

FINANCIAL DISCLOSURE REPORT INITIAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BOWMAN, LESLIE A.	2. Court or Organization U.S. DISTRICT COURT-ARIZONA	3. Date of Report 11/26/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. MAGISTRATE JUDGE-FULL TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 10/31/2012
7. Chambers or Office Address U.S. DISTRICT COURT EVO A. DECONCINI U.S. COURTHOUSE 405 WEST CONGRESS ST STE 3170 TUCSON, AZ 85701-5054		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

BOWMAN, LESLIE A.

Date of Report

11/26/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2010	LAW OFFICE OF LESLIE A. BOWMAN	\$123,530.00
2. 2011	LAW OFFICE OF LESLIE A. BOWMAN	\$229,032.00
3. 2012	LAW OFFICE OF LESLIE A. BOWMAN	\$143,784.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	W2, EMPLOYEE, CARONDELET MEDICAL GROUP
2. 2011	W2, EMPLOYEE, CARONDELET MEDICAL GROUP
3. 2012	W2, EMPLOYEE, CARONDELET MEDICAL GROUP
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. EXEMPT				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

BOWMAN, LESLIE A.

Date of Report

11/26/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	BANK OF AMERICA	MORTGAGE ON RENTAL PROPERTY, PIMA COUNTY, ARIZONA	N
2.	MERRILL LYNCH	MORTGAGE ON RENTAL PROPERTY, PIMA COUNTY, ARIZONA	N
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

BOWMAN, LESLIE A.

Date of Report

11/26/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. US BANK	A	Interest	J	T	Exempt				
2. WELLS FARGO BANK	A	Interest	K	T					
3. ING	A	Interest	L	T					
4. BANK OF AMERICA	A	Interest	J	T					
5. RENTAL Property #1, PIMA COUNTY, ARIZONA	C	Rent	N	W					
6. RENTAL Property #2, PIMA COUNTY, ARIZONA	E	Rent	N	W					
7. Note #1, Mel Nevitt	B	Interest	K	T					
8. FundVantage WestSelect SdA Fd	B	Dividend							
9. DODGE & COX STOCK	A	Dividend							
10. DIVERSIFIED VAN INST INDX PLUS	A	Dividend	J	T					
11. DIVERSIFIED VANGUARD SMCAP IDX PLUS	A	Dividend	K	T					
12. PRINCIPAL LARGE CAP S&P 500 INDEX Fd	A	Dividend	M	T					
13. PRINCIPAL MIDCAP S&P 400 INDEX	A	Dividend	L	T					
14. PRINCIPAL SMALLCAP S&P 600 INDEX	A	Dividend	M	T					
15. AMER FUNDS NEW PERSPECTIVE R3 FUND	A	Dividend	M	T					
16. VANGUARD TOTAL STOCK MARKET INDEX	A	Dividend	J	T					
17. GOLDMAN SACHS PRIME MONEY MARKET Fund	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$250,000.01 - \$500,000.00	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$5,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

BOWMAN, LESLIE A.

Date of Report

11/26/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. STATE STREET S&P 500 INDEX	A	Dividend	K	T					
19. VANGUARD EXTENDED MARKET INDEX	A	Dividend	J	T					
20. STATE STREET MSCI ACWI EX-US INDEX	A	Dividend	J	T					
21. FIDELITY SPARTAN EXTENDED MARKET INDEX	A	Dividend							
22. VANGUARD TOTAL INTERNATIONAL STOCK INDEX	A	Dividend							
23. VANGUARD INSTITUTIONAL INDEX	A	Dividend							
24. VANGUARD PRIME MONEY MARKET Sweep account	A	Interest	J	T					
25. VANGUARD TOTAL STOCK MARKET INDEX ADM	A	Dividend	K	T					
26. VANGUARD HEALTH CARE FUND INVESTOR SHARES	A	Dividend	J	T					
27. VANGUARD LARGE CAP INDEX FUND ADMIRAL SHARES	A	Dividend	L	T					
28. VANGUARD LARGE CAP INDEX FUND ADMIRAL SHARES	A	Dividend	L	T					
29. VANGUARD PRIME MONEY MARKET Sweep account	A	Interest	J	T					
30. VANGUARD REIT INDEX FUND ADMIRAL SHARES	A	Dividend	J	T					
31. VANGUARD SMALL CAP INDEX FUND ADMIRAL SHARES	A	Dividend	L	T					
32. VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND ADMIRAL SHARES	A	Dividend	L	T					
33. APPLE	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

BOWMAN, LESLIE A.

Date of Report

11/26/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

34. BLACKROCK (BLK)	A	Dividend	J	T					
35. LEHMAN BROTHERS HLDGS EURO STOXX 50		None	J	T					
36. LULULEMON ATHLETICA	A	Dividend	J	T					
37. PRICELINE.COM	A	Dividend	J	T					
38. DECKERS OUTDOOR	A	Dividend							
39. VANGUARD FIRST EAGLE FUNDS	A	Dividend							
40. ICONIX BRAND	A	Dividend							
41. RESEARCH IN MOTION	A	Dividend							
42. VANGUARD ENERGY FUND	A	Dividend							
43. VANGUARD PRECIOUS METALS	A	Dividend							
44. VANGUARD EXTENDED MARKET INDEX FUND ADMIRAL SHARED	A	Dividend	K	T					
45. VANGUARD PRIME MONEY MARKET Sweep account	A	Interest	J	T					
46. APPLE	A	Dividend	M	T					
47. BAIDU INC SP ADR REP ORD SHARES CLASS A	A	Dividend	K	T					
48. BUFFALO WILD WINGS	A	Dividend	K	T					
49. CHINA AGRI BUSINESS INC	A	Dividend	J	T					
50. CLIFFS NATURAL RES INC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

BOWMAN, LESLIE A.

Date of Report

11/26/2012

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

51. COGNIZANT TECH SOLUTIONS CORP CLASS A	A	Dividend	K	T					
52. DARLING INTL INC	A	Dividend	K	T					
53. DECKERS OUTDOOR CORP	A	Dividend	K	T					
54. EBIX INC	A	Dividend	K	T					
55. GOOGLE	A	Dividend	K	T					
56. INTUITIVE SURGICAL INC NEW	A	Dividend	K	T					
57. SPIRIT AIRLINES	A	Dividend	K	T					
58. VISA INC CLASS A	A	Dividend	K	T					
59. VISTAPRINT NV	A	Dividend	K	T					
60. ZELTIQ AESTHETICS INC	A	Dividend	J	T					
61. AMAZON	A	Dividend							
62. AMERICAN PUBLIC EDUCATION INC	A	Dividend							
63. CHINA AUTOMOTIVE SYSTEMS INC	A	Dividend							
64. DEVRY INC DEL	A	Dividend							
65. GULF RESOURCES INC	A	Dividend							
66. HMS HOLDINGS CORP	A	Dividend							
67. KAPSTONE PAPER & PACKAGING	A	Dividend							

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

BOWMAN, LESLIE A.

Date of Report

11/26/2012

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

68. d LSB INDUSTRIES INC	A	Dividend							
69. NETAPP INC	A	Dividend							
70. dNEW ORIENTAL EDUC & TECH	A	Dividend							
71. VANGUARD NVE CORP NEW	A	Dividend							
72. ORACLE CORP	A	Dividend							
73. QUALITY SYSTEMS INC	A	Dividend							
74.									
75.									
76.									
77.									
78.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

BOWMAN, LESLIE A.

Date of Report

11/26/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

BOWMAN, LESLIE A.

Date of Report

11/26/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **LESLIE A. BOWMAN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544