Exxon Mobil Corp cmn	A	Dividend	K	T					
51. Intel Corp cmn	A	Dividend	J	T					

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52. PNC Finl. Svs. Grp. cmn	A	Dividend	K	T					
53. Microsoft Corp cmn	A	Dividend	J	T					
54. T. Rowe Price Inc. cmn	A	Dividend	K	T					
55. Zebra Tech cmn		None	K	T					
56. Wal-Mart Stores cmn	A	Dividend	J	T					
57. Fidelity Natl. Info. Svcs. Inc. cmn	A	Dividend	J	T					
58. Zimmer Hldgs. Inc. cmn		None	J	T					
59. Smucker J M Co cmn	A	Dividend	J	T					
60. Medcohealth Solutions, Inc. cmn		None	J	T					
61. Massachusetts Mutual Ins. Co. - whole life policy	B	Dividend	L	T					
62. Navy Mutual Aid Ass'n. perm. plus life ins. policy	B	Dividend	K	T					see VIII
63. Ameriprise Trust Co. ACF [REDACTED] IRA									
64. - Columbia Acorn Fd. - A			L	T					
65. - Columbia (Formerly Riversource) Diversified Bd. Fd. Cl A	A	Dividend	J	T	Sold (part)	06/13/11	J		
66. -(Columbia (Formerly Riversource) High Yield Bd. Fd. Cl A	A	Dividend	K	T					
67. - I Shares MSCI Emerging Mkts.	A	Dividend	K	T					
68. - I Shares Russell 1000 Value	A	Dividend	K	T					

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69. - 1 Shares Russell 1000 Growth	A	Dividend	L	T					
70. - 1 Shares S&P Europe 350 Index	A	Dividend			Sold	02/09/11	K		
71. - 1 Shares S&P Midcap400 Barra Growth Index Fd.	A	Dividend	K	T					
72. - 1 Shares S&P Midcap 400 Barra-Value Index Fd.	A	Dividend	K	T					
73. - 1 Shares S&P Smallcap 600 Index Fd.	A	Dividend	L	T					
74. - Morgan Stanley Capital Trust V	A	Dividend	K	T					
75. - Fidelity Advisor New Insights - T Fd.		None	K	T					
76. - Columbia (Formerly RVS) Diverse Equity Inc. - A	A	Dividend	J	T	Sold (part)	12/23/11	K		
77. - MFS Intl. Diverse - A	A	Dividend	K	T					
78. - Fidelity Advisor Mid Cap II - A		None	K	T					
79. Columbia Mult Adv(FormerlyRiversource) Intl Sel Val-A Fund	A	Dividend			Sold	02/10/11	J	A	
80. Columbia Mid Cap Value -A Fund	A	Dividend	K	T					
81. Columbia Small Cap Value A Fund	A	Dividend	K	T					
82. Columbia Recovery & Infrst A Fd. (Formerly Rvs. Rec. & Infrst	A	Dividend	K	T	Buy (add'l)	02/09/11	K		See VIII
83. Fidelity Advisor Mid Cap II-A Fd.		None	K	T					
84. Fidelity Advisor New Insights A Fd.		None	K	T					
85. MFS Value - A Fd.	A	Dividend	K	T					

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86. Morgan Stanley Capital Trust V	A	Dividend	J	T					
87. Oppenheimer Developing Mkts. - A Fd.	A	Dividend	J	T					
88. Riversource Ret. Adv. Plus Annuity	A	Int./Div.	L	U					
89. Riversource Ret. Adv. Plus Annuity	A	Int./Div.	L	U					
90. Investment property, Baltimore, MD		None	M	R					see VIII
91. Lender Proc. Svcs., Inc. - cmn	A	Dividend	J	T					
92. Riversource Ret. Adv. 4 Ad. VA Annuity	C	Int./Div.	M	U					
93. Riversource Rec. & Infra. A Fd.	A	Dividend	J	T					
94. Franklin MD Tax Free Inc. - A	A	Dividend	J	T					
95. Columbia Acorn Int'l. - A Fd.	A	Dividend	K	T	Buy	02/07/11	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII, line 62 references a life insurance policy inadvertently omitted on previous reports which accrues "credits" rather than dividends, and carries a current cash value.
2. Part VII, line 82 references Columbia Recovery & Infrst. A Fund (previously listed at line 84 of my 2010 report).
Line 96 of my 2010 report referenced Riversource Recovery & Infrst. A Fd. as a separate asset. In actuality, it is the same asset as the Columbia Recovery & Infrst. A Fund. The name was changed from Riversource ... to Columbia Accordingly, the Riversource Fund shown at line 84 of the 2010 report has been stricken.
3. Part VII, lines 22, 40 and 49 reference transaction dates outside the reporting date because the assets are held in a trust administered by the JP Morgan-Chase Bank which only provides trading information for the fiscal year - July 1, 2010 to June 31, 2011.
4. Part VII, line 90. As previously reported, this property was purchased on 11/07/2007 for \$110,000.00.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **William M. Nickerson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544