

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lipp, Wendelin I.	2. Court or Organization Maryland- Bankruptcy Court	3. Date of Report 04/15/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 6500 Cherrywood Lane Third Floor Greenbelt, Maryland 20770		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Directors	Walter Chandler American Bankruptcy Inn of Court
2. TRUSTEE FOR FAMILY MEMBER'S TRUST	N/A
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2017

salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Bankruptcy Bar Association
for the District of Maryland

5/5-5/6/17

Annapolis, Maryland

Bankruptcy seminar
speaker

Food and 1 night hotel stay.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental property Montgomery County, MD (166,000)	D	Rent	M	S					
2. GE-common	D	Dividend	J	T	Sold (part)	11/24/17	M	D	
3. United Tech-common	D	Dividend	N	T					
4. [REDACTED]		None	L	U					
5. [REDACTED]		None	J	U					
6. 401K									
7. SWMXX	B	Dividend	N	T					
8. EUEYX n/k/a AIFRX	A	Dividend	J	T					
9. JENSX	B	Dividend	K	T	Buy (add'l)	10/26/17	K		
10. BJBIX	A	Dividend	J	T					
11. Capital One Bank-Various Accounts	A	Interest	M	T					
12. RBC Bank Deposit Program /cash	A	Interest	L	T					
13. Acacia n/k/a/AMERITAS LIFE INS. CORP. (Whole Life Insurance policy)	A	Dividend	K	T					
14. Acacia n/k/a/AMERITAS LIFE INS. CORP. (Whole Life Insurance policy)	A	Dividend	K	T					
15. AIG Sun America n/k/a AIG AMER. GEN. LIFE INS. (whole life insur.)	A	Dividend	J	T					
16. IRA #1									
17. PONAX	C	Dividend	L	T	Buy (add'l)	10/13/17	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

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18. RAGTX	A	Dividend	L	T					
19. SGENX	A	Dividend	L	T					
20. KLCAX		None	K	T					
21. AZNAX	A	Dividend			Merged (with line 18)	06/26/17	K		
22. ITHAX		None			Sold	12/04/17	K	B	
23. BME		None	K	T					
24. TGTRX	B	Dividend	K	T					
25. GLOBAL X FDX (SDIV)	C	Dividend	K	T					
26. UIT FT DIV INC PLUS PORT 20	A	Dividend			Redeemed	06/26/17	K	B	
27. WISDOMTREE TRUST MIDCAP DIV FD ETF (DON)	A	Dividend	K	T					
28. WISDOMTREE TRUST SMALLCAP FUND ETF	A	Dividend	K	T					
29. UIT FTEP SER 2016	A	Dividend	K	T					
30. UIT FT SER 21	A	Dividend			Redeemed	12/04/17	K	C	
31. UIT HIGH DIV EQUITY PORT SER 26	C	Dividend	L	T					
32. NEFXS (NATIXIS)	A	Dividend	K	T	Buy	12/04/17	K		
33. UIT FT FINLS SELECT PORT SER 60	A	Dividend	K	T	Buy	06/26/17	K		
34.					Buy (add'l)	06/27/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. UIT FT SMID HIGH DIV PORT SER 27	A	Dividend	K	T	Buy	10/13/17	K		
36. UIT FT STRATEGIC DIV SELECT PORT SER 30	A	Dividend	K	T	Buy	09/25/17	K		
37.					Buy (add'l)	10/27/17	J		
38. UIT FT SMID HIGH DIV PORT SER 20	A	Dividend			Redeemed	10/13/17	K	D	
39. IRA#2:									
40. Oppenheimer Value Fund (CGRWX)	A	Dividend	J	T					
41. Growth Fund of America MF	A	Dividend	J	T					
42. Washington Mutual Investors Fund MT	A	Dividend	J	T					
43. QQQ 100 Trust/Powershares	A	Dividend	K	T					
44. UIT FT DIV INC PLUS PORT SER. 20	A	Dividend			Redeemed	09/07/17	K	B	
45. UIT FT SMID HIGH DIV PORT SER 20	A	Dividend			Redeemed	01/23/17	K	C	
46. UIT FT DIV STRENGTH SER 37	A	Dividend	K	T	Buy	08/14/17	K		
47. UIT FT SMID HIGH DIV PORT SER 27	A	Dividend	J	T	Buy	10/13/17	J		
48. Blackrock US Opp. Port Cl. A k/n/a Blackrock High Equity Inc. (BMEAX)	C	Dividend	J	T					
49. Allianz RCM Global Technology-MF (RAGTX)	D	Dividend	L	T					
50. Goldman Sachs Mid Value Fund-MF	A	Dividend	K	T					
51. Prudential Jennison 20/20 Focus Fund-MF		None	L	T					

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52. Oppenheimer Value Fund Class A	A	Dividend	K	T					
53. The Growth Fund of America-MF	A	Dividend	M	T					
54. New Perspective Fund A	A	Dividend	L	T					
55. Fundamental Investors A	A	Dividend	L	T					
56. UIT FT SERIES 29 CAP STRENGTH PORT SEMI-ANNUAL		None			Redeemed	05/01/17	K	A	
57. JTD	A	Dividend	J	T					
58. ONEOK Partners LP	A	Int./Div.	K	T					
59. XLK	A	Dividend	K	T					
60. FIRST TRUST ETF NYS ARCA BIOTECH INDEX FUND (FBT)		None	K	T					
61. AB HIGH INC. MUNIC. PORT CLA (ABTHX)	D	Dividend	M	T					
62. SPH	A	Interest			Sold	06/26/17	J	C	
63. DVY	A	Dividend	K	T					
64. MFS SER TRX INT'L VALUE FD (MGIAX)	A	Dividend	K	T					
65. IVY FDS INC (WSTAX)	B	Dividend	K	T					
66. FRANKLIN TEMP. FUNDS MD T/F INC. FUND CLA (FMDTX)	B	Dividend	L	T	Buy	06/23/17	J		
67.					Buy (add'l)	10/13/17	J		
68.					Buy (add'l)	11/13/17	J		

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69. UIT FT BIOTECH PORT SER 37		None	K	T	Buy	06/27/17	K		
70. UIT FT HIGH DIV EQUITY PORT SER 26	B	Dividend	K	T					
71. UIT FT DIV STRENGTH PORT SER 29	A	Dividend			Redeemed	09/18/17	K	D	
72. UIT FT CAP STRENGTH SER 37	A	Dividend	K	T	Buy	03/22/17	K		
73. UIT FTP DIV INC PLUS PORT SER 20	A	Dividend			Redeemed	09/07/17	L	C	
74. UIT FTP DIV INC PLUS PORT SER 21	A	Dividend			Redeemed	12/04/17	K	C	
75. UIT FT CLOUD COMP. SER 17	A	Dividend	K	T	Buy	06/26/17	J		
76. UIT FT SMID SER 30 CAP STR PORT	A	Dividend	K	T					
77. UIT FT US ENER, INDEP SER 7		None			Redeemed	02/02/17	K		
78. UIT FT CAP STRENGTH PORT SER 29		None			Redeemed	05/01/17	K	A	
79. UIT FT ELECTION PORT SER 2016	A	Dividend	J	T					
80. UIT FT SMID HIGH DIV PORT SER 20	A	Dividend			Redeemed	01/23/17	K	D	
81. UIT FT SMID HIGH DIV PORT SER 22	A	Dividend	K	T					
82. UIT GUGG DEF PORT BLUE CHIP SER 9	A	Dividend			Sold	10/13/17	K	D	
83. UIT GUGG DEF PORT SER 11 US CAP STR	A	Dividend	K	T					
84. UIT GUGG DEF PORT SER 23 DIVERS. DIV & INC. PORT	B	Dividend	K	T					
85. UIT ENERGY SELECT SER 61	A	Dividend	K	T	Buy	01/09/17	K		

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86. UIT FT SMID HIGH DIV PORT SER 27		None	K	T	Buy	10/17/17	K		
87. UIT FT TECH. SELECT PORT SER 65	A	Dividend	K	T	Buy	06/26/17	J		
88. UIT FT FINLS SELECT SER 60	A	Dividend	K	T	Buy	06/26/17	K		
89. UIT FT STRATEGIC DIV SELECT PORT SER 30	A	Dividend	L	T	Buy	09/25/17	K		
90.					Buy (add'l)	09/26/17	J		
91.					Buy (add'l)	10/13/17	K		
92.					Buy (add'l)	10/27/17	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. Investments and Trusts:

No. 1: The tax assessment is \$166,000.

No. 38: This entry was inadvertently omitted from the last report. I thought it was a duplicate item and omitted it in error. The UIT was purchased on 1/26/16 with a K value code.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Wendelin I. Lipp

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544