

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LINARES, JOSE L.	2. Court or Organization U.S. DISTRICT COURT NEW JERSEY	3. Date of Report 11/20/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address FEDERAL COURTHOUSE 50 WALNUT ST. ROOM 5054 NEWARK, NJ 07101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. ADJUNCT PROFESSOR	SETON HALL UNIVERSITY
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

LINARES, JOSE L.

Date of Report

11/20/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/16	ADJUNCT PROFESSOR SETON HALL UNIVERSITY SALARY	\$18,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 12/31/16	BHHS NJ PROPERTIES REAL ESTATE SALES COMMISSIONS
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

LINARES, JOSE L.

Date of Report

11/20/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	US TREASURY	2016 FEDERAL INCOME TAXES DUE 4/15/2017	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

LINARES, JOSE L.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TD BANK	A	Interest	L	T					
2. PNC BANK	A	Interest	J	T					
3. PNC BANK	A	Interest	J	T					
4. INVESTOR SAVINGS BANK (FORMERLY AMERICAN SAVINGS)	A	Interest	J	T					
5. REAL ESTATE RENTAL PT.PLEASANT NJ ON 10/24/09 FOR \$340000	A	Rent	N	R					
6. MET LIFE IRA MODERATE TO AGGRESSIVE ALLOCATION PORTFOLIO	E	Dividend	O	T					
7. MET LIFE NON QUALIFIED ANNUITY FIXED RATE ANNUITY	E	Dividend	L	T					
8. IRA MET LIFE AMERICA FUNDS GLOBAL SMALL	A	Dividend	L	T					
9. IRA MET LIFE AMERICA FUNDS GROWTH	A	Dividend	K	T					
10. IRA MET LIFE AMERICA FUNDS GROWTH INCOME	A	Dividend	K	T					
11. IRA MET LIFE DAVIS VENTURE VALUE	A	Dividend	J	T					
12. IRA MET LIFE HARRIS OAKMARK INTERNATIONAL	A	Dividend	K	T					
13. IRA MET LIFE LORD ABBETT BOND DEBENTURE	A	Dividend	K	T					
14. IRA MET LIFE NEUBERGER BERMAN GENESIS	A	Dividend	J	T					
15. IRA MET LIFE WESTERN ASSET MANAGEMENT US GOVERNMENT	A	Dividend	J	T					
16. IRA MET LIFE WESTERN ASSET MGMT STRATEGIC BOND	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

LINARES, JOSE L.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

17. REAL ESTATE RENTAL WEST CALDWELL NJ ON 8/1/13 FOR \$600000.	A	Rent			Sold	10/17/16	O	E	
18. MET LIFE VARIABLE ANNUITY ISHARES CORE S&P 500	B	Dividend	J	T	Redeemed (part)	11/15/16	L	A	
19. WELLS FARGO IRA	A	Interest	K	T					
20. WELLS FARGO IRA ISHARES CORE	A	Dividend			Redeemed	01/31/16	L	B	
21. WELLS FARGO IRA ISHARES MSCI	A	Dividend			Redeemed	01/31/16	K		
22. WELLS FARGO IRA ISHARES GROWTH	A	Dividend			Redeemed	01/31/16	K	B	
23. WELLS FARGO IRA DOUBLELINE FDS	C	Dividend			Redeemed	01/31/16	M	B	
24. WELLS FARGO IRA GATREWAY FUND	A	Dividend			Redeemed	01/31/16	K	B	
25. WELLS FARGO IRA LORD ABBETT ST DURATION	C	Dividend			Redeemed	01/31/16	L	B	
26. WELLS FARGO IRA LORD ABBETT TR FLTG RATE FD CLASS F	B	Dividend			Redeemed	01/31/16	K	B	
27. WELLS FARGO IRA	A	Interest	J	T					
28. WELLS FARGO IRA ISHARES CORE	B	Dividend	L	T					
29. WELLS FARGO IRA ISHARES MSCI	A	Dividend			Redeemed	01/05/16	K	A	
30. WELLS FARGO IRA ISHARE S&P GROWTH	A	Dividend			Redeemed	01/05/16	K	A	
31. WELLS FARGO IRA DOUBLINE FDS	C	Dividend	M	T					
32. WELLS FARGO IRA GATEWAY FUND	A	Dividend	K	T					
33. WELLS FARGO IRA LORD ABBETT TR SHORT DURATION	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

LINARES, JOSE L.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

34. WELLS FARGO IRA TR FLTG FD CLASS	B	Dividend			Redeemed	01/05/16	K	A	
35. WELLS FARGO BANK	A	Interest			Redeemed	01/05/16	J	A	
36. WELLS FARGO ISHARES CORE	A	Dividend			Redeemed	01/05/16	M	A	
37. WELLS FARGO ISHARES MSCI USA	A	Dividend			Redeemed	01/05/16	K	A	
38. WELLS FARGO ISHARES S&P 500	A	Dividend			Redeemed	01/05/16	K	A	
39. WELLS FARGO DOUBLELINE FUNDS	A	Dividend			Redeemed	01/05/16	M	A	
40. WELLS FARGO GATEWAY FUND	A	Dividend			Redeemed	01/05/16	K	A	
41. WELLS FARGO LORD ABBETT TD SHORT DURATION	A	Dividend			Redeemed	01/05/16	L	A	
42. WELLS FARGO LORD ABBETT TR FLTG FD CLASS F	A	Dividend			Redeemed	01/05/16	L	A	
43. WELLS FARGO BANK	A	Interest	J	T					
44. WELLS FARGO ISHARES CORE S&P 500	A	Dividend	K	T	Redeemed (part)	11/14/16	M	B	
45. WELLS FARGO ISHARES CURRENCY ET HEDGED	A	Dividend			Redeemed	11/14/16	K	B	
46. WELLS FARGO ISHARES S&P 500 GROWTH	A	Dividend			Redeemed	11/14/16	M	B	
47. WELLS FARGO ISHARES SECTOR ET TECHNOLOGY	A	Dividend			Redeemed	11/14/16	K	B	
48. WELLS FARGO SELECT SECTOR SPDR	A	Dividend			Redeemed	11/14/16	K	B	
49. WELLS FARGO LORD ABBETT TR SHORT TERM DURATION	A	Dividend			Redeemed	11/14/16	L	B	
50. WELLS FARGO LORD ABBETT HIGH YIELD FUND CLASS F	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

LINARES, JOSE L.

Date of Report

11/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

51. WELLS FARGO LORD ABBETT TR FLTG RASTE CLASS F	A	Dividend			Redeemed	11/01/16	K	B	
52. KEY BANK NATIONAL	A	Interest	J	T	Buy	11/01/16	J		
53. WELLS FARGO IRA BLACKROCK	A	Dividend	K	T	Buy	11/15/16	K		
54. WELLS FARGO IRA BLACKROCK FUNDS II	A	Dividend	L	T	Buy	11/15/16	L		
55. WELLS FARGO IRA DOUBLELINE FDS	A	Dividend	M	T	Buy	11/15/16	M		
56. WELLS FARGO IRA GATEWAY FUND CL	A	Dividend	K	T	Buy	11/15/16	K		
57. WELLS FARGO IRA JP MORGAN TR I STRATEGIC INCOME	A	Dividend	K	T	Buy	11/15/16	K		
58. WELLS FARGO IRA LORD ABBETT INV TR	A	Dividend	L	T	Buy	11/15/16	L		
59. WELLS FARGO IRA PRUDENTIAL INVT PORTFOLIO	A	Dividend	K	T	Buy	11/15/16	K		
60. WELLS FARGO IRA BLACKROCK	A	Dividend	K	T	Buy	11/11/16	K		
61. WELLS FARGO IRA BLACKROCK FUNDS II	A	Dividend	L	T	Buy	11/11/16	L		
62. WELLS FARGO IRA JP MORGAN TRI STRATEGIC INCOME	A	Dividend	K	T	Buy	11/11/16	K		
63. WELLS FARGO IRA PRUDENTIAL INV PORTFOLIO	A	Dividend	K	T	Buy	11/11/16	K		
64. WELLS FARGO ADVISORS DOUBLE LINE FUNDS	A	Dividend	K	T	Buy	03/30/16	K		
65. WELLS FARGO ADVISORS JP MORGAN TR II CORE PLUS BOND FUND SELECT CLASS	B	Dividend	K	T	Buy	03/30/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

LINARES, JOSE L.

Date of Report

11/20/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII INVESTMENTS AND TRUSTS

LINE 17 ON 8/1/2013 CONVERTED PRINCIPAL IN WEST CALDWELL NJ TO RENTAL PROPERTY FOR A COST OF \$600000.AND SUBSEQUENTLY SOLD ON 10/17/16 FOR \$740000.00

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

LINARES, JOSE L.

Date of Report

11/20/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ JOSE L. LINARES**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544