

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Henderson, Karen L.	2. Court or Organization US Circuit Court of Appeals, District of Columbia Circuit	3. Date of Report 08/15/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Court Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address US Courthouse, Room 3118 333 Constitution Avenue, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank Account		None	J	T					
2. Suntrust Bank Checking Account	A	Interest	J	T					
3. Suntrust Bank Investment Account Contains:									
4. **Suntrust Cash Account	A	Dividend	M	T					
5. Allis Chalmers Energy Inc.: Common		None	J	W					
6. Morgan Stanley Account Contains:									
7. **Morgan Stanley Cash Accounts	A	Interest	K	T					
8. **AT&T Inc.: Common		None	K	T					
9. **Alcatel-Lucent: Common		None	J	T					
10. **NCR Corp: Common		None	J	T					
11. **Teradata Corp: Common		None	J	T					
12. **International Business Machines: Common	A	Dividend	J	T					
13. IRA: Morgan Stanley Contains:									
14. **AT&T Inc.: Common		None	L	T					
15. **Citigroup Inc.: Common	A	Dividend	J	T					
16. **Alcatel-Lucent: Common		None	J	T					
17. **Intl Business Machines Corp.: Common	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. **NCR Corp.: Common		None	J	T					
19. **Teradata Corp: Common		None	J	T					
20. **Morgan Stanley Cash Accounts	A	Interest	M	T					
21. **Traveler's Companies: Common	A	Dividend	J	T					
22. Merrill Lynch Account Contains:									
23. **Merrill Lynch Cash Accounts	A	Interest	M	T					
24. Merrill Lynch IRA Contains:									
25. **AT&T, Inc.: Common	B	Dividend	K	T					
26. **Alcatel-Lucent: Common		None	J	T					
27. **Marathon Oil Corp: Common	A	Dividend	K	T					
28. **Marathon Petroleum: Common	C	Dividend	M	T					
29. **Merrill Lynch Cash Accounts	A	Interest	K	T					
30. Wells Fargo Rollover IRA Contains:									
31. **Wells Fargo PT Money Market Fund	A	Interest	P1	T					
32. **United States Treasury Bills Due 01/29/2015	A	Interest			Redeemed	01/29/15	P1		
33. Stanley Black & Decker Corp.: Common	A	Dividend	L	T					
34. Bank America Corp.: Common	D	Dividend	N	T					

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☐ NONE (No reportable income, assets, or transactions.)

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35. The Boeing Co.: Common	E	Dividend	O	T					
36. Campbell Soup Co.: Common	D	Dividend	N	T					
37. Colgate-Palmolive Co.: Common	E	Dividend	P1	T					
38. General Electric Co.: Common	E	Dividend	P1	T					
39. The Goodyear Tire & Rubber Co.: Common	A	Dividend	L	T					
40. IBM Corp.: Common	D	Dividend	M	T					
41. Exxon-Mobil Corp.: Common	E	Dividend	N	T					
42. Kinder Morgan	D	Dividend	K	T					
43. Pfizer Co.: Common	D	Dividend	N	T					
44. Marathon Group: Common	C	Dividend	L	T					
45. Marathon Petroleum: Common	D	Dividend	N	T					
46. Monsanto: Common	D	Dividend	N	T					
47. Chevron Corporation: Common	E	Dividend	N	T					
48. Weyerhaeuser Co.: Common	C	Distribution	M	T					
49. US Steel Group: Common	A	Dividend	J	T					
50. Allstate: Common	A	Dividend	K	T					
51. Delhaize Group Spons: Common	A	Dividend	K	T					

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52. DirecTV Group, Inc.: Common		None			Sold	07/24/15	J	A	
53. Discover Financial Services: Common	A	Dividend	J	T					
54. Federal Signal Corp: Common	A	Dividend	L	T					
55. Halliburton Co.: Common	A	Dividend	K	T					
56. Morgan Stanley: Common	A	Dividend	J	T					
57. 21st Century Fox	A	Dividend	J	T					
58. Royal Dutch Shell: Common	F	Dividend	O	T					
59. Schlumberger Ltd.: Common	D	Dividend	N	T					
60. Transocean Inc.: Common	A	Dividend	J	T					
61. Valhi Inc.: Common	A	Dividend	J	T					
62. Total S.A. :Common Stock	A	Dividend	J	T					
63. Springtree Associates Partnership: 1% Interest (Columbia, SC)	B	Rent	J	W					
64.	A	Interest							
65. Wells Fargo Bank Account	A	Interest	N	T					
66. First Citizens Bank Account	A	Interest	N	T					
67. NBSC Money Market Account	A	Interest	M	T					
68. BB&T Money Market Account	A	Interest	M	T					

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69. South State Bank	A	Interest	M	T					
70. TD Bank Money Market Account	A	Interest	M	T					
71. Minnesota Mutual Life Insurance Policy	A	Dividend	L	T					
72. John Hancock Life Insurance Policy	A	Dividend	J	W					
73. Merrill Lynch SEP Contains:									
74. **Merrill Lynch SEP Cash Accounts	A	Interest	J	T					
75. **Chevron Corp: Common	D	Dividend	M	T	Sold (part)	11/18/15	J	B	
76. **Thornburg International Value Fund	A	Dividend			Sold	11/18/15	L	A	
77. Wells Fargo Bank Trust Contains:									
78. **Cash accounts		None	J	T					
79. **American Cnetury Tax Free Bond	A	Dividend	K	T	Buy (add'l)	04/22/15	J		
80.					Sold (part)	07/23/15	J	A	
81.					Buy (add'l)	06/11/15	J		
82.					Buy (add'l)	11/12/15	J		
83.					Sold (part)	11/12/15	J	A	
84. **AQR Managed Futures	A	Dividend	J	T	Buy (add'l)	01/26/15	J		
85.	A	Distribution			Sold (part)	04/22/15	J	A	

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86.					Buy (add'l)	07/27/15	J		
87.					Buy (add'l)	01/26/15	J		
88. **Artisan International Fund		None			Sold (part)	04/22/15	J	A	
89.					Sold	05/15/15	J		
90. **Artisan Mid Cap Fund	A	Distribution	J	T	Buy (add'l)	01/26/15	J		
91.					Sold (part)	04/22/15	J	A	
92.					Buy (add'l)	07/27/15	J		
93.					Sold (part)	12/10/15	J	A	
94. **ASG Global Alternatives	A	Dividend	J	T	Sold (part)	04/22/15	J	A	
95.	A	Distribution			Sold (part)	10/28/15	J	A	
96. **Barclays Bank PLC iPath Bloomberg		None			Sold	01/26/15	J	A	
97. **Credit Suisse Commodity		None	J	T	Buy (add'l)	01/26/15	J		
98.					Buy (add'l)	04/22/15	J		
99.					Sold (part)	07/23/15	J	A	
100.					Buy (add'l)	11/12/15	J		
101. **Dodge & Cox International Stock Fund	A	Dividend	J	T	Buy (add'l)	01/26/15	J		
102.					Sold (part)	04/22/15	J	A	

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103.					Sold (part)	07/23/15	J	A	
104.					Buy (add'l)	11/12/15	J		
105. **Dreyfus Emerging Markets Debt Fund	A	Dividend			Buy (add'l)	01/26/15	J		
106.					Sold (part)	04/22/15	J	A	
107.					Buy (add'l)	11/12/15	J		
108.					Sold	12/21/15	J	A	
109. **Dreyfus International Bond Fund	A	Dividend			Buy (add'l)	01/26/15	J		
110.					Sold (part)	06/11/15	J	A	
111.					Sold	07/23/15	J	A	
112. **Dreyfus Tax Exempt	A	Interest	J	T					
113. **Driehaus Active Income	A	Dividend			Sold (part)	01/22/15	J	A	
114.					Sold	10/28/15	J	A	
115. **Eaton Vance Global	A	Dividend	J	T	Buy	10/28/15	J		
116. **Fidelity Emerging Markets	A	Dividend	J	T	Buy (add'l)	01/26/15	J		
117.					Buy (add'l)	04/22/15	J		
118.					Buy (add'l)	12/18/15	J		
119. **Harbor Capital Appreciation Fund	A	Dividend	K	T	Buy (add'l)	01/26/15	J		

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120.	B	Distribution			Sold (part)	04/22/15	J	A	
121.					Buy (add'l)	07/27/15	J		
122.					Buy (add'l)	08/31/15	J		
123.					Sold (part)	11/12/15	J	A	
124. **iShares Core S&P 500	A	Dividend			Sold (part)	01/26/15	J	A	
125.					Sold	07/27/15	J	B	
126. **JP Morgan Mid Cap Value Fund	A	Dividend	J	T	Sold (part)	04/22/15	J	A	
127.	A	Distribution			Buy (add'l)	07/27/15	J		
128. **Kalmar Growth with Value Small Cap Ins FD		None			Sold (part)	04/22/15	J	A	
129.					Sold	07/23/15	J	A	
130. **The Merger Fund Class International	A	Dividend	J	T	Sold (part)	01/22/15	J	A	
131.	A	Distribution			Sold (part)	10/28/15	J	A	
132. **MFS Value Fund	A	Dividend	K	T	Sold (part)	01/22/15	J	A	
133.	A	Distribution			Buy (add'l)	07/27/15	J		
134.					Buy (add'l)	08/31/15	J		
135.					Sold (part)	11/12/15	J	A	
136. **Neuberger Berman Long Short Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. **Nuveen High Yield Muni	A	Dividend	J	T	Sold (part)	08/31/15	J	A	
138. **Nuveen International Duration	A	Dividend	K	T	Sold (part)	01/23/15	J	A	
139.					Sold (part)	04/22/15	J	A	
140.					Buy (add'l)	06/11/15	J		
141.					Buy (add'l)	07/27/15	J		
142.					Sold (part)	11/12/15	J	A	
143. **Nuveen Limited Term Muni	A	Dividend	K	T	Buy (add'l)	04/22/15	J		
144.					Buy (add'l)	06/11/15	J		
145.					Buy (add'l)	07/27/15	J		
146.					Sold (part)	11/12/15	J	A	
147. **Oppenheimer Developing Markets Fund Class I	A	Dividend	J	T	Buy (add'l)	01/26/15	J		
148.					Sold (part)	04/22/15	J	A	
149.					Buy (add'l)	07/27/15	J		
150.					Sold (part)	11/12/15	J	A	
151. **PIMCO Foreign Bond Fund	A	Dividend			Sold (part)	06/11/15	J	A	
152.					Sold	07/23/15	J	A	
153. **Principal Global Multi Strategy Fund		None			Sold	10/28/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Henderson, Karen L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. **SPDR DJ Wilshire International	A	Dividend	J	T	Sold (part)	01/26/15	J	A	
155.					Buy (add'l)	07/27/15	J		
156. **Stratton Small Cap Value		None			Buy (add'l)	01/26/15	J		
157.					Buy (add'l)	07/27/15	J		
158.					Closed	11/13/15	J		
159. **T Rowe Price Institutional Float	A	Dividend	J	T	Buy (add'l)	04/22/15	J		
160.					Sold (part)	08/31/15	J	A	
161. **Vanguard FTSE Emerging Markets	A	Dividend			Buy (add'l)	01/26/15	J		
162.					Sold	07/27/15	J	A	
163. **Vanguard REIT Viper	A	Dividend	J	T	Sold (part)	01/26/15	J	A	
164.					Buy (add'l)	04/24/15	J		
165.					Buy (add'l)	07/27/15	J		
166. **American Centruy Small Cap Growth Fund		None	J	T	Buy	07/27/15	J		
167. **Artisan International Fund #662	A	Distribution	J	T	Buy	05/15/15	J		
168.					Sold (part)	07/23/15	J	A	
169.					Buy (add'l)	11/12/15	J		
170. **Blackrock Global Long/Short Credit Fund	A	Dividend	J	T	Buy	10/28/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. **iShares Russell Mid Cap	A	Dividend	J	T	Buy	12/14/15	J		
172. **Streling Stratton Small Cap Value Fund	A	Dividend	J	T	Open	11/13/15	J		
173. **T Rowe Price Real Estate Fund		None	J	T	Buy	12/29/15	J		
174. Wells Fargo Brokerage Account Contains:									
175. **Cash Accounts		None	J	T					
176. **US Treasury Bill Due 04/02/2015	A	Interest			Redeemed	04/02/15	P1		
177. **US Treasury Bill Due 10/03/2015		None			Buy	04/06/15	P1		
178.	A	Interest			Redeemed	10/08/15	P1		
179. **US Treasury Bill due 05/26/16		None	P1	T	Buy	11/23/15	P1		
180. AT&T STOCK		None	J	T	Buy	07/24/15	J		
181. NEWSCORP STOCK	A	Dividend	J	T	Buy	06/30/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Line 52 & Line 180 - DirectTV was acquired by AT&T effective July 24, 2015. No gain or loss was recognized because the DirectTV shares were replaced with AT&T shares of same value.

Part VII - Line 89 and Line 167 - Artisan International Fund was exchanged for Artisan International Fund 662 on 5/15/2015.

Part VII - Line 156 - 158 & Line 1742 Stratton Small Cap Value closed 11/13/15 and opened as Sterling Capital Stratton Small Cap Value Fund on same date.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Karen L. Henderson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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