

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KOLLAR-KOTELLY, COLLEEN	2. Court or Organization United States District Court	3. Date of Report 05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. District Court 333 Constitution Avenue, NW Washington, D.C. 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	Robert Shuler Scholarship Memorial Fund
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2013	D.C. Superior Court Retirement Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	D.C. Superior Court Retirement Fund	\$122,146.56
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Self-Employed Attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Credit Card	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property, [REDACTED]	E	Rent	M	W					
2. PNC National Bank		None	J	T					
3. Bank of America		None	J	T					
4. DSM Poseidon L.L.C.		None	J	U					
5. Bank of New York Com. Stock	A	Dividend			Sold	12/12/13	J	C	
6. General Electric Com. St.	B	Dividend	K	T	Sold (part)	12/11/13	J	A	
7. Schwab Money Market Fund	A	Dividend	M	T					
8. Sterling One Industrial LLC	D	Dividend	K	U					
9. Apache Corp. Com. St.	A	Dividend	K	T	Sold (part)	02/05/13	J	D	
10. Pioneer Natural Resource Com. St.	A	Dividend	K	T	Sold (part)	02/05/13	J	D	
11. Harris Corp. Com. St.	A	Dividend	K	T	Sold (part)	05/17/13	K	A	
12. Walt Disney Com. St.	A	Dividend	K	T	Sold (part)	02/05/13	K	E	
13. Norfolk Southern Com. St.	A	Dividend	K	T	Sold (part)	02/05/13	J	C	
14. Goldman Sachs Notes	B	Interest			Matured	04/01/13	K	A	
15. Wilmington Trust bonds	B	Interest	K	T					
16. Dupont E.I. Nemour Com. St.	B	Dividend	K	T					
17. Dominion Resource Com. St.	A	Dividend	K	T	Sold (part)	12/12/13	J	C	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Vanguard Corp. Bond	B	Interest	K	T					
19. HCP Inc. Com. St.	B	Dividend	K	T	Buy (add'l)	09/30/13	J		
20. Eaton Com. St.	A	Dividend	K	T					
21. Atlas Pipeline Partners Com. St.	B	Dividend	K	T					
22. DOW Chemical Com. St.	B	Dividend	K	T	Sold (part)	12/11/13	J	B	
23. Royal Caribbean Notes	B	Interest	K	T	Matured	12/01/13	K	A	
24. Anadarko Petroleum Notes	B	Interest	K	T					
25. SPDR Barclays Capital Bond	C	Interest	K	T					
26. Eaton Vance Ltd.	B	Interest	K	T					
27. Apple Inc. Com. St.	B	Dividend	L	T	Sold (part)	01/11/13	J	D	
28. Apple Inc. Com. St.	B	Dividend	L	T	Buy (add'l)	05/13/13	J	D	
29. International Lease Fin. Notes	A	Interest			Matured	03/25/13	J	A	
30. Ishares Gold Trust Com. St.		None	K	T					
31. Jeffries Group Bonds	A	Interest	K	T					
32. Jacobs Engineering Group Com. St.		None			Sold	02/05/13	J	D	
33. Buckeye Partners Uts LP	A	Dividend	J	T	Buy	02/07/13	K		
34. Buckeye Partners Uts LP	A	Dividend	J	T	Sold (part)	05/29/13	J	C	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Buckeye Partners U ts LP	A	Dividend	J	T	Sold	12/11/13	J	D	
36. Vodafone Group PLC ADR	B	Dividend	K	T	Buy	02/07/13	K		
37. Vodafone Group PLC ADR	B	Dividend	K	T	Sold (part)	09/30/13	J	D	
38. Vodafone Group PLC ADR	B	Dividend	K	T	Sold (part)	12/11/13	J	C	
39. Titan Internation Inc. Com. Stock	A	Dividend	K	T	Buy	03/04/13	K		
40. Titan Internation Inc. Com. Stock	A	Dividend	K	T	Buy (add'l)	07/30/13	J		
41. Home Depot Com. Stock	A	Dividend	K	T	Buy	04/18/13	K		
42. Global X Funds	B	Dividend	K	T	Buy	05/09/13	K		
43. Powershares Senior Loan Port	B	Dividend	K	T	Buy	11/14/13	K		
44. United Health Group	A	Dividend	K	T	Buy	12/12/13	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ COLLEEN KOLLAR-KOTELLY

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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