

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lipp, Wendelin I.	2. Court or Organization Maryland- Bankruptcy Court	3. Date of Report 04/30/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active US Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 6500 Cherrywood Lane Third Floor Greenbelt, Maryland 20770		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board of Directors	Walter Chandler American Bankruptcy Inn of Court
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 13

Name of Person Reporting

Lipp, Wendelin I.

Date of Report

04/30/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. BANKRUPTCY BAR ASSOCIATION FOR THE DISTRICT OF MARYLAND ANNUAL SEMINAR	May 4-5, 2012	Annapolis, Maryland	Seminar Speaker	FOOD AND 1 NIGHT STAY AT HOTEL
2. AMERICAN BANKRUPTCY INSTITUTE	APRIL 20-21, 2012	OXON HILL, MD	SEMINAR SPEAKER	FOOD, PARKING, AND 1 NIGH STAY AT HOTEL
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 13

Name of Person Reporting

Lipp, Wendelin I.

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04/30/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 13

Name of Person Reporting

Lipp, Wendelin I.

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04/30/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental property Montgomery County, MD (\$148,000)	D	Rent	M	S					
2. GE-common	C	Dividend	M	T					
3. United Tech-common	D	Dividend	N	T					
4. [REDACTED]	C	Distribution	L	U					
5. [REDACTED]	A	Distribution	J	U					
6. 401K									
7. SWMXX	A	Dividend	M	T					
8. EUEYX	A	Dividend	J	T					
9. JENSX	A	Dividend	J	T					
10. BJBIX	A	Dividend	J	T					
11. Capital One Bank-Various Accounts	A	Interest	M	T					
12. Acacia Whole Life Insurance policy	A	Dividend	J	T					
13. Acacia Whole Life Insurance policy	A	Dividend	K	T					
14. AIG Sun America	A	Interest	J	T					
15. Willets Shoes-common		None	J	U					
16. IRA#1									
17. Citibank NA, Bank Deposit Program	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 13

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18. AGDAX	C	Dividend	L	T					
19. SGOVX		None			Sold	05/29/12	K	A	
20. GEMAX		None			Sold	05/29/12	K	A	
21. HFLAX	A	Dividend	K	T					
22. LALDX	A	Dividend	K	T					
23. PONAX	D	Dividend	L	T					
24. TPINX	C	Dividend	K	T					
25. SGENX	C	Dividend	L	T	Buy	05/29/12	K		
26.					Buy (add'l)	07/26/12	J		
27. GSDAX	B	Dividend	K	T	Buy	05/29/12	K		
28. DVPL5R UFT DIV. INCOME PLUS 5	A	Dividend	K	T	Buy	05/29/12	K		
29. SMDV5R UFT SMID DIV. 5	C	Dividend	K	T	Buy	05/29/12	K		
30. UTS FTP, Preferred Inc		None	K	T	Sold (part)	07/26/12	K	A	
31. UTS FTP, International High Dividend Equity		None			Sold	05/29/12	K	A	
32. UTS FTP, High Dividend Equity					Matured	07/23/12	K	A	
33. UTS FTP, High Dividend Equity 10	B	Dividend	K	T	Buy	07/23/12	K		
34. UTS, FTP, SMID Capital Strength	A	Dividend			Sold	05/29/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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FINANCIAL DISCLOSURE REPORT

Page 6 of 13

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. UTS, GUGG, Series 11 Zacks	A	Dividend	K	T					
36. IRA#2:									
37. Oppenheimer Value Fund (CGRWX)	A	Dividend	J	T					
38. Growth Fund of America MF	A	Dividend	J	T					
39. Washington Mutual Investors Fund MT	A	Dividend	J	T					
40. QQQ F/K/A Nasdaq 100 Trust/Powershares QQQQ	A	Dividend	J	T					
41. Blackrock US Opportunities Portfolio Class A	A	Dividend	J	T					
42. Cohen & Steers Intl Realty Fund CL A		None			Sold	06/06/12	J	A	
43. Allianz RCM Global Technology-MF		None	K	T					
44. Goldman Sachs Mid Value Fund-MF	A	Dividend	K	T					
45. Ivy Asset Strategy Fund Class A	A	Dividend	J	T					
46. Jennison 20/20 Focus Fund-MF	A	Dividend	K	T					
47. Oppenheimer Value Fund Class A	A	Dividend	J	T					
48. Oppenheimer Amt-Free Municipals FD CL A	A	Interest	J	T					
49. The Growth Fund of America-MF	A	Dividend	L	T					
50. LMP Short Duration Muni Income Fund	A	Interest	K	T					
51. UTS FTP Int'l High Dividend Equity Portfolio	B	Dividend			Sold	06/06/12	L	A	

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 13

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52. UTS FTP High Div Equity Portfolio 2	A	Dividend			Matured	07/23/12	K	A	
53. UTS FTP High Div Equity Portfolio 10	A	Dividend	K	T	Buy	07/23/12	K		
54. UTS FTP Strat Income Plus	B	Dividend	K	T					
55. New Perspective Fund A	A	Dividend	L	T					
56. Fundamental Investors A	A	Dividend	K	T					
57. UTS FTP Dividend Income Plus	B	Dividend	L	T	Buy	06/06/12	L		
58. UTS FTP Dividend Strength 13	A	Dividend	K	T	Buy	02/08/12	K		
59. UTS FTP SMID Dividend Strength 5R	A	Dividend	K	T	Buy	06/06/12	K		
60. Citigroup SmithBarney Various Accounts	A	Interest	M	T					
61. Alpine Global Premier Fund	A	Dividend	J	T					
62. UTS FTP, SMID Capital Strength Portfolio	A	Dividend	K	T					
63. DE	A	Dividend	J	T					
64. EEP		None	J	T					
65. FTSIPCE15R -Strategic Income Plus Closed End	A	Dividend	K	T					
66. FAM	A	Dividend	J	T					
67. JOY	A	Dividend	J	T					
68. STXAX	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 13

Name of Person Reporting

Lipp, Wendelin I.

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04/30/2013

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69.	A	Interest							
70. MFC	A	Dividend	J	T					
71. NYX	A	Dividend	J	T					
72. JTD	A	Dividend	J	T					
73. ONEOK Partners LP		None	J	T					
74. Pimco Total Return Fund (PTTAX)	A	Dividend	J	T					
75. UNIT,GUGG, Zacks Income Advantage Strategy	B	Dividend	K	T	Buy	02/15/12	K		
76. PGHYX	B	Dividend	K	T					
77. XLK	A	Dividend	K	T					
78. SPH	A	Dividend	J	T					
79. TPINX	B	Dividend	K	T					
80. RIG	A	Dividend	J	T					
81. CIBCX	A	Dividend	J	T					
82. IGD	A	Dividend	J	T					
83. DVY	A	Dividend	J	T					
84. MOO	A	Dividend	J	T					
85. Dependent #2:									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 9 of 13

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86. GE	A	Dividend	J	T					
87. Jennison 20/20 Focus Fund	A	Dividend	K	T					
88. Goldman Sachs Mid Value Fund	A	Dividend	J	T					
89. Capital One Bank f/k/a Chevy Chase Bank- var. accts	A	Interest	J	T					
90. Citigroup Smith Barney Money Market		None	J	T					
91. Dependent #1									
92. GE	A	Dividend	J	T					
93. Jennison 20/20 Focus Fund	A	Dividend	K	T					
94. Goldman Sachs Mid Value Fund	A	Dividend	J	T					
95. Citigroup Smith Barney Money Market		None	J	T					
96. TRUSTEE:									
97. FTR		None			Sold	12/27/12	J	A	
98. MO	A	Dividend	K	T					
99. NEE	A	Dividend	K	T					
100. GE	A	Dividend	J	T					
101. KFT	A	Dividend	J	T					
102. MDLZ	A	Dividend	J	T	Spinoff (from line 101)	10/01/12	J		

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Page 10 of 13

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103. PM	A	Dividend	K	T					
104. UTX	A	Dividend	K	T					
105. VZ	A	Dividend	K	T					
106. WM	A	Dividend			Sold	12/27/12	J	B	
107. WGL	B	Dividend			Sold	12/27/12	K	D	
108. CD KEY BANK N.A.	A	Interest			Redeemed	06/26/12	J	A	
109. CD GE MONEY BANK	B	Interest			Redeemed	11/13/12	K	A	
110. CD GE CAPITAL FINANCIAL	B	Interest	K	T					
111. CD DISCOVER BANK	B	Interest	K	T					
112. SAINT MARYS CNTY MD CNTY	A	Interest			Redeemed	10/01/12	J	A	
113. MANLX	A	Dividend			Sold	12/27/12	J	A	
114. PIFCX	A	Dividend	L	T	Buy (add'l)	12/27/12	K		
115. NHMCX	A	Dividend	K	T					
116. ML CMA ACCOUNT	B	Interest	L	T					
117. PRFCX	A	Dividend			Sold	12/27/12	L	D	
118. XLP	A	Dividend	J	T					
119. XLU	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 11 of 13

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120. CD KEY BANK N.A.	B	Interest			Redeemed	11/13/12	K		
121. CD GE CAPITAL FINANCIAL	B	Interest	K	T					
122. CD GOLDMAN SACHS BANK USA	B	Interest	K	T					
123. NM BANK AMERICA CORP BE	A	Interest	M	T					
124. PDBCX		None	L	T	Buy	12/27/12	L		
125. DWX		None	K	T	Buy	12/27/12	K		
126. IWF		None	K	T	Buy	12/27/12	K		
127. XOM	A	Int./Div.	K	T					
128. EMR	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 13

Name of Person Reporting

Lipp, Wendelin I.

Date of Report

04/30/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII. Investments and Trusts:

No.1: The tax assessment is \$148,000.

No. 75 on last year's form has been omitted as a duplicate entry with QQQ at no. 40. This entry was added incorrectly last year. It appeared to be two separate entities but in actuality the ticker symbol changed from QQQQ TO QQQ.

No. 91 and 95: These are pass through accounts in which to deposit new transactions for the purchase or sale of assets. No interest accrued and no funds in the account at the end of the reporting period.

FINANCIAL DISCLOSURE REPORT

Page 13 of 13

Name of Person Reporting

Lipp, Wendelin I.

Date of Report

04/30/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Wendelin I. Lipp**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544