

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ludington, Thomas L.	2. Court or Organization U.S. District Court MI (Eastern)	3. Date of Report 09/06/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse 1000 Washington Ave Bay City, MI 48708		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	R. M. Gerstacker Foundation
2. Trustee	Albion College
3. President & Director	Family Foundation
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Michigan Judges Retirement Services	\$38,807.00
2. 2016	Michigan County Employees Pension Fund	\$6,296.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Chemical Bank & Trust checking & savings	A	Interest	K	T					
2. Dow Chemical Employees Credit Union	A	Interest	M	T					
3. TD Ameritrade Money Market	A	Interest	M	T					
4. Merrill Lynch Money Market	A	Interest	L	T					
5. Amgen Stock	A	Dividend	J	T					
6. Laboratory CP American Hldg		None	J	T					
7. Blackrock Global Stock Fund	B	Dividend	M	T					
8.	B	Distribution	M	T					
9. IBM Stock	B	Dividend	K	T	Sold (part)	04/18/16	K	D	
10. Quest Diagnostics Stock	A	Dividend	K	T	Sold (part)	04/18/16	K	D	
11. Petosky MI Condo (Y)		None			Sold	10/28/16	N	G	
12. Harbor Springs, MI property(Y)		None							
13. Fidelity Contrafund Pool		None	L	T					
14. Interest Income Fund KKL 401K	D	Int./Div.	N	T	Buy (add'l)	04/25/16	K		
15. Vanguard Convertible See KKL 401K	B	Int./Div.	K	T					
16. Am Cent US Real Estate KKL 401K		None	K	T					
17. SSga Stable Value-State of Michigan 457 Plan (TLL)		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. PIMCO Total Return-State of Michigan 457 (TLL)		None	J	T					
19. Dodge & Cox Stock State of Michigan 457 Plan (TLL)		None	J	T					
20. SSga Stable Value State of Michigan 401K Plan (TLL)		None	M	T					
21. SSgaCash Series Treasury State of MI 401K (TLL)		None	J	T					
22. PIMCO Total Return State of MI 401K plan (TLL)		None	L	T					
23. Dodge & Cox Stock-St of MI 401K (TLL)		None	K	T					
24. Ridge Worth Funds-St of MI 401K (TLL)		None	K	T					
25. American Funds Europacific Gr-St of MI 401K (TLL)		None	K	T					
26. Artisan Mid Cap-St of MI 401K (TLL)		None	K	T					
27. TRP Is Mid Cap KKL 401K	D	Int./Div.	M	T	Sold (part)	04/25/16	K		
28. Vanguard Dev Mkt IndexIP KKL 401K	B	Int./Div.	L	T					
29. MTL Insurance Co (ordinary) Life Policy (TLL)		None	K	T					
30. Trust Mark (ordinary) Life Policy (TLL)		None	L	T					
31. Indianapolis (ordinary) Life Policy		None	K	T					
32. Apple Inc	A	Dividend	K	T	Buy (add'l)	12/22/16	J		
33. Hennessy Gas Utilities Index Fund	A	Dividend	J	T	Buy (add'l)	12/22/16	J		
34. Fidelity Select Portfolio Fund	A	Dividend	K	T	Buy (add'l)	04/11/16	J		

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☐ NONE (No reportable income, assets, or transactions.)

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35.			K	T	Sold (part)	04/11/16	J		
36. Helmerich & Payne	A	Dividend			Sold	12/22/16	K	B	
37. Church & Dwight	A	Dividend	J	T					
38. Dollar Tree Stores Inc		None	J	T					
39. Buffalo Wild Wings		None	J	T	Sold (part)	12/22/16	J	B	
40. Valero Energy	A	Dividend			Sold (part)	04/11/16	J		
41.		None			Sold	12/22/16	J	A	
42. Western Refining	A	Dividend	J	T					
43. Gilead Science	A	Dividend			Sold	12/22/16	J		
44. Ross Stores, Inc	A	Dividend	J	T	Sold (part)	04/11/16	J	B	
45.		None	J	T	Sold (part)	12/22/16	J	B	
46. Shire PLC	A	Dividend	J	T					
47. Johnson & Johnson	A	Dividend	J	T	Sold (part)	08/15/16	J	A	
48.		None	J	T	Buy (add'l)	12/22/16	J		
49. Taiwan Semiconductor	A	Dividend	K	T					
50. SPDR DJ Intl Real Estate ETF	B	Dividend	K	T					
51. MFS Intl New Discovery A Fund	A	Dividend	K	T					

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52. T Price Media & Tele Fund	A	Dividend	K	T	Buy (add'l)	04/11/16	J		
53.		None	K	T	Buy (add'l)	08/15/16	J		
54.		None	K	T	Buy (add'l)	12/22/16	J		
55. National Health Investors	A	Dividend	J	T	Sold (part)	04/11/16	J	A	
56.		None	J	T	Sold (part)	12/22/16	J	A	
57. EQT Midstream Partners	A	Dividend	K	T					
58. C & S Realty Fund	A	Dividend	J	T	Sold (part)	04/11/16	J	A	
59.	A	Distribution	J	T					
60. Magellan Midstream Partners	A	Dividend	K	T					
61. Spectra Energy Partners	A	Dividend	K	T					
62. Targa Natural Resources	B	Dividend			Merged (with line 63)	02/17/16	K		
63. Targa Resource Corp	A	Dividend			Sold	08/15/16			
64.		None			Sold	08/15/16	K		
65. Sovran Self Storage	A	Dividend			Merged (with line 66)	08/15/16	J		
66. Life Storage Corp	A	Dividend	J	T	Sold (part)	04/11/16	J	B	
67. Auto Zone		None	J	T	Buy (add'l)	04/11/16	J		
68. PowerShares Pharma ETF	A	Dividend	J	T	Buy (add'l)	12/22/16	J		

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69. SPDR Utilities ETF	A	Dividend	J	T	Buy (add'l)	12/22/16	J		
70. PowerShares QQQ ETF	A	Dividend	K	T	Buy (add'l)	08/15/16	J		
71.		None	K	T	Buy (add'l)	12/22/16	J		
72. TAL International	B	Dividend			Merged (with line 73)	07/13/16	J		
73. Tritan International	A	Distribution	J	T					
74. Continental Resources		None			Sold	08/15/16	J	B	
75. Home Depot	A	Dividend	J	T	Buy (add'l)	04/11/16	J		
76.		None	J	T	Sold (part)	08/15/16	J	A	
77. Enterprise Products Partners	A	Dividend			Sold	08/15/16	K	A	
78. Plains All American Pipeline	B	Dividend	K	T					
79. Simon Property Group	A	Dividend	J	T	Sold (part)	04/11/16	J	A	
80. Old Dominion Freight Line		None	K	T	Sold (part)	12/22/16	J	B	
81. PowerShares S&P Small Cap Portfolio	A	Distribution	J	T					
82. American Tower Corp	A	Dividend			Sold	04/11/16	J	C	
83. Oakmark Intl I Fund	B	Dividend	K	T	Buy (add'l)	04/11/16	K		
84. PowerShares Dyn Energy ETF	A	Dividend	K	T					
85. American Century Intl Opportunity Fund	A	Dividend	K	T	Buy (add'l)	04/11/16	J		

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86. Extra Space Storage	A	Dividend			Sold (part)	04/11/16	J	B	
87.		None			Sold	08/15/16	J	C	
88. PowerShares Leis&Ent ETF	A	Dividend			Sold	08/15/16	J	B	
89. T Rowe Price New Horizons Fund	A	Dividend	K	T	Buy (add'l)	04/11/16	J		
90.	B	Distribution	K	T	Buy (add'l)	08/15/16	J		
91.		None	K	T	Buy (add'l)	12/22/16	J		
92. Wasatch Int Growth Fund	A	Distribution	K	T	Sold (part)	04/11/16	J	A	
93. Alaska Air Group	A	Dividend	J	T					
94. Fidelity Cycl Indust Fund	A	Dividend	K	T	Sold (part)	04/11/16	J		
95. Invesco Ltd	A	Dividend			Sold	04/11/16	J		
96. PowerShares Buyback Achievers ETF	A	Dividend			Sold	08/15/16	K	B	
97. Continental AG	A	Dividend	J	T					
98. Anheuser Busch	A	Dividend	J	T					
99. Vanguard Energy Index ETF	A	Dividend	K	T					
100. Matthews Pacific Tiger Fund	A	Dividend	K	T					
101. Camden Property Trust	A	Dividend	J	T	Sold (part)	04/11/16	J	A	
102.		None	J	T	Sold (part)	12/22/16	J	A	

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103. Digital Realty Trust	A	Dividend			Sold	04/12/16	K	D	
104. AER Cap Holdings		None	K	T					
105. EPR Properties	A	Dividend	J	T	Sold (part)	04/11/16	J	A	
106.		None	J	T	Sold (part)	08/15/16	J	A	
107. SPDR S&P Hi Yield Div ETF	A	Dividend	K	T	Buy	04/11/16	J		
108.		None	K	T	Buy (add'l)	12/22/16	J		
109. Vanguard S&P 500 ETF	A	Dividend	K	T	Buy	08/15/16	K		
110.		None	K	T	Buy (add'l)	12/22/16	J		
111. PowerShares Property & Casualty Ins ETF		None	K	T	Buy	12/22/16	K		
112. RMB Financial Services Fund	A	Dividend	K	T	Buy	08/15/16	K		
113. PowerShares Sm Cap Health ETF	A	Dividend	J	T	Buy	08/15/16	J		
114.		None	J	T	Buy (add'l)	12/22/16	J		
115. Sherwin Williams Co stock	A	Dividend	J	T	Buy (add'l)	04/11/16	J		
116. Snap-On Inc stock	A	Dividend	K	T	Buy	04/11/16	J		
117. FMI International Fund	A	Dividend	K	T	Buy	04/11/16	J		
118.		None	K	T	Buy (add'l)	04/12/16	J		
119.		None	K	T	Sold (part)	08/15/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ludington, Thomas L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Hanover Ins Group stock	A	Dividend			Buy	04/11/16	K		
121.		None			Sold (part)	08/15/16	J		
122.					Sold	12/22/16	K	A	
123. BNP Paribus Bond 2/23/16	A	Interest			Matured	02/23/16	J	A	
124. Burién, WA Bond 12/1/2020	A	Interest	K	T					
125. Lehman Bond Escrow 01/18/12	A	Dividend	K	T					
126. Connecticut State Bond 12/1/2020	A	Interest	K	T					
127. Gastonia, NC Bond 4/1/2020	A	Interest	K	T					
128. Barclay Bank Bond 9/22/16	B	Interest			Matured	09/22/16	K		
129. Griffith, IN Bond 7/5/2020	A	Interest	K	T					
130. Hempstead, NY Bond 7/15/2020	A	Interest	K	T					
131. New York, NY Bond 11/1/2020	A	Interest	K	T					
132. Goldman Sachs Bond 10/1/16	A	Interest			Matured	10/01/16	K		
133. Newark, NJ Bond 4/1/2020	B	Interest	K	T					
134. John Hancock Bond 9/15/16	A	Interest			Matured	09/15/16	J		
135. JP Morgan Chase 6/27/17 Bond	A	Interest	J	T					
136. JP Morgan Chase 3/1/16 Bond	A	Interest			Matured	03/01/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ludington, Thomas L.

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09/06/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Metlife Inc Bond 6/1/16	A	Interest			Matured	06/01/16	J		
138. Michigan Financial Authority 11/1/16 Bond	A	Interest			Matured	11/01/16	J		
139. Phoenix, AZ 7/1/16 Bond	A	Interest			Matured	07/01/16	J		
140. GE Money Bank CD 9/11/17	A	Interest	J	T					
141. GE Money Bank CD 7/24/17	A	Interest	J	T					
142. Goldman Sachs CD 8/22/17	A	Interest	K	T					
143. Deutsche Bank Bond 9/1/17	A	Interest	J	T					
144. JP Morgan Chase Bond 7/5/16	A	Interest			Matured	07/15/16	J		
145. Mars, PA Bond 03/01/16	A	Interest			Matured	03/01/16	K		
146. McGraw-Hill Bond 11/15/17 name change to S&P Global	A	Interest			Sold	10/20/16	J		
147. NY Transit Bond 11/15/16	A	Interest			Matured	11/15/16	J		
148. Soc Gen Bond 10/12/17	A	Interest	J	T					
149. GE Cap Corp Bond 7/15/17	B	Interest	K	T					
150. Goldman Sachs Bond 9/1/17	A	Interest	J	T					
151. VF Corp Bond 11/01/17	A	Interest	K	T					
152. GE Money Bank CD 9/11/17	A	Interest	J	T					
153. AmMuni Pwr Bond 2/15/18	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ludington, Thomas L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Abbey Natl Bond 4/27/16	A	Interest			Matured	04/27/16	J		
155. Baidu Inc Bond 11/28/17	A	Interest	K	T					
156. New Rochelle, NY Bond 3/15/18	A	Interest	K	T					
157. Baidu Inc Bond 8/6/18	B	Interest	K	T					
158. Northgate, PA Bond 8/15/18		None	J	T					
159. Randolph Co, NC Bond 6/1/18	A	Interest	K	T					
160. Diageo Cap Bond 10/23/17	A	Interest	J	T					
161. Oregon State Bond 1/1/19	A	Interest	J	T					
162. El Paso Co, CO Bond 12/1/18	B	Interest	L	T					
163. Maine St Bond 11/15/18	A	Interest	J	T					
164. Goldman Sachs CD 12/10/19	A	Interest	K	T					
165. State Bank of India CD 10/17/19	A	Interest	K	T					
166. Decatur, GA Bond 05/01/19	A	Interest	J	T					
167. Ft. Wayne, IN Bond 08/1/2016	A	Interest			Matured	08/01/16	J		
168. Massachusetts St Bond 12/15/18	A	Interest	J	T					
169. Orlando, FL Bond 10/1/16	A	Interest			Matured	10/01/16	J		
170. Rochester, NY Bond 2/15/17	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ludington, Thomas L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. State Bank of India CD 12/18/18	A	Interest	J	T					
172. Univeristy of Houston Bond 2/15/17	A	Interest	J	T					
173. Rockdale Co, GA Bond 7/1/19	A	Interest	K	T					
174. Salmon River, NY Bond 6/15/20	A	Interest	K	T					
175. U of OK Bond 7/1/19	A	Interest	J	T					
176. UNC Chapel Hill Bond 8/1/19		None	J	T					
177. Goldman Sachs CD 9/12/17	A	Interest	J	T					
178. Tucson, AZ Bond 1/1/19	A	Interest	J	T					
179. Alabama St Bond 9/1/19	A	Interest	J	T	Buy	04/13/16	J		
180. Cook Co, IL Bond 12/1/24 callable 12/1/19		None	J	T	Buy	12/21/16	J		
181. Florida St Bond 7/1/21	A	Interest	J	T	Buy	04/13/16	J		
182. HSBC Bond 4/5/21	A	Interest	J	T	Buy	04/28/16	J		
183. Jackson, MS Bond 5/1/21	A	Interest	K	T	Buy	08/25/16	K		
184. Kane McHenry, IL Bond		None	J	T	Buy	08/18/16	J		
185. Kentucky St Bond 4/1/19	A	Interest	J	T	Buy	04/03/16	J		
186. Los Angeles, Co CA Bond 09/1/21	A	Interest	J	T	Buy	08/22/16	J		
187. Los Angeles, CA Bond 11/1/21	A	Interest	J	T	Buy	08/25/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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Name of Person Reporting

Ludington, Thomas L.

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09/06/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Michigan Hsg Auth Bond 10/1/21	A	Interest	J	T	Buy	09/26/16	K		
189. MTA of NY Bond 11/15/21	A	Interest	J	T	Buy	04/13/16	J		
190. Nashville, Co TN Bond 7/1/21		None	J	T	Buy	08/24/16	J		
191. New Hampshire Bond 9/1/21	A	Interest	J	T	Buy	06/02/16	J		
192. Oakland, CA Bond 12/15/21		None	J	T	Buy	09/22/16	J		
193. Pennsylvania St Bond 7/15/21, callable 7/15/20	A	Interest	J	T	Buy	04/19/16	J		
194. San Mateo, CA Bond 8/1/21		None	J	T	Buy	12/21/16	J		
195. Chemcial Bank Savings (FBO#1)	A	Interest	K	T					
196. TD Ameritrade Money Market (FBO #1)	A	Interest	K	T					
197. EOG Resources Inc (FBO#1)	A	Dividend			Sold	08/18/16	J		
198. MFS Intl New Disc A Fund (FBO#1)	A	Dividend	J	T					
199. T Price Media & Tele Fund (FBO#1)	A	Dividend	J	T	Buy (add'l)	04/08/16	J		
200.		None	J	T	Buy (add'l)	12/22/16	J		
201. Valero Energy Corp (FBO #1)	A	Dividend			Sold	12/22/16	J	A	
202. Alerian Infrastructure Fund (FBO#1)	A	Dividend	J	T	Sold (part)	08/18/16	J	A	
203. Magellan Midstream Partners (FBO#1)	A	Dividend	J	T	Sold (part)	08/16/16	J	D	
204. SPDR Utilites ETF (FBO#1)	A	Dividend	J	T	Buy (add'l)	04/08/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ludington, Thomas L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. C & S Realty Fund (FBO#1)	A	Dividend			Sold	04/08/16	J	C	
206. Avalon Bay Comm Inc (FBO#1)	A	Dividend	J	T					
207. MI Ed Savgs Plan Moderate Age-Based 17 FBO #2No Control		None	M	T					
208. MI Ed Savings Plan 100% Equity Opt (FBO#2)No control		None	K	T					
209. Georgia State Bond 10/1/2020(FBO#1)	A	Interest	K	T					
210. Connecticut State Bond 11/1/2020(FBO#1)	A	Interest	J	T					
211. Goldman Sachs CD 8/22/17 (FBO #1)	A	Interest	K	T					
212. N Wasco Co, OR Bond 12/1/16 (FBO #1)	A	Interest			Matured	12/01/16	K	A	
213. Orix Corp Bond 3/9/17 (FBO #1)	A	Interest	J	T					
214. New Rochelle, NY Bond 3/15/18 (FBO #1)	A	Interest	K	T					
215. Goldman Sachs Bond 10/1/16 (FBO #1)	A	Interest			Matured	10/01/16	J		
216. El Paso Co, CO Bond 12/1/18 (FBO #1)	A	Interest	K	T					
217. Jersey City, NJ Bond 9/1/17 (FBO #1)	A	Interest	J	T					
218. Kansas St Bond 7/1/19 (FBO #1)	A	Interest	J	T					
219. Missouri St Bond 10/1/16 (FBO #1)	A	Interest			Matured	10/01/16	J		
220. Pennsylvania St Bond 06/1/19 (FBO #1)	A	Interest	J	T					
221. UNC Chapel Hill Bond 8/1/19 (FBO #1)		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Washington St Bond 7/1/19 (FBO #1)	A	Interest	J	T					
223. Alamo, TX Bond 2/1/21 (FBO #1)		None	K	T	Buy	12/21/16	K		
224. Kern Co, CA Bond 8/15/21 (FBO #1)		None	J	T	Buy	10/04/16	J		
225. Oregon St Bond 6/30/21 (FBO #1)		None	J	T	Buy	08/15/16	J		
226. American Century Intl Opp Fund (FBO #1)	A	Dividend	J	T	Sold (part)	04/08/16	J		
227.		None	J	T	Sold (part)	08/18/16	J		
228. Matthews Pacific Tiger Fund (FBO#1)	A	Dividend	J	T					
229. AER Cap Holdings (FBO#1)		None	J	T					
230. Continental AG ADR (FBO #1)	A	Dividend	J	T					
231. PowerShares Pharma ETF (FBO #1)	A	Dividend	J	T	Buy (add'l)	04/08/16	J		
232. Ross Stores Inc (FBO #1)	A	Dividend	J	T					
233. Shire PLC (FBO#1)	A	Dividend	J	T					
234. Taiwan Semiconductor (FBO#1)	A	Dividend	J	T					
235. TAL International (FBO #1)	A	Dividend			Merged (with line 236)	07/13/16	J		
236. Triton Intl (FBO #1)	A	Dividend	J	T					
237. SPDR DJ Intl Real Est ETF (FBO #1)	A	Dividend	J	T					
238. Continental Resources (FBO #1)		None			Sold	08/18/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. PowerShares Dyn Energy ETF (FBO #1)	A	Dividend	J	T					
240. Fidelity Slet Portfolio Fund (FBO #1)	A	Dividend	J	T					
241. Oakmark Intl I Fund (FBO #1)		None			Sold	04/08/16	J		
242. T Rowe Price New Horizons Fund (FBO #1)	A	Dividend	J	T	Buy (add'l)	04/08/16	J		
243.		None	J	T	Buy (add'l)	12/22/16	J		
244. Wasatch Intl Growth Fund (FBO #1)		None	J	T					
245. Apple Inc (FBO #1)	A	Dividend	J	T					
246. Buffalo Wild Wings (FBO #1)		None	J	T					
247. Fidelity Cycl Indust Fund (FBO #1)	A	Dividend	J	T	Buy (add'l)	12/22/16	J		
248. Invesco (FBO #1)	A	Dividend			Sold	04/08/16	J		
249. PowerShares QQQ ETF (FBO #1) (Y)									
250. Anheuser Busch (FBO #1)	A	Dividend	J	T					
251. Vanguard Energy Index ETF (FBO #1)	A	Dividend	J	T					
252. EPR Properties (FBO #1)	A	Dividend			Sold	04/08/16	J	B	
253. Vanguard S&P 500 ETF (FBO #1)	A	Dividend	J	T	Buy	08/18/16	J		
254. PowerShares Ppty & Cas Ins ETF (FBO #1)		None	J	T	Buy	12/22/16	J		
255. PowerShares Sm Cap Health ETF (FBO #1)	A	Dividend	J	T	Buy	12/22/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ludington, Thomas L.

Date of Report

09/06/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. Sherwin Williams Co stock (FBO #1)	A	Dividend	J	T	Buy	04/08/16	J		
257. Vanguard REIT Index ETF (FBO #1)	A	Dividend	J	T	Buy	08/18/16	J		
258.		None	J	T	Buy (add'l)	12/22/16	J		
259. Alaska Air Group stock (FBO #1)	A	Dividend	J	T	Buy	04/08/16	J		
260. PowerShares S&P Small Cap Util ETF (FBO #1)	A	Dividend	J	T	Buy	08/18/16	J		
261.		None	J	T	Buy (add'l)	12/22/16	J		
262. FMI Intl Fund (FBO #1)	A	Dividend	J	T	Buy	04/08/16	J		
263. Hanover Insurance Group stock (FBO #1)	A	Dividend			Buy	04/08/16	J		
264.		None			Sold (part)	08/18/16	J		
265.		None			Sold	12/22/16	J	A	
266. PowerShares Leis & Ent ETF (FBO #1)	A	Dividend			Buy	04/08/16	J		
267.		None			Sold	08/18/16	J	A	
268. Chemical Bank Savings (FBO#2)		None	J	T					
269. Schwab Money Market Fund (FBO#2)	A	Interest			Closed	05/05/16	K		
270. TD Ameritrade Trust (FBO #2)	A	Interest	N	T	Open	04/12/16	N		
271. Buffalo Wild Wings Inc (FBO#2)		None	J	T					
272. MFS Utilities Fund (FBO#2)	A	Dividend			Sold	04/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ludington, Thomas L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. Ross Stores Inc (FBO#2)	A	Dividend	J	T	Sold (part)	12/23/16	J	B	
274. MFS Intl New Disc A Fund (FBO#2)	A	Dividend	J	T	Buy (add'l)	04/15/16	J		
275. SPDR DJ Intl Real Estate (FBO#2)	A	Dividend	J	T	Buy (add'l)	04/15/16	J		
276. T Price Media & Tele Fund (FBO#2)	A	Dividend	J	T	Buy (add'l)	04/15/16	J		
277. AER Cap Holdings(FBO#2)		None	J	T					
278. Alerian Infrastructure Fund (FBO#2)	B	Dividend	K	T					
279. C & S Realty Fund (FBO#2)	A	Dividend	J	T	Sold (part)	04/15/16	J	C	
280. Dallas, TX Bond 2/15/16 (FBO#2)	A	Interest			Matured	02/15/16	J		
281. GE Cap Corp Bond 10/20/16 (FBO#2)	A	Interest			Matured	10/20/16	J		
282. EOG Resources Inc (FBO #2)	A	Dividend			Sold	12/23/16	J	A	
283. Dollar Tree Stores (FBO #2)		None	J	T					
284. PowerShares Pharma ETF (FBO #2)	A	Dividend	J	T	Buy (add'l)	04/15/16	J		
285. PowerShares QQQ ETF (FBO #2)	A	Dividend	J	T	Sold (part)	08/12/16	J	A	
286. TAL International (FBO #2)	A	Dividend			Merged (with line 287)	07/13/16	J		
287. Triton International (FBO #2)	A	Dividend	J	T					
288. Restaurant Brands (FBO #2)	A	Dividend			Sold	04/15/16	J		
289. Continental Resources (FBO #2)		None			Sold	08/12/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. PowerShares Dyn Energy ETF (FBO #2)	A	Dividend	J	T					
291. Oakmark Intl I Fund (FBO #2)		None			Sold	04/15/16	J		
292. American Tower Corp (FBO #2)	A	Dividend			Sold	04/15/16	J	A	
293. Bank of Nova Scotia (FBO #2)	A	Dividend			Sold	04/15/16	J		
294. Valero Energy Corp (FBO #2)	A	Dividend	J	T	Sold (part)	12/23/16	J	A	
295. American Century Intl Opp Fund (FBO #2)	A	Dividend	J	T	Buy (add'l)	04/15/16	J		
296. Wasatch Intl Growth Fund (FBO #2)	A	Distribution	J	T					
297. Alaska Air Group (FBO #2)	A	Dividend	J	T					
298. Apple Inc (FBO #2)	A	Dividend	J	T					
299. Invesco (FBO #2)	A	Dividend			Sold	04/15/16	J		
300. PowerShares Indus ETF (FBO #2)	A	Dividend			Sold	12/23/16	J	A	
301. Stantec Inc (FBO #2)	A	Dividend			Sold	04/15/16	J		
302. Anheuser Busch (FBO #2)	A	Dividend	J	T					
303. Vanguard Energy Index ETF (FBO #2)	A	Dividend	J	T					
304. Vanguard S&P 500 ETF (FBO #2)	A	Dividend	J	T	Buy	08/12/16	J		
305.		None	J	T	Buy (add'l)	12/23/16	J		
306. PowerShares Ppty & Cas Ins ETF (FBO #2)		None	J	T	Buy	12/23/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. PowerShares Sm Cap Health ETF (FBO #2)		None	J	T	Buy	12/23/16	J		
308. Fidelity Cycl Indust Fund (FBO #2)		None	J	T	Buy	12/23/16	J		
309. Sherwin Williams Co stock (FBO #2)	A	Dividend	J	T	Buy	04/15/16	J		
310. PowerShares S&P Small Cap ETF (FBO #2)	A	Dividend	J	T	Buy	12/23/16	J		
311. SPDR Utilities ETF (FBO #2)	A	Dividend	J	T	Buy	04/15/16	J		
312. FMI International (FBO #2)	A	Dividend	J	T	Buy	04/15/16	J		
313. Taiwan Semiconductor (FBO #2)	A	Dividend	J	T	Buy	04/15/16	J		
314. Hanover Insurance Group (FBO #2)	A	Dividend			Buy	04/15/16	J		
315.		None			Sold	12/23/16	J	A	
316. Continental AG ADR (FBO #2)	A	Dividend	J	T	Buy	04/15/16	J		
317. East Whiteland, PA Bond 9/1/17 (FBO #2)	A	Interest	K	T					
318. Goldman Sachs 9/1/17 (FBO #2) Bond	A	Interest	K	T					
319. N Wasco Co, OR Bond 12/1/16 (FBO #2)	A	Interest			Matured	12/01/16	J	A	
320. New Jersey Bond 3/1/18 (FBO #2)	A	Interest	J	T					
321. Kentucky State Bond 1/1/2020 (FBO #2)	A	Interest	J	T					
322. Gooding Co, ID Bond 9/15/19 (FBO #2)	A	Interest	J	T					
323. Harrisburg, PA Bond 04/1/18 (FBO #2)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. Morgantown, WV Bond 12/1/19 (FBO #2)	A	Interest	J	T					
325. New York, NY Bond 3/1/19 (FBO #2)	A	Interest	J	T					
326. Memphis, TN Bond 11/1/21 (FBO #2)	A	Interest	J	T	Buy	04/20/16	J		
327. Dallas, TX Bond 2/15/20 (FBO #2)	A	Interest	J	T	Buy	04/21/16	J		
328. Washington, PA Bond 9/1/21 (FBO #2)	A	Interest	J	T	Buy	08/15/16	J		
329. Chemical Bank [REDACTED] Cust AGY (H)	A	Interest	L	T					
330. Apple Inc	C	Dividend	M	T	Sold (part)	10/05/16	J	D	
331. Bristol-Myers Squibb Co	A	Dividend	J	T					
332. Celgene Corp Com		None	K	T					
333. Conocophillips	A	Dividend	J	T	Sold (part)	12/30/16	J		
334. DuPont Ei De Nemours Co	A	Dividend			Sold	03/07/16	J	B	
335. Energizer Hldgs Inc	A	Dividend	J	T					
336. Exxon Mobil Corp	A	Dividend	K	T	Sold (part)	10/05/16	J	A	
337. Ford Motor Co	A	Dividend	J	T	Sold (part)	03/10/16	J	A	
338. Intel Corp	A	Dividend	K	T					
339. Lowes	A	Dividend	J	T					
340. Schlumberger Ltd	A	Dividend	K	T	Sold (part)	03/07/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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341. Boeing Co	A	Dividend	K	T					
342. Walgreens Boots Alliance	A	Dividend	J	T					
343. Aflac, Inc	A	Dividend	J	T	Sold (part)	10/05/16	J	B	
344. Aetna US Healthcare Inc	A	Dividend	K	T					
345. Boston Properties Inc	A	Dividend	J	T	Sold (part)	02/08/16	J	A	
346.		None	J	T	Sold (part)	10/05/16	J	A	
347. Chemical Financial Corp	A	Dividend			Sold	06/08/16	L	E	
348. Clorox Co	A	Dividend	J	T					
349. PowerShares QQQ Trust	A	Dividend	K	T	Sold (part)	02/08/16	J		
350.		None	K	T	Sold (part)	03/07/16	J	A	
351. Hcp Inc Com	A	Dividend	J	T	Sold (part)	12/30/16	J		
352.		None	J	T	Sold (part)	12/30/16	J		
353. Quality Care Properties		None	J	T	Spinoff (from line 351)	11/01/16	J		
354. Harbor Intl Fund #11	B	Dividend	L	T	Sold (part)	02/08/16	J		
355.		None	L	T	Sold (part)	10/05/16	J	A	
356. Johnson & Johnson	A	Dividend	K	T					
357. Microsoft Corp	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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358. Kinder Morgan	A	Dividend	J	T	Sold (part)	12/30/16	J		
359. Nextera Energy Inc	A	Dividend	K	T	Sold (part)	10/05/16	J	C	
360. Oppenheimer Intl Growth Fund	B	Dividend	L	T	Sold (part)	03/07/16	J	C	
361.		None	L	T	Sold (part)	10/05/16	J	B	
362. PG & E Corp	A	Dividend	K	T					
363. Pepsi Co	A	Dividend	K	T					
364. Phillips 66	A	Dividend	K	T	Sold (part)	03/07/16	J	C	
365. Prudential Financial	A	Dividend	K	T					
366. SPDR Gold Shares ETF		None	J	T	Sold (part)	02/08/16	J		
367. Deere & Co	A	Dividend	J	T	Sold (part)	10/05/16	J	A	
368. Disney	A	Dividend	K	T					
369. EMC Corp Mass	A	Dividend			Merged (with line 370)	09/07/16	J	C	
370. Dell Technologies Inc		None			Sold	12/30/16	J	A	
371. Fastenal Co Com	A	Dividend	J	T	Sold (part)	03/07/16	J	A	
372. Principal Mid Cap Blend Fund	A	Dividend	K	T					
373.	A	Distribution	K	T					
374. Union Pac Corp	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ludington, Thomas L.

Date of Report

09/06/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. Teva Pharmaceutical	A	Dividend	J	T					
376. Amg Southernson Small Cap Fund	A	Dividend	J	T					
377. T Rowe Price New Horizons Fund	A	Dividend	J	T					
378.	A	Distribution	J	T					
379. Kroger Co	A	Dividend	J	T					
380. Vanguard Inst Index Fund	A	Dividend	L	T	Buy	06/09/16	J		
381.	A	Distribution	L	T	Buy (add'l)	06/16/16	J		
382.		None	L	T	Buy (add'l)	06/23/16	J		
383.		None	L	T	Buy (add'l)	06/30/16	J		
384.		None	L	T	Buy (add'l)	07/07/16	J		
385.		None	L	T	Buy (add'l)	07/14/16	J		
386.		None	L	T	Buy (add'l)	07/21/16	J		
387.		None	L	T	Buy (add'l)	07/22/16	J		
388. Chemical Bank Katrina Ludington Trust AGY (H)	A	Interest	M	T					
389. Adrian MI City School Dist Bond 5/1/19	B	Interest	L	T					
390. Clark Cnty Nev Arpt Bond 7/1/20	B	Interest	K	T					
391. Fenton, MI Bond 5/1/2022	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ludington, Thomas L.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. Fidelity Inter Muni Inc Fund #036	A	Dividend	K	T					
393. Fidelity MI Muni Inc Fund #081	A	Dividend	K	T					
394. Grosse Ile Twp MI School Bond 5/1/21	A	Interest			Redeemed	05/01/16	K	A	
395. Illinois ST Agm Bond 1/1/21	B	Interest	K	T					
396. Kalamazoo MI School Bond 5/1/20	B	Interest	K	T					
397. MI ST Hosp Fin Bond 7/15/21	B	Interest	L	T					
398. Romulus MI Tax Increment Fin Bond 11/1/18	B	Interest			Redeemed	11/01/16	K		
399. Manistique, MI Bond 5/1/2020	A	Interest	K	T					
400. Bangor Mich Public Bond School 5/1/19	A	Interest	K	T					
401. Grandville, MI Bond 11/1/17	A	Interest	K	T					
402. Grand Rapids Mich Bond 12/1/16	A	Interest			Matured	12/01/16	K		
403. Green Oak Twp Mich Bond 11/1/19	A	Interest	L	T					
404. Green Oak Twp Mich Bond 11/1/21	A	Interest	L	T					
405. Grosse Pointe Woods Mich Bond 11/1/17	A	Interest	L	T					
406. Midland Cnty Mich Bond 8/1/17	A	Interest	K	T					
407. Rapid River Mich Public Schools Bond 5/1/16	A	Interest			Matured	05/01/16	K		
408. Rapid River Mich Public Schools Bond 5/1/2020	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Ludington, Thomas L.

Date of Report

09/06/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. Waterford Mich School Dist Bond 5/1/19	A	Interest	K	T					
410. Waterford Mich School Dist Bond 5/1/2022	A	Interest	K	T					
411. White Cloud Mich Public Schools Bond 5/1/18	A	Interest	K	T					
412. White Cloud Mich Public Schools Bond 5/1/2020	A	Interest	K	T					
413. Ypsilanti Mich Cmnty Utilities Bond 4/1/18	A	Interest	L	T					
414. Forks Associates Michigan Non-Profit Corp		None			Donated				
415. Estate Trust (H)		None			Distributed	10/21/16	M	G	
416. Chemical Bank Savings	A	Int./Div.	L	T	Open	06/03/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Deleted all assets from 2015 report that had been sold or matured.
2. Lehman Brothers Bond Escrow (Line 123) is still held. Some principle has been returned, with the possibility of future repayment of principle.
3. Harbor Springs property is a personal residence only. (Line 11)
4. PowerShares QQQ ETF FBO#1 (Line 248) - Asset is held in an account that no longer meets reporting guidelines.
5. Fork Associates MI Non-Profit Group (Line 413) was dissolved in November 2016. All Funds were donated.
6. [REDACTED] Trust (Line 414) sold it's remaining asset and distributed proceeds.
7. Sovran Self Storage did not truly merge with Life Storage (Lines 64 & 65). Sovran changed it's name, cusip, and ticker symbol to LifeStorage.
8. Schwab Money Market (Line 268). All assets transferred to TD Ameritrade Trust FBO #1.
9. All "inherited" notations were removed. Positions previously marked inherited were combined with existing positions, if applicable.

Additional Explanations (09/2017)

1. Line 63 Targa Natural Resources received .62 shares of Targa Resource Corp per unit in an all equity transaction dated 2/17/16.
2. Lines 72 & 286 TAL International and Triton merged to form Triton International in an all equity merger. Received one common share of Triton International for each share of TAL International owned. Transaction dated 07/13/16.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Thomas L. Ludington**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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