

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'HARA, JAMES P.	2. Court or Organization U.S. DISTRICT COURT	3. Date of Report 5/5/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) MAGISTRATE JUDGE, FULL-TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2015 to 12/31/2015
7. Chambers or Office Address 219 U.S. COURTHOUSE 500 STATE AVENUE KANSAS CITY, KS 66101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Conference Institute	01/29/2015-01/30/2015	Miami, FL	Educational seminar	meals, lodging, transportation
2.	American Conference Institute	02/24/2015-02/25/2015	New York City, NY	Educational seminar	meals, lodging, transportation
3.	American Conference Institute	11/19/2015-11/20/2015	Miami, FL	Educational seminar	meals, lodging, transportation
4.	George Mason University	12/10/2015-12/11/2015	Miami, FL	Educational seminar	meals, lodging, transportation
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Valley View State Bank (savings & checking accounts)	A	Interest	L	T					
2. Mariner Rollover IRA No. 1 (formerly Fidelity Rollover IRA No. 1)									
3.									
4. - Fidelity Cash Reserves	A	Interest	J	T					
5. - Vanguard Index Fds Reit ETF		None			Sold (part)	01/27/15	K	D	
6.					Sold	06/19/15	J	A	
7. - Harding Loevner Int'l Equity Port Inst'l	A	Dividend	K	T					
8. - Metropolitan West Tot Return Bond Cl M	A	Dividend	K	T					
9. Convergence Core Plus Fund Institutional Cl (con't line 10)	B	Dividend	K	T	Sold (part)	06/17/15	J	B	
10. (f/k/a Mariner I 30/30 Fund Institutional Cl Shares)									
11. - Palmer Square Absolute Return Cl I	B	Dividend	K	T					
12. - Nuance Concentrated Value Fd Inst'l	B	Dividend	K	T					
13. - Tortoise MLP Pipeline Fd Inst'l	A	Dividend	J	T					
14. - Palmer Square Fountain Short Duration High Income I	A	Dividend			Sold	03/09/15	K	A	
15. - Mainstay Marketfield Fund Class I		None			Sold	06/17/15	K	A	
16. - Stone Ridge Reinsurance Risk Premium I	A	Dividend	K	T	Buy	11/18/15	J		
17. - Stone Ridge High Yield Reinsurance Risk Premium I		None			Sold	11/18/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Templeton Global Bond Fund Class A	A	Dividend	J	T					
19. - Consilium Emerging Mkt. Sm. Cap. Inst'l		None	J	T	Sold (part)	08/27/15	J	A	
20. - Waltheus Small Cap Value Fund	B	Dividend	K	T	Buy	06/17/15	J		
21. - Hotchkis & Wiley High Yield	A	Dividend	J	T	Buy	03/09/15	K		
22.					Sold (part)	08/27/15	J	A	
23. - Lazard Global	B	Dividend	J	T	Buy	03/19/15	J		
24. - Third Ave. Real Estate	A	Dividend	J	T	Buy	06/17/15	J		
25. - William Blair Macro Allocation	A	Dividend	J	T	Buy	06/17/15	K		
26. Mariner Rollover IRA No. 2 (formerly Fidelity Rollover IRA No. 2)									
27. - Fidelity Cash Reserves	A	Interest	J	T					
28. - Metropolitan West Tot Return Bond Cl M	A	Dividend	J	T					
29. - Mainstay Marketfield Fund Class I		None			Sold	06/16/15	J	A	
30. - Consilium Emerging Mkt Sm Cap Inst'l		None	J	T	Buy	12/11/15	J		
31. - Spartan 500 Index Fd Adv Cl		None	J	T					
32. - Spartan Int'l Index Fd Adv Cl	A	Dividend	J	T	Sold (part)	12/11/15	J	A	
33. - Spartan Sm Cap Index Fd Adv Cl		None			Sold	12/11/15	J	A	
34. - Spartan Real Est Index Fd Adv Cl	A	Dividend			Sold (part)	03/23/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Sold	06/05/15	J	A	
36. - Tortoise MLP & Pipeline Fd Investor Cl	A	Dividend			Buy	12/11/15	J		
37. - Palmer Square Fountain Short Term Duration High Inc A		None			Sold	03/09/15	J	A	
38. - Nuance Concentrated Value Fund Class A	A	Dividend	J	T	Sold (part)	06/16/15	J	A	
39.					Sold (part)	12/11/15	J	A	
40. - Weitz Short Intermediate Income Fd Investor Cl	A	Dividend			Sold	12/11/15	J	A	
41. - Hotchkis & Wiley High Yield	A	Dividend	J	T	Buy	03/23/15	J		
42.					Sold (part)	08/27/15	J	A	
43.					Sold (part)	12/11/15	J	A	
44. - Lazard Global	A	Dividend	J	T	Buy	03/23/15	J		
45.					Buy	12/11/15	J		
46. - Third Ave. Real Estate	A	Dividend	J	T	Buy	06/16/15	J		
47.					Sold (part)	12/11/15	J	A	
48. - William Blair Macro Allocation	A	Dividend	J	T	Buy	06/16/15	J		
49.					Sold (part)	12/11/15	J	A	
50. - AQR Managed Futures	A	Dividend	J	T	Buy	12/11/15	J		
51. - Cullen Emerging Market	A	Dividend	J	T	Buy	12/11/15	J		

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52. - Harding Loevner Int'l Equity	A	Dividend	J	T	Buy	12/11/15	J		
53. - John Hancock Global	A	Dividend	J	T	Buy	12/11/15	J		
54. - Templeton Global Bond Fund	A	Dividend	J	T	Buy	12/11/15	J		
55. - Walthausen Small Cap	A	Dividend	J	T	Buy	12/11/15	J		
56. - iShares S&P 500 Growth		None	J	T	Buy	12/15/15	J		
57. - Versus Capital		None	J	T	Buy	12/15/15	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Section VII, Lines 15 and 40, I have corrected the name of the mutual fund. In the 2013 report, I misspelled it as "Eaton Vance Floating Raste Advantage." It should be "Eaton Vance Floating Rate Advantage".

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ JAMES P. O'HARA**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544