

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PECK, ANDREW J.	2. Court or Organization U.S. District Court, S.D.N.Y.	3. Date of Report 05/09/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full Time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States Courthouse 500 Pearl Street, Room 1370 New York, NY 10007-1312		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1978-1995	Paul, Weiss, Rifkind, Wharton & Garrison Pension Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	02/03/2015	Legal Tech: Catalyst (Teaching E-Discovery)	\$2,000.00
2.	02/03/2015	Legal Tech: Symantec (Teaching E-Discovery)	\$1,500.00
3.	02/04/2015	Legal Tech: Driven (Teaching E-Discovery)	\$1,500.00
4.	05/19/2015	CEIC (Guidance) (Teaching E-Discovery)	\$2,000.00
5.	06/16/2015	Sandpiper Partners E-Disc. Conference	\$2,000.00
6.	08/18/2015	Exterro Edisc Conf. (Teaching E-Discovery)	\$2,000.00
7.	08/31/2015	ILTA (Teaching E-Discovery)	\$2,000.00
8.	09/05/2015	L.A. Paralegal Association (Teaching E-Discovery)	\$2,000.00
9.	09/28/2015	U. Mo. at K.C. Law School (Teaching E-Discovery)	\$2,000.00
10.	10/12/2015	KCura Relativity Fest (Teaching E-Discovery)	\$2,000.00
11.	11/05/15	ILTA (Ohio) (Teaching E-Discovery)	\$2,000.000
12.	11/12/2015	Consolio Edisc. Symposium (Teaching E-Discovery)	\$2,000.00
13.	12/09/2015	Sandpiper Partners EDisc. Conf. (Teaching E-Discovery)	\$2,000.00
14.			
15.			
16.			
17.			

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	01/01/2015	12/31/15 N.Y.C. Board of Education - Pension
2.		

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3.

4.

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐

NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	ABA	3/24-3/30	Naples, FL	Speaker - ABA Conf.	Transportation, Lodging & Meals
2.	ABA	4/15-4/16	New Orleans, LA	Speaker - ABA E-Disc. Conf.	Transportation, Lodging & Meals
3.	Duke Law School	4/16-4/18	Durham, NC	Duke Law Bd. of Visitors Mtg	Transportation, Lodging & Meals
4.	Sedona Conf.	4/28-4/30	Dallas, TX	Speaker - E-Disc. Conf.	Transportation, Lodging & Meals
5.	NYSBA	5/15-5/17	Lake George, NY	NYSBA Conf.	Transportation, Lodging & Meals
6.	CEIC	5/18-5/21	Las Vegas, NV	Speaker - E-Disc. Conf.	Transportation, Lodging & Meals
7.	Sandpiper Partners	6/15-6/16	Houston, TX	Speaker - E-Disc. Conf.	Transportation, Lodging & Meals
8.	Singapore Academy of Law	6/24-7/02	Singapore	Speaker E-Disc. Conf.	Transportation, Lodging & Meals
9.	Exterro	8/24-8/25	Portland, OR	Speaker - E-Disc. Conf.	Transportation, Lodging & Meals
10.	ILTA	8/31-9/03	Las Vegas	Speaker - E-Disc. Conf.	Transportation, Lodging & Meals
11.	LA Paralegal Association	9/4-9/5	Los Angeles, LA	Speaker - E-Disc. Conf.	Transportation, Lodging & Meals
12.	U. Mo. KC Law School	9/28-9/29	Kansas City, MD	Speaker - E-Disc. Conf.	Transportation, Lodging & Meals
13.	Duke Law School	10/8-10/9	Durham, NC	Duke Law Board of Visitors Meeting	Transportation, Lodging & Meals
14.	KCura	10/11-10/12	Chicago, IL	Speaker - E-Disc. Conf.	Transportation, Lodging & Meals
15.	EDI	10/14-10/16	New Orleans	Speaker - E-Disc. Conf.	Transportation, Lodging & Meals
16.	Law Tech Europe	10/23-10-28	Brussels, Belgium	Speaker - E-Disc. Conf.	Transportation, Lodging & Meals
17.	ILTA (Ohio)	10/4-11/5	Colombus, OH	Speaker - E-Disc. Conf.	Transportation, Lodging & Meals
18.	Georgetown Law School	11/18-11/30	Washington, DC	Speaker - E-Disc. Conf.	Transportation, Lodging & Meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. U.S. Treas.Bills/Notes & SvgsBonds	A	Interest	K	T					
2. NYS, NYC & NYS Subdivs. Bonds	E	Interest	O	T					
3. Bristol-Myers-Squib (Common Stock)	A	Dividend	K	T					
4. Century Link (Telephone) (Common Stock)	A	Dividend	J	T					
5. Deutsche (DWS) N.Y. Tax Free Fund	E	Dividend	O	T					
6. Chase Bank	A	Interest	K	T					
7. DWS Equity Dividend Fund (Croc)	A	Dividend	J	T					
8. Eaton (Ireland) Common	A	Dividend	N	T					
9. American Express (Common Stock)	B	Dividend	K	T					
10. GLAXO (ADRs)	A	Dividend	J	T					
11. Citibank	A	Interest	L	T					
12. Dreyfus General NY Bond Fund	B	Interest	L	T					
13. N.Y.C. Teachers Retirement TDA	E	Interest	O	T					
14. Fidelity Puritan IRA	A	Interest	L	T					
15. Copeland (457) (IRA)	A	Interest	M	T					
16. Verizon (common)	A	Dividend	J	T					
17. Allstate Corp. (common)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Walt Disney Holding (Common)	A	Dividend	L	T					
19. Time Warner & Time Warner Cable (Common)	A	Dividend	J	T					
20. Putnam Growth Fund	A	Dividend	J	T					
21. Hewlett Packard (Common)	A	Dividend	J	T					
22. Oracle (Common)	A	Dividend	K	T					
23. Pfizer (Common)	A	Dividend	J	T					
24. Schwab Vanguard Target Retire.Fund(IRA)	D	Interest	N	T					
25. Putnam Growth Fund (IRA)	A	Interest	K	T					
26. Deutsche (DWS Scudder) S&P 500 Index Fund	B	Dividend	K	T					
27. Eaton Vance Government Obligation Fund	A	Dividend	K	T					
28. Seligman Communication Fund	A	Dividend	K	T					
29. Coca-Cola (Common)	A	Dividend	J	T					
30. PIMCO N.Y. Municipal Income Fund	A	Dividend	J	T					
31. Voya Fund (Formerly ING)	A	Dividend	L	T					
32. Zimmer Holdings	A	Dividend	J	T					
33. Microsoft	A	Dividend	K	T					
34. Liberty Global & Liberty Media (Common)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Pioneer Municipal High Income	A	Dividend	K	T					
36. S&P Depository Receipt	A	Dividend	L	T					
37. Capitol One Bank	D	Interest	O	T					
38. Comcast	A	Dividend	J	T					
39. Discovery Communications	A	Dividend	J	T					
40. [Direct TV] - AT&T	A	Dividend	J	T	Merger	7/27/15	J	A	
41. [Sovereign Bank Account (Santander)] Apple Bank	C	Interest	O	T					
42. TD Bank	A	Interest	K	T					
43. (Pimco) Total Return Annuity	A	Interest	K	T					
44. Fidelity 4 in 1 Fund	A	Interest	K	T					
45. Fidelity Asset Mgr.	A	Interest	K	T					
46. LOMTO Credit Union	D	Interest	N	T					
47. Fidelity VIP Strategic Income	A	Dividend	L	T					
48. Yacktmán Fund	A	Dividend	M	T					
49. Yacktmán Focused Service Fund	A	Interest	K	T					
50. N.Y.C. IRA Funds	A	Dividend	L	T					
51. MS AAA NY Muni Money Market	A	Dividend	-	-	Sell	6/30/15	J	A	

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52. Fidelity VIP Contra Fund	A	Dividend	J	T					
53. Villere Balanced Fund	A	Dividend	K	T					
54. Fidelity NY Money Market Fund (Sweep Fund)	A	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ ANDREW J. PECK**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544