

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Russell, Barry	2. Court or Organization United States Bankruptcy Court	3. Date of Report 08/29/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2016 to 12/31/2016
5b. ☒ Amended Report		
7. Chambers or Office Address Roybal Federal Building 255 E. Temple Street, Suite 1660 Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	L.A. FBA Medal of Valor, Non-Profit
2.	Director	Western Justice Center Foundation, Non-Profit
3.	Director	Activity Source for Seniors, Inc., Non-Profit
4.	Director	L.A. FBA Legal Education Foundation, Non-Profit
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	Book Royalty, Thomson-West	\$45,143.44
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. BBA Southern District of Florida Annual Weekend Retreat	May 12-16, 2016	Marco Island, FL	Bankruptcy Lecture	Meals, Hotel & Transportation
2. California Bankruptcy Forum	May 20-22, 2016	Indian Wells, CA	Bankruptcy Lecture	Meals, Hotel & Transportation
3. Association of Insolvency & Bankruptcy Restructuring Advisors	June 8-11, 2016	San Diego, CA	Bankruptcy Lecture	Meals, Hotel & Transportation
4. CCBA Institute	September 23, 2016	Fresno, CA	Bankruptcy Lecture	Transportation
5. BASF	October 18, 2016	San Francisco, CA	Bankruptcy Lecture	Transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Southland Civic Credit Union, Los Angeles, CA	C	Interest	M	T					
2. American Tax Credit Prop II, LTD., Partnership	A	Interest	J	U					
3. Time Share - Palm Springs, CA (see Part VIII for add'l information)		None	J	R					
4. MSFT	A	Dividend	J	T					
5. LUCENT	A	Dividend	J	T					
6. CISCO	A	Dividend	J	T					
7. AOL	A	Dividend	J	T					
8. IBM	A	Dividend	J	T					
9. YHOO	A	Dividend	J	T					
10. ITEL	A	Dividend	J	T					
11. E-TRADE	A	Dividend	J	T					
12. VTSS	A	Dividend	J	T					
13. MCI	A	Dividend	J	T					
14. AMAT	A	Dividend	J	T					
15. FMA Equity Growth LTD (see Part VIII for add'l information)	A	Dividend			Closed	11/01/16	M		
16. L&S Advisors (Charles Schwab) (see Part VIII for add'l information)	A	Dividend	M	T	Open	11/01/16	M		
17. ATVI	A	Dividend	J	T	Buy	11/29/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. BABA	A	Dividend	J	T	Buy	11/29/16	J		
19. GOOGL	A	Dividend	J	T	Buy	11/29/16	J		
20. AMZN	A	Dividend	J	T	Buy	11/29/16	J		
21. AAPL	A	Dividend	J	T	Buy	11/29/16	J		
22. BHP	A	Dividend	J	T	Buy	11/29/16	J		
23. AVGO	A	Dividend	J	T	Buy	11/29/16	J		
24. CELG	A	Dividend	J	T	Buy	11/29/16	J		
25. EA	A	Dividend	J	T	Buy	11/29/16	J		
26. FB	A	Dividend	J	T	Buy	11/29/16	J		
27. GS	A	Dividend	J	T	Buy	11/29/16	J		
28. HD	A	Dividend	J	T	Buy	11/29/16	J		
29. JPM	A	Dividend	J	T	Buy	11/29/16	J		
30. MGM	A	Dividend	J	T	Buy	11/29/16	J		
31. MSFT	A	Dividend	J	T	Buy	11/29/16	J		
32. NFLX	A	Dividend	J	T	Buy	11/29/16	J		
33. NVDA	A	Dividend	J	T	Buy	11/29/16	J		
34. NXPI	A	Dividend	J	T	Buy	11/29/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. PANW	A	Dividend	J	T	Buy	11/29/16	J		
36. PE	A	Dividend	J	T	Buy	11/29/16	J		
37. PXD	A	Dividend	J	T	Buy	11/29/16	J		
38. PFPT	A	Dividend	J	T	Buy	11/29/16	J		
39. RHT	A	Dividend	J	T	Buy	11/29/16	J		
40. SBAC	A	Dividend	J	T	Buy	11/29/16	J		
41. SHPG	A	Dividend	J	T	Buy	11/29/16	J		
42. SWKS	A	Dividend	J	T	Buy	11/29/16	J		
43. SBUX	A	Dividend	J	T	Buy	11/29/16	J		
44. TMO	A	Dividend	J	T	Buy	11/29/16	J		
45. USB	A	Dividend	J	T	Buy	11/29/16	J		
46. ULTA	A	Dividend	J	T	Buy	11/29/16	J		
47. UNP	A	Dividend	J	T	Buy	11/29/16	J		
48. UNH	A	Dividend	J	T	Buy	11/29/16	J		
49. V	A	Dividend	J	T	Buy	11/29/16	J		
50. VMC	A	Dividend	J	T	Buy	11/29/16	J		
51. WAGE	A	Dividend	J	T	Buy	11/29/16	J		

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52. WBA	A	Dividend	J	T	Buy	11/29/16	J		
53. XPO	A	Dividend	J	T	Buy	11/29/16	J		
54. AMT	A	Dividend	J	T	Buy	11/29/16	J		
55. ALK	A	Dividend	J	T	Buy	12/08/16	J		
56. CELG	A	Dividend			Sold	12/08/16	J	A	
57. RHT	A	Dividend			Sold	12/22/16	J	A	
58. OZRK	A	Dividend	J	T	Buy	12/27/16	J		
59.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, No. 3. Time Share - Palm Springs, CA: Purchased in December 13, 1996. Purchase price: \$7,990.00

Part VII, No. 15. Funds transferred to L&S Advisors (Charles Schwab), listed in Part VII as no. 16

Part VII, No. 16. Stocks listed in nos. 17-58 in Part VII are part of the L&S Advisors (Charles Schwab) account

Part VII, No. 56. CLEG stock was bought and sold during 2016 (see no. 24)

Part VII, No. 57. RHT stock was bought and sold during 2016 (see no. 39)

Part VII, No. 17-58. Stocks listed in nos. 17-58 in Part VII are held in a Stifel Nicolas Brokerage Firm Account

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Barry Russell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544