

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hodges, Shiva V.	2. Court or Organization U.S. District Court	3. Date of Report 05/10/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge, Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address Matthew J. Perry, Jr. Federal Courthouse 901 Richland Street Columbia, South Carolina 29201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	USC Law School- teaching	\$5,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. South Carolina Association for Justice	August 6-8, 2015	Hilton Head Island, SC	Guest at annual convention/ CLE	Lodging/Registration
2. South Carolina Bar	January 9, 2015	Charleston, SC	Speaker at CLE	Travel mileage
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Friends and colleagues in South Carolina District Court (see note in Part VIII)	Monetary donation to assist with home repairs after damage from massive flooding	\$800.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Bank	Mortgage on Property #1 (rental property), Pawleys Island, SC	M
2.	NBSC	Line of Credit on Property #1 (rental property), Pawleys Island, SC	M
3.	South Carolina Student Loan Corporation (Y)	Student Loan #1	None
4.	South Carolina Student Loan Corporation	Student Loan #2	K
5.	Chase (Y)	Mortgage on Property #2 (rental property), Columbia, SC	None
6.	NBSC (Y)	Line of Credit on Property #2 (rental property), Columbia, SC	None
7.	Nationstar Mortgage	Mortgage on Property #3 (rental property), Florence, SC	M
8.	NBSC Visa	Credit Card	J

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Property #1, Pawleys Island, SC	D	Rent	M	W					
2. Northwestern Mutual Whole Life Policy #1	B	Dividend	K	T					
3. 401K #1	A	Int./Div.	M	T					
4. -Ishares Russell 1000 GRW ETF					Buy	02/09/15	J		
5. -Ishares Russell 1000 Value ETF					Buy	02/09/15	J		
6. -Vanguard Mid-cap ETF Index					Buy	02/09/15	J		
7. -Vanguard Mid-cap ETF Index					Buy	03/26/15	J		
8. -Clearbridge Aggressive GWTH I					Buy	02/09/15	J		
9. -American Europacific GRW F2					Buy	02/09/15	J		
10. -American Europacific GRW F2					Buy	03/26/15	J		
11. -MFS International Value I					Buy	02/09/15	J		
12. -MFS International Value I					Buy	03/26/15	J		
13. -Ishares Select Dividend ETF					Buy	02/09/15	J		
14. -Ishares Select Dividend ETF					Buy	03/26/15	J		
15. -JP Morgan Core Plus Bond A					Buy	02/09/15	J		
16. -Fidelity ADV Real Estate Inc. I									
17. -Alerian MLP ETF					Buy	02/09/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = \$15,001 - \$50,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. -Alerian MLP ETF					Buy	03/26/15	J		
19. -Vanguard Small Cap ETF					Buy	02/09/15	J		
20. -Templeton Global BD FD ADV									
21. -RS Low Duration Bond A									
22. -JP Morgan Strat Inc. OPP SEL									
23. -Oppenheimer Developing MKTS Y									
24. -Wisdomtree Euro SM Cap DIV ETF									
25. -SPDR Gold TR Gold SHS									
26. Property #2, Columbia, SC	E	Rent			Sold	06/30/15	N		William & Nicole Maskiell
27. NBSC Account	A	Interest	J	T					
28. Property #3, Florence, SC	D	Rent	M	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) Part VI, Student Loan #1 was paid off during 2015.
- 2) Part VI, Lines 5-6 - Property #2 was sold in 2015.
- 3) In Part V, Gifts, I included a gift from friends and colleagues in the South Carolina District Court, Columbia Division. This gift was comprised of voluntary donations collected after my home suffered damage following flooding. I do not know the individual donors to the gift, nor the individual amounts given.
- 4) Part VII, Line 3 - 401K #1 was rolled over into 401K #2 in 2014. Therefore, the account listed as 401K #2 in the 2014 report is listed as 401K #1 this reporting year.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Shiva V. Hodges**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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