

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Thompson, Anne E.	2. Court or Organization U.S. District Court	3. Date of Report 12/20/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 402 E. State Street Room 4000 Trenton, NJ 08608		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member - Criminal Law Drafting Committee	National Conference of Bar Examiners
2. Co-Trustee	Trust #1 - U/W Wm. Thompson Art. Fifth
3. Co-Trustee	Trust #2 - U/W Wm. Thompson Art. Sixth
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2017	National Conference of Bar Examiners -- Fees earned for drafting, editing & revising bar exam and evaluating performance of test questions.	\$7,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. National Conference of Bar Examiners	April 27-30, 2017	St. Croix, U.S. Virgin Islands	Activity of Organization	Airfare, Hotel, Meals & Local Transportation
2. National Conference of Bar Examiners	October 5-8, 2017	Philadelphia, PA	Activity of Organization	Train Fare, Hotel, Meals & Local Transportation
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sun Nat'l Bank Checking Account	A	Interest	J	T					
2. Glenmede Tax-Exempt Fund	A	Dividend	J	T					
3. Metropolitan Life Insurance Company - Whole Life Policy	A	Interest	J	T					
4. Chase Bank	A	Interest	K	T					
5. TRUST #1 -- U/W Wm. Thompson Art. Fifth (H)									
6. -- Fidelity Diversified Int'l Fund	B	Dividend	K	T					
7. -- Fidelity Money Market Cash Reserves	A	Dividend	J	T					
8. -- Fidelity Advisor New Insights Fund	A	Dividend	J	T					
9. -- Fidelity Real Estate Investment Fund		None			Sold	02/03/17	J	D	
10. -- Fidelity Blue Chip Growth Fund	B	Dividend	K	T					
11. -- Fidelity Short-Inter Mediate Muni Income Fund	A	Dividend	J	T					
12. -- Baron Growth Fund	A	Dividend	J	T					
13. -- DWS Strategic High Yield Tax Free CL S	B	Dividend	K	T					
14. -- Diamond Hill Small Cap Fund CL A	A	Dividend	J	T					
15. -- Harbor Capital Appreciation Fund	B	Dividend	K	T					
16. -- Hartford Capital Appreciation Fund CL A	A	Dividend	J	T					
17. -- Hotchkiss & Wiley Mid Cap Value Fund CL A	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- Morgan Stanley Mid Cap Growth Port CL P		None			Sold	12/06/17	J	B	
19. -- T Rowe Price Mid Cap Value Fund Adv Class	A	Dividend	J	T					
20. -- T Rowe Price Value Fund Adv Class	B	Dividend	K	T					
21. -- S&P 500 Depository Receipt	A	Dividend	K	T					
22. -- Invesco Int'l Growth Fund	A	Dividend	K	T	Buy (add'l)	02/03/17	J		
23. -- Vitrus Foreign Opportunities Fund	A	Dividend	J	T					
24. -- MFS International Diversification Fund	A	Dividend	J	T					
25. -- T Rowe Tax Free Inc Fund	A	Dividend	J	T					
26. -- Causeway International Value	A	Dividend	J	T					
27. -- FMI Large Cap Fund	A	Dividend	J	T					
28. -- MFS Research Int'l	A	Dividend	J	T	Sold (part)	06/09/17	J	A	
29. -- Primecap Odyssey Growth Fund	A	Dividend	J	T					
30. -- iShares TR Russell 1000 Value Index	A	Dividend	J	T					
31. -- iShares TR Russell 100 Growth Index	A	Dividend	J	T					
32. -- Fidelity Municipal Income	C	Dividend	L	T	Sold (part)	04/20/17	J	A	
33. -- Artisan Small Cap Fund	A	Dividend	J	T					
34. -- Wells Fargo Short-Term Muni Inc	A	Dividend	K	T	Buy (add'l)	04/20/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -- Wells Fargo Short-Term Muni Inc	A	Dividend	K	T	Buy (add'l)	06/09/17	J		
36. -- Wells Fargo Short-Term Muni Inc	A	Dividend	K	T	Buy (add'l)	08/24/17	J		
37. -- Wells Fargo Short-Term Muni Inc	A	Dividend	K	T	Buy (add'l)	12/20/17	J		
38. -- iShares TR Russell 1000 Index Fund	A	Dividend	J	T					
39. -- Vanguard Growth Vipers	A	Dividend	K	T					
40. -- Vanguard Value Vipers	A	Dividend	K	T					
41. -- Blackrock National Muni Fund Cl A	A	Dividend	K	T					
42. -- Sector Spdr Tr Shs Ben Int Financial	A	Dividend	J	T					
43. -- Acadian Emerging Markets Investor	A	Dividend	J	T					
44. -- Blackrock High Yield Bond K	A	Dividend			Sold (part)	04/20/17	J	B	
45. -- Blackrock High Yield Bond K	A	Dividend			Sold	06/09/17	J	A	
46. -- Franklin Convertible Securities	B	Dividend	J	T					
47. -- Morgan Stanley Inst International	A	Dividend	J	T	Sold (part)	06/09/17	J	A	
48. -- Morgan Stanley Inst International	A	Dividend	J	T	Sold (part)	08/24/17	J	A	
49. -- Oppenheimer Development Markets	A	Dividend	K	T					
50. -- Templeton Global Bond	A	Dividend	K	T	Sold (part)	06/09/17	J	A	
51. -- Templeton Global Bond	A	Dividend	K	T	Sold (part)	08/24/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. -- Transamerica International Equity	A	Dividend	J	T					
53. -- Wasatch Frontier Emerging Small Co's		None			Sold	02/03/17	J	A	
54. -- Wells Fargo Special Mid Cap Value Adm	A	Dividend	J	T					
55. -- IShares S&P 500 Growth	A	Dividend	J	T	Sold (part)	06/13/17	J	A	
56. -- IShares S&P 500 Growth	A	Dividend	J	T	Sold (part)	08/28/17	J	A	
57. -- IShares S&P 500 Value	A	Dividend	J	T					
58. -- Vanguard Large Cap ETF	A	Dividend	K	T	Sold (part)	04/24/17	J	A	
59. -- Vanguard Large Cap ETF	A	Dividend	K	T	Sold (part)	06/26/17	J	A	
60. -- Vanguard Sector Index	A	Dividend	J	T					
61. -- Vanguard Small-Cap ETF	A	Dividend	J	T					
62. -- IShares MSCI Emerging Markets	A	Dividend	J	T	Buy (add'l)	02/07/17	J		
63. -- IShares MSCI EAFE Small-Cap	A	Dividend	J	T	Buy (add'l)	02/07/17	J		
64. -- IShares MSCI EAFE Small-Cap	A	Dividend	J	T	Sold (part)	04/24/17	J	A	
65. -- Western Asset Management Municipals	B	Dividend	K	T					
66. -- Janus Henderson Enterprise	A	Dividend	J	T	Buy	02/03/17	J		
67. -- Janus Henderson Enterprise	A	Dividend	J	T	Buy (add'l)	12/20/17	J		
68. -- Mainstay Tax Free Bond	A	Dividend	K	T	Buy	02/03/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. -- Mainstay Tax Free Bond	A	Dividend	K	T	Buy (add'l)	06/09/17	J		
70. -- Mainstay Tax Free Bond	A	Dividend	K	T	Buy (add'l)	08/24/17	J		
71. -- Mainstay Tax Free Bond	A	Dividend	K	T	Buy (add'l)	12/06/17	J		
72. -- MS Frontier Emerging Markets		None	J	T	Buy	02/03/17	J		
73. -- Flexshares Trust Morningstar Global	A	Dividend	J	T	Buy	02/07/17	J		
74. -- Flexshares Trust Morningstar Global	A	Dividend	J	T	Buy (add'l)	04/24/17	J		
75. -- Flexshares Trust Morningstar Global	A	Dividend	J	T	Sold (part)	06/13/17	J	A	
76. -- Flexshares Trust Morningstar Global	A	Dividend	J	T	Buy (add'l)	08/28/17	J		
77. -- Flexshares Trust Morningstar Global	A	Dividend	J	T	Buy (add'l)	12/21/17	J		
78. -- Spdr Index Shs Fds S&P Global	A	Dividend	J	T	Buy	06/13/17	J		
79. TRUST #2 -- U/W Wm. Thompson Art. Sixth (H)									
80. -- Fidelity Diversified Int'l Fund	A	Dividend	J	T					
81. -- Fidelity Money Market Cash Reserves	A	Dividend	J	T					
82. -- Fidelity Advisor Mid Cap Fund II CL I	B	Dividend	K	T					
83. -- Fidelity Advisor Int'l Discovery Fund CL I	A	Dividend	J	T					
84. -- Fidelity Real Estate Investment Fund		None			Sold	02/03/17	K	D	
85. -- Fidelity Blue Chip Growth Fund	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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86. -- Baron Growth Fund	B	Dividend	J	T					
87. -- DWS Strategic High Yield Tax Free CL S	A	Dividend	K	T					
88. -- Diamond Hill Small Cap Fund CL A	A	Dividend	J	T					
89. -- Harbor Capital Appreciation Fund	C	Dividend	K	T					
90. -- Hartford Capital Appreciation Fund CL A	B	Dividend	J	T					
91. -- iShares TR Russell 100 Growth Index Fund	A	Dividend	L	T	Sold (part)	04/07/17	J	A	
92. -- iShares TR Russell 100 Growth Index Fund	A	Dividend	L	T	Sold (part)	06/13/17	J	A	
93. -- iShares TR Russell 100 Growth Index Fund	A	Dividend	L	T	Sold (part)	09/08/17	J	A	
94. -- Mutual Series Mutual Shares CL A	A	Dividend	J	T					
95. -- T Rowe Price Mid Cap Value Fund Adv Class	B	Dividend	K	T					
96. -- T Rowe Price Value Fund Adv Class	B	Dividend	K	T					
97. -- Royce PA Mutual Fund	B	Dividend	J	T					
98. -- S&P 500 Depository Receipt	A	Dividend	L	T					
99. -- Invesco Int'l Growth Fund	A	Dividend	J	T	Buy (add'l)	02/03/17	J		
100. -- Artisan Int'l Value Fund	B	Dividend	K	T	Sold (part)	06/09/17	J	B	
101. -- Artisan Int'l Value Fund	B	Dividend	K	T	Sold (part)	08/23/17	J	A	
102. -- American EuroPacific Growth Fund	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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103. -- MFS International Diversification Fund	A	Dividend	K	T					
104. -- Fidelity Advisor New Insights	A	Dividend	J	T					
105. -- T Rowe Price Tax Free Income	B	Dividend	K	T					
106. -- Dodge & Cox Stock Fund	A	Dividend	J	T					
107. -- iShares TR 1000 Index	A	Dividend	J	T					
108. -- iShares TR 1000 Value Index	A	Dividend	K	T					
109. -- Oakmark International	A	Dividend	K	T					
110. -- iShares Msci EAFE Index	A	Dividend	K	T					
111. -- iShares TR Russell Midcap Value Index	A	Dividend	J	T					
112. -- Templeton Global Bond	A	Dividend	K	T	Buy (add'l)	02/03/17	J		
113. -- T Rowe Price International Stock	A	Dividend	K	T					
114. -- Wells Fargo Short-Term Muni Inc	A	Dividend	K	T	Buy (add'l)	02/03/17	J		
115. -- Wells Fargo Short-Term Muni Inc	A	Dividend	K	T	Buy (add'l)	04/05/17	J		
116. -- Wells Fargo Short-Term Muni Inc	A	Dividend	K	T	Buy (add'l)	06/09/17	J		
117. -- Wells Fargo Short-Term Muni Inc	A	Dividend	K	T	Buy (add'l)	12/21/17	J		
118. -- Oppenheimer International Bond	A	Dividend	J	T					
119. -- Blackrock National Muni	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Thompson, Anne E.

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12/20/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -- Wasatch Forntier Emerging Small Cp's		None	J	T	Buy (add'l)	02/03/17	J		
121. -- Wasatch Forntier Emerging Small Cp's		None	J	T	Sold (part)	08/23/17	J	A	
122. -- Acadian Emerging Markets Investor	A	Dividend	J	T					
123. -- Blackrock High Yield Bond K	A	Dividend			Buy (add'l)	02/03/17	J		
124. -- Blackrock High Yield Bond K	A	Dividend			Sold (part)	04/05/17	J	B	
125. -- Blackrock High Yield Bond K	A	Dividend			Sold	06/09/17	J	A	
126. -- Franklin Convertible Securities	B	Dividend	K	T	Buy (add'l)	02/03/17	J		
127. -- T Rowe Price Emerging Markets Stock	A	Dividend	K	T					
128. -- IShares Core S&P Small-Cap	A	Dividend	J	T					
129. -- IShares S&P 500 Value	A	Dividend	K	T	Sold (part)	02/07/17	J	A	
130. -- IShares US Financials	A	Dividend	K	T					
131. -- Vanguard Large-Cap ETF	A	Dividend	K	T	Sold (part)	04/07/17	J	A	
132. -- FlexShares Mdstar Global Upstream	A	Dividend	J	T	Buy (add'l)	08/25/17	J		
133. -- FlexShares Mdstar Global Upstream	A	Dividend	J	T	Buy (add'l)	12/22/17	J		
134. -- IShares Core Msci Emerging Markets	A	Dividend	J	T	Buy (add'l)	02/07/17	J		
135. -- IShares MSCI EAFE Small-Cap	A	Dividend	J	T	Buy (add'l)	02/07/17	J		
136. -- Fidelity Tax-Free Bond Fund	A	Dividend	J	T	Buy (add'l)	12/21/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -- T Rowe Price Tax Free High Yield	A	Dividend	K	T	Buy (add'l)	12/15/17	J		
138. -- T Rowe Price Tax Free High Yield	A	Dividend	K	T	Buy (add'l)	12/31/17	J		
139. -- Western Asset Management Municipals	A	Dividend	K	T					
140. -- Mainstay Tax Free Bond	A	Dividend	J	T	Buy	02/03/17	J		
141. -- Mainstay Tax Free Bond	A	Dividend	J	T	Sold (part)	04/05/17	J	A	
142. -- Mainstay Tax Free Bond	A	Dividend	J	T	Buy (add'l)	06/09/17	J		
143. -- Mainstay Tax Free Bond	A	Dividend	J	T	Buy (add'l)	08/23/17	J		
144. -- Mainstay Tax Free Bond	A	Dividend	J	T	Buy (add'l)	09/07/17	J		
145. -- Fidelity Conservative Income Muni	A	Dividend	J	T	Buy	04/05/17	J		
146. -- Fidelity Conservative Income Muni	A	Dividend	J	T	Buy (add'l)	06/09/17	J		
147. -- Fidelity Conservative Income Muni	A	Dividend	J	T	Buy (add'l)	08/23/17	J		
148. -- Fidelity Conservative Income Muni	A	Dividend	J	T	Buy (add'l)	12/21/17	J		
149. GLENMEDE IRA (H)									
150. -- Glenmede Core Fixed Income Port	C	Dividend	M	T	Sold (part)	01/20/17	J	A	
151. -- Glenmede Core Fixed Income Port	C	Dividend	M	T	Sold (part)	07/06/17	K	A	
152. -- Glenmede Core Fixed Income Port	C	Dividend	M	T	Buy (add'l)	07/24/17	J		
153. -- Exxon Mobil Corp	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Thompson, Anne E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -- Johnson & Johnson	A	Dividend	J	T					
155. -- Glenmede Govt Cash Fund	A	Interest	K	T					
156. -- Qualcomm Corp	A	Dividend	K	T	Buy (add'l)	03/13/17	J		
157. -- Templeton Global Bond Fund	A	Dividend			Sold	07/06/17	J	A	
158. -- Glenmede Fund Inc Advisor Small Cap	C	Dividend	K	T	Sold (part)	03/08/17	J	B	
159. -- Matthews Pacific Tiger Fund	A	Dividend	K	T					
160. -- Van Eck Global Hard Assets		None	K	T					
161. -- Apple Inc	A	Dividend	K	T	Sold (part)	11/27/17	J	B	
162. -- Seyworks Solutions	A	Dividend	J	T	Sold (part)	09/20/17	J	C	
163. -- Seyworks Solutions	A	Dividend	J	T	Sold (part)	11/27/17	J	B	
164. -- Celgene Corp		None	K	T					
165. -- Cisco Systems	A	Dividend	K	T					
166. -- Union Pacific Corp	A	Dividend	J	T	Sold (part)	11/27/17	J	B	
167. -- Loomis Sayles Bond Fund	B	Dividend	K	T					
168. -- Quintiles Transitional Holdings Inc		None			Sold	07/12/17	J	C	
169. -- Pepsico Inc	A	Dividend	J	T					
170. -- Chevron Corp	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Thompson, Anne E.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -- Mastercard Inc	A	Dividend	J	T	Sold (part)	11/27/17	J	B	
172. -- Boeing Co	A	Dividend	J	T	Sold (part)	07/20/17	J	B	
173. -- Paypal Holdings Inc		None	J	T	Sold (part)	11/27/17	J	B	
174. -- Home Depot Inc	A	Dividend	J	T	Sold (part)	11/27/17	J	B	
175. -- JP Morgan & Chase Co	A	Dividend	K	T					
176. -- Dollar Tree Inc		None	K	T	Buy (add'l)	03/13/17	J		
177. -- Dollar Tree Inc		None	K	T	Sold (part)	11/27/17	J	A	
178. -- Honeywell International Inc	A	Dividend	K	T					
179. -- Ralph Lauren Corp	A	Dividend			Sold	05/15/17	J	A	
180. -- Alphabet Inc Cap Stock		None	J	T	Sold (part)	03/08/17	J	C	
181. -- Alphabet Inc Cap Stock		None	J	T	Sold (part)	11/27/17	J	C	
182. -- Sherwin Williams Co	A	Dividend	J	T	Sold (part)	11/27/17	J	B	
183. -- US Bancorp	A	Dividend	J	T					
184. -- Gilead Sciences Inc	A	Dividend	J	T	Buy (add'l)	02/06/17	J		
185. -- TJX Cos Inc	A	Dividend	J	T					
186. -- Walt Disney Co	A	Dividend	J	T					
187. -- Google Inc	A	Dividend			Sold	01/31/17	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A --\$1,000 or less F --\$50,001 - \$100,000	B --\$1,001 - \$2,500 G --\$100,001 - \$1,000,000	C --\$2,501 - \$5,000 H1 --\$1,000,001 - \$5,000,000	D --\$5,001 - \$15,000 H2 --More than \$5,000,000	E --\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J --\$15,000 or less N --\$250,001 - \$500,000 P3 --\$25,000,001 - \$50,000,000	K --\$15,001 - \$50,000 O --\$500,001 - \$1,000,000	L --\$50,001 - \$100,000 P1 --\$1,000,001 - \$5,000,000 P4 --More than \$5,000,000	M --\$100,001 - \$250,000 P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q --Appraisal U --Book Value	R --Cost (Real Estate Only) V --Other	S --Assessment W --Estimated	T --Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -- Invesco Ltd	A	Dividend	J	T					
189. -- Cognizant Tech Solutions	A	Dividend	J	T					
190. -- United Technologies Corp	A	Dividend	J	T					
191. -- Intel Corp	A	Dividend	J	T					
192. -- Yum Brands Inc	A	Dividend			Sold	04/21/17	J	B	
193. -- Eli Lilly & Co	A	Dividend	J	T					
194. --Diageo PLC Spons ADR	A	Dividend	J	T					
195. -- Smith & Nephew PLC	A	Dividend	K						
196. -- Touchstone Sands Emerging Markets Growth Fund		None	K	T	Sold (part)	11/27/17	J	B	
197. -- Glenmede International	B	Dividend	L	T					
198. -- Schlumberger Ltd	A	Dividend			Sold	11/27/17	J	A	
199. -- Abbott Laboratories	A	Dividend	J	T					
200. -- Yum China Holdings Inc		None			Sold	04/06/17	J	A	
201. -- Edwards Lifesciences		None	J	T	Sold (part)	11/27/17	J	A	
202. -- Acuity Brands Inc	A	Dividend			Buy	01/25/17	J		
203. -- Acuity Brands Inc	A	Dividend			Buy (add'l)	06/05/17	J		
204. -- Acuity Brands Inc	A	Dividend			Sold	10/11/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Thompson, Anne E.

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -- Colgate Palmolive Co	A	Dividend	K	T	Buy	02/24/17	J		
206. -- Costco Wholesale Corp	A	Dividend	J	T	Buy	07/07/17	K		
207. -- Discover Financial Services	A	Dividend	K	T	Buy	07/11/17	K		
208. -- PNC Financial Services Group	A	Dividend	J	T	Buy	07/26/17	J		
209. -- Snap On Tools Corp	A	Dividend	J	T	Buy	09/25/17	J		
210. -- Priceline.com Inc		None	K	T	Buy	11/14/17	K		
211. -- Laboratory Corp of America		None	J	T	Buy	12/12/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

This report is being amended to show that the Metropolitan Life investment (Part VII, Line 3) is a whole life policy.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Anne E. Thompson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544