

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Murtha, J. Garvan	2. Court or Organization U.S. District Court - Vermont	3. Date of Report 04/30/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States District Court P.O. Box 760 Brattleboro, VT 05302-0760		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Limited Partnership #4
2.	Beneficiary	Trust #1
3.	Trustee	Trust #2
4.	Beneficiary	Trust #3
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Accenture PLC Stock	A	Dividend	J	T					
2. AT&T Stock	A	Dividend	J	T	Buy	02/08/12	J		
3. Atmos Energy Stock	A	Dividend	J	T	Buy	02/08/12	J		
4. Bank of the Islands Account	A	Interest	K	T					
5. Bank of Nova Scotia Stock	A	Dividend	J	T	Buy	02/08/12	J		
6. BSL Money Mkt Fund	A	Interest	J	T					
7. CME Group Stock	A	Dividend	J	T	Buy	04/24/12	J		
8. Columbia Crest Gold Corp Stock		None	J	T					
9. Consumer Discretionary Fund	A	Dividend	J	T	Buy	11/30/12	J		
10. Covidien Stock	A	Dividend	J	T					
11. Ecolab Common Stock	A	Dividend	J	T					
12. Emerson Electric Stock	A	Dividend	J	T					
13. Exxon-Mobil Common Stock	A	Dividend	J	T					
14. Federal Home Loan Bond	A	Interest	J	T					
15. First TR SR FL II Fund	A	Dividend	J	T	Buy	09/24/12	J		
16. Franklin Strategic Fund	A	Dividend	J	T	Buy	09/24/12	J		
17. Hartford Floating Rate Fund	A	Dividend	J	T	Buy	09/24/12	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Healthcare Select SPDR Fund	A	Dividend	J	T	Buy	09/24/12	J		
19. IBM Stock	A	Dividend	J	T	Buy	02/08/12	J		
20. Intel Corp. Stock	A	Dividend	J	T					
21. iShares Barclays 7-10 Yr Fund	A	Dividend	J	T	Buy	09/24/12	J		
22. iShares Barclays 10-20 Fund	A	Dividend	J	T	Buy	09/24/12	J		
23. iShares Barclays 20 Yr Fund	A	Dividend	J	T	Buy	09/24/12	J		
24. iShares Cohen & Steers Fund	A	Dividend	J	T	Buy	09/24/12	J		
25. iShares Iboxx Fund	A	Dividend	J	T	Buy	09/24/12	J		
26. iShares Midcap Index Fund	A	Dividend	J	T	Buy	08/10/12	J		
27. iShares Small Cap 600 Fund	A	Dividend	J	T					
28. iShares Trust Dow Jones Fund	A	Dividend	J	T	Buy	09/24/12	J		
29. Johnson Controls Stock	A	Dividend	J	T	Buy	04/24/12	J		
30. JPM Chase Capital Stock	A	Dividend	J	T	Buy	09/24/12	J		
31. Kayne Anderson MLP Fund	A	Dividend	J	T	Buy	09/24/12	J		
32. Mainstay High Yield Fund	A	Dividend	J	T	Buy	09/24/12	J		
33. Matthews Asian Fund	A	Dividend	J	T					
34. Matthews China Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. McDonald's Corp. Stock	A	Dividend	J	T					
36. Medtronic, Inc. Common Stock	A	Dividend			Sold	04/24/12	J	C	
37. MFS Utilities FD CL I Fund	A	Dividend	J	T	Buy	09/24/12	J		
38. ML Bank Deposit Account	A	Interest	J	T	Buy	09/24/12	J		
39. Northern Trust Gov't Select Fund	A	Interest	J	T					
40. Pepsico Common Stock	A	Dividend	J	T					
41. Powershares Preferred Fund	A	Dividend	J	T	Buy	09/24/12	J		
42. Procter & Gamble Stock	A	Dividend	J	T					
43. Sector SPDR Consrms STPL Fund	A	Dividend	J	T	Buy	09/24/12	J		
44. Sector SPDR Financial Fund	A	Dividend	J	T	Buy	09/24/12	J		
45. SPDR S P Divid ETF Fund	A	Dividend	J	T	Buy	09/24/12	J		
46. Sunamerica Strategic Fund	A	Dividend	J	T	Buy	09/24/12	J		
47. Sysco Corp. Stock	A	Dividend	J	T					
48. Thermo Fisher Scientific Stock	A	Dividend	J	T	Buy	04/24/12	J		
49. Vanguard Emerging Mkts Stock	A	Dividend	J	T					
50. Vanguard Infl. Prot. Fund	A	Interest	J	T					
51. Vanguard MSCI European Fund	A	Dividend	J	T	Buy	09/24/12	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Waste Management Stock	A	Dividend	J	T					
53. Wisdom Tree Equity Income Fund	A	Dividend	J	T					
54. Wisdom Tree Emerging Debt Fund	A	Interest	J	T					
55. Merchants Bank Accounts	A	Interest	K	T					
56. Brattleboro Tennis Club, VT Stock		None	J	W					
57. [REDACTED] Limited Partnership #4	G	Int./Div.	P2	T					
58. - Abbott Labs Stock					Buy	04/13/12	L		
59. - American Electric Power Stock									
60. - Apple Stock									
61. - AT&T Stock									
62. - Automatic Data Processing Stock					Buy	04/13/12	L		
63. - BA Diversified Real Est Fund									
64. - Caterpillar Stock									
65. - Coca Cola Stock									
66. - Columbia Acorn Int'l Fund					Sold	01/20/12	L	E	
67. - Columbia Div. Inc. Fund					Sold	04/11/12	M	E	
68. - Columbia Mid Cap Fd									

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69. - Columbia Small Cap Fd									
70. - Comm St GO Bds					Sold	04/02/12	K		
71. - Comm St Health Bds									
72. - Comm St Tax Oblig Bds									
73. - Covidien Stock					Buy	04/02/12	L		
74. - CSX Corp. Stock									
75. - Diageo Stock					Buy	04/11/12	M		
76. - Disney Stock									
77. - Dreyfus Tax Exempt Fund					Sold	12/31/12	N		
78. - Duke Energy Stock					Buy	11/14/12	L		
79. - East Htfd Ct Bds									
80. - Easton Ct Bds									
81. - EMC Stock					Buy	04/13/12	M		
82. - Exxon Mobil Stock									
83. - Nextera Energy Stock									
84. - Franklin Res Stock									
85. - General Electric Bond									

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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86. - Griswold Ct Bond									
87. - Harbor Int'l Fund					Sold	01/20/12	M	C	
88. - Hewlett Packard Bds					Sold	03/29/12	L		
89. - Home Depot Stock					Buy	09/05/12	L		
90. - IBM Stock					Buy	04/13/12	M		
91. - Intel Stock					Buy	04/13/12	L		
92. - iShares High Yield Bd Fund					Buy	03/29/12	L		
93. - iShares Inc. MSCI Austral. Index Fund									
94. - iShares Inc. MSCI Brazil Index Fund					Sold	06/11/12	L		
95. - iShares Inc. MSCI CDA Index Fund									
96. - iShares Inc. MSCI Singapore Index Fund									
97. - Johnson & Johnson Stock									
98. - McDonald's Stock									
99. - McKesson Corp. Stock					Buy	04/30/12	L		
100. - MFS Ser Int'l Fund					Sold	04/11/12	K	D	
101. - MFS Ser Tr I Resh Int'l Fund					Sold	01/20/12	L	E	
102. - Microsoft Stock									

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103. - Minneapolis Sch Dist Bds					Sold	02/01/12	K		
104. - Morgan J.P. Chase Stock									
105. - Morgan J.P. Chase Bds									
106. - Municipal Assist Corp GO Bds									
107. - Nestle Stock					Buy	04/13/12	M		
108. - Novo-Nordisk Stock					Buy	04/30/12	M		
109. - Omaha Neb Rev Ref Bds									
110. - Pasadena Tx Rev Ref Bds									
111. - Peters Sch Dist Wash Ref Bds									
112. - Procter & Gamble Stock									
113. - Public Storage Bond					Buy	03/29/12	L		
114. - Qualcomm Stock					Buy	04/13/12	M		
115. - Regional Sch Dist Conn. Bond									
116. - Royal Dutch Shell Stock									
117. - Sanofi Stock					Sold	03/08/12	J		
118. - Shelton Ct Bds									
119. - Southington Ct Bonds									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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120. - SPDR Gold Shs Fund					Buy	02/08/12	M		
121. -S/W Ill Dev Auth Bds									
122. - Target Stock					Buy	09/05/12	L		
123. - Teva Pharmaceutical Stock									
124. - United Technologies Stock									
125. - Vanguard Int'l Fund					Sold	03/08/12	L		
126. - Vanguard MSCI Emerging Mkts Fund					Buy	11/26/12	K		
127. - Vanguard REIT									
128. - Vanguard Short Term Bds									
129. - Verizon Bds									
130. - Wal-Mart Bonds					Buy	03/29/12	L		
131. - Wells Fargo Bond					Buy	03/29/12	L		
132. - Yum Brands Stock					Buy	04/13/12	M		
133. Trust Company of VT - IRA	C	Div. & Int.	M	T					
134. - 3M Stock									
135. - Abbott Labs Stock									
136. - Accenture Ltd. Stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Air Products & Chemical Stock					Buy	02/09/12	J		
138. - Apache Corp. Stock									
139. - Apple Stock									
140. - Applied Materials Stock									
141. - Atmos Energy Stock					Buy	02/09/12	J		
142. - Bank of America Stock					Sold	02/09/12	J		
143. - Bemis Stock					Sold	02/09/12	J	A	
144. - Citigroup Stock					Sold	02/09/12	J		
145. - Colgate Palmolive Stock									
146. - Conoco Phillips Stock									
147. - EMC Stock									
148. - Emerson Electric Stock									
149. - Franklin Res. Stock									
150. - HCP Stock									
151. - Illinois Tool Wks Stock									
152. - iShare Midcap Fund									
153. - iShare Small Cap Fund					Buy	08/10/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Johnson & Johnson Stock									
155. - Johnson Controls Stock					Buy	08/10/12	J		
156. - Matthews Asian Fund									
157. - Matthews China Fund									
158. - Maxim Integrated Prods. Stock									
159. - McDonald's Stock									
160. - Nextera Energy Stock					Sold	02/09/12	J	A	
161. - Nokia Stock					Sold	02/09/12	J		
162. - Northern Trust Gov't Select Fund									
163. - Novartis Stock									
164. - Omnicom Group Stock					Sold	08/10/12	J	A	
165. - Pepsico, Inc. Stock									
166. - PIMCO Emerging Bond Fund									
167. - PIMCO Emerging Mkt Debt Fund					Sold	12/06/12	J	A	
168. - PIMCO Short Term Inst'l Fund									
169. - Procter & Gamble Stock									
170. - Royal Dutch Shell Stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Sanofi Aventis Stock					Sold	02/09/12	J		
172. - Southern Stock									
173. - Spectra Energy Stock									
174. - Stryker Corp. Stock									
175. - Target Corp. Stock									
176. - Teva Pharma Stock					Buy	02/09/12	J		
177. - United Technologies Stock									
178. - US Bancorp Stock									
179. - US Tips Bond									
180. - Vanguard Emerging Mkts Fund									
181. - Vanguard Short Term Bond Fund					Buy	02/11/12	O		
182. - Wells Fargo Bond									
183. - Wisdom Tree Emerging Debt Fund									
184. - Wisdom Tree Emerging Markets Fund									
185. Trust #2	E	Interest	M	T					
186. - Dreyfus Tax Exempt Cash Fund									
187. Merrill Lynch IRA	C	Div. & Int.	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Consumer Discretionary Fund					Buy	11/30/12	J		
189. - DWA Tech Leaders Fund									
190. - First Tr Consumer Discrt Fund									
191. - First Tr Exchg Traded Fund									
192. - First Tr Mid Cap Core Fund					Sold	02/17/12	J	B	
193. - First TR S&P REIT Fund					Sold	02/17/12	J		
194. - First Tr SR FI II Fund									
195. - Franklin Strategic Fund									
196. - Guggenheim S&P 500 Fund					Buy	02/17/12	J		
197. - Guggenheim S&P Midcap Fund					Buy	02/17/12	J		
198. - Hartford Floating Rate Fund									
199. - iShares Cohen & Steers Fund									
200. - iShares Gold TR Fund									
201. - iShares MSCI Indonesia Fund					Sold	11/16/12	J		
202. - iShares MSCI Mexico Free Fund					Buy	11/16/12	J		
203. - iShares MSCI Thailand Fund									
204. - iShares TR Fund									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - iShares Transportation Fund					Sold	05/14/12	J	A	
206. - iShares Trust Dow Fund									
207. - JPM Chase Cap XI Stock					Buy	05/14/12	J		
208. - JPM Chase Cap XVI Stock									
209. - Kayne Anderson MLP Fund					Buy	11/17/12	J		
210. - Legg Mason BW Global Fund					Buy	06/06/12	J		
211. - Mainstay High Yield Fund									
212. - Market Vectors Agr Business Fund					Buy	08/17/12	J		
213. - PIMCO Emerg Mkts Bd Fund									
214. - PowerShares Exchange Fund									
215. - PowerShares QQQ Trust Fund					Buy	06/21/12	J		
216. - Principal Midcap Fund					Sold	06/21/12	J	A	
217. - Rydex ETF TR Index Fund					Sold	05/17/12	J		
218. - Rydex ETF TR Fund					Sold	05/17/12	J		
219. - Sector SPDR Biotech Fund					Buy	11/26/12	J		
220. - Sector SPDR Financial Fund					Buy	11/26/12	J		
221. - Sector SPDR Utilities Fund									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Sector SPDR Consrms Fund					Buy	05/17/12	J		
223. - Sector SPDR Gold Trust Fund					Buy	06/21/12	J		
224. - Sector SPDR Home Builders Fund					Buy	05/22/12	J		
225. - Sector SPDR Pharmaceuticals Fund									
226. - Sector SPDR Oil Gas Fund					Sold	11/26/12	J		
227. - SunAmerica Strategic Fund									
228. - Vanguard European Fund					Buy	12/19/12	J		
229. - Vanguard Total Fund									
230. - Wells Fargo Cap IX Fund									
231. Trust #1 Income Beneficiary	D	Distribution	M	T					
232. Trust #3 Income Beneficiary	D	Distribution	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **J. Garvan Murtha**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544