

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Glenn, Martin	2. Court or Organization U.S. Bankruptcy Court, SDNY	3. Date of Report 09/27/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address One Bowling Green, Room 504 New York, NY 10004-1408		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor of Law	Columbia Law School
2. Contributing Author	Collier on Bankruptcy (Mathew Bender)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2005	O'Melveny & Myers LLP partnership retirement plan (pursuant to Partnership Agreement) with former law firm -- no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	O'Melveny & Myers LLP retirement income	\$181,050.00
2. 2016	Columbia Law School	\$20,000.00
3. 2016	Mathew Bender & Co. (Collier on Bankruptcy)	\$1,840.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	TEVA Neuroscience Inc. - 1099 income as Peer Advocate
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bankruptcy Institute	3/13/2016 - 3/16/2006	Las Vegas, NV	Speak at Seminar	Transportation, Food, Hotel
2. Bankruptcy Bar Association, Southern District of Florida	5/12/2016 -5/15/2016	Marco Island, FL	Speak at Seminar	Transportation, Food, Hotel
3. International Bar Association	9/21/2016	Washington, DC	Speak at Seminar	Transportation, Food, Hotel
4. Federal Bar Counsel	10/14/2016 - 10/16/2016	Poconos, PA	Speak at Seminar	Transportation, Food, Hotel
5. U.S. Department of Commerce	12/1/2016 - 12/8/2016	Kuwait City, Kuwait and Manama, Bahrain	Speak at Seminar	Transportation, Food, Hotel

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Brokerage Account									
2. Amgen Inc. common	C	Dividend	M	T					
3. Cisco Systems Inc. common	B	Dividend	L	T					
4. EMC Corp. common	A	Dividend			Sold	09/07/16	K	E	
5. Energizer Holdings common	A	Dividend	J	T					
6. Edgewell Personal Care (formerly part of Energizer)	A	Dividend	K	T	Spinoff (from line 4)	01/04/16	K		
7. Franklin Rising Dividends C	A	Dividend	L	T					
8. IBM Corp common	A	Dividend	K	T					
9. KLA-Tencor Corp. common	B	Dividend	L	T					
10. MFS Utilities Fund CL C	A	Distribution	K	T					
11. Proctor & Gamble Co. common	A	Dividend	K	T					
12. Target Corp. common	B	Dividend	K	T					
13. Olstein All Cap Value Fund Class C		None	N	T					
14. Pioneer High Yield FD CL C	D	Distribution	N	T					
15. Invesco (formerly Van Kampen) Equity & Income Fund Class C	C	Distribution	M	T					
16. Western Asset High Income Opportunity FD	A	Distribution	K	T					
17. Western Asset Municipals A	B	Int./Div.	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. UTS First Trust Dow Target	E	Distribution			Sold	10/13/16	O	F	
19. UTS First Trust Dow Target	B	Distribution	O	T	Buy	10/24/16	O		
20. NYC GEN OBL BONDS	E	Interest	O	T	Buy (add'l)	02/25/16	L		
21. NYC GEN OBL BONDS					Redeemed (part)	04/01/16	M	A	
22. NYS ENVRMTL FAC CRP BONDS	D	Interest	M	T					
23. NYC TRANSITIONAL FIN AUTH BOND	D	Interest	N	T					
24. NYC Municipal Water Finance Authority	E	Interest	L	T	Redeemed (part)	06/15/16	M	A	
25. PORT AUTH NY & NJ REF BOND	D	Interest	M	T					
26. METROPOLITAN TSPTN AU NY BOND	D	Interest	L	T	Redeemed (part)	11/15/16	M	A	
27. METROPOLITAN TSPTN AU NY BOND	B	Interest	K	T	Buy	02/09/16	K		
28. METROPOLITAN TSPTN AU NY BOND	B	Interest	L	T	Buy	07/21/16	L		
29. NYS ENV FAC'S CRP BONDS	C	Interest	M	T					
30. HAMPTON BAYS NY UN FREE SCH DIST	C	Interest			Redeemed	09/16/16	M	A	
31. TRIBOROUGH BRDG & TUN AUTH NY BONDS	D	Interest	M	T	Redeemed (part)	03/15/16	L	A	
32. NYS DORM AU REV MEM SLOAN BOND	C	Interest	L	T					
33. NYS DORM AUTH BDS	B	Interest	L	T					
34. NYS DORM AUTH BDS	B	Interest	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. NYS DORM AUTH CITY UNIV CONS REV BOND	D	Interest			Redeemed	07/01/16	M	A	
36. NYS NYU DORM AUTH REV BDS	A	Interest	N	T					
37. NYS Thruway Auth Genl Rev	C	Interest	L	T	Buy	03/15/16	L		
38. NYS Mtg Agency	A	Interest	M	T					
39. PORT AUTH NY & NJ CONS	B	Interest	M	T	Redeemed (part)	01/15/16	L	A	
40. Sales Tax Asset Receivable Corp.	A	Interest			Redeemed	01/04/16	L	A	
41. SUFFOLK CNTY NY PUB IMPT BOND	C	Interest			Redeemed	08/12/16	L	A	
42. NYS GO	B	Interest	K	T					
43. Morgan Stanley Bank (formerly Citibank)	D	Interest	O	T					
44. Fidelity High Income Fund	A	Dividend	J	T					
45. Legg Mason Partners Municipal Fund	B	Int./Div.			Sold	01/04/16	K	A	
46. Citibank Bank Accounts	A	Interest	M	T					
47.									
48. Series EE US Savings Bonds		None	L	T					
49.									
50. O & M Investment Partners LP/Michael T. Jackson Investments	B	Int./Div.	M	T					
51.									

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52.									
53. AXA Equitable Flexible Premium Variable Life--Policy # AA39200762	B	Int./Div.	K	T					
54. AXA Large Cap Value Managed Volatility		Dividend		T					
55. AXA Guaranteed Interest		Interest		T					
56. AXA Multimanager Aggressive Equity		Dividend		T					
57.									
58. Hampshire Partners REIT VI, Inc. (\$3,000 - bought 1/31/04)	A	Distribution	J	R					
59.									
60.									
61. Martin Glenn Morgan Stanley IRA Account # 1									
62. Legg Mason Ptnrs (Clearbridge) Aggressive Growth Fund Class A	C	Int./Div.	O	T					
63. Legg Mason (Clearbridge) Ptnrs Capital & Income Fund Class A	E	Distribution	P1	T					
64. Legg Mason (Clearbridge) Appreciation Fund Class A	D	Distribution	P1	T					
65. Legg Mason (Clearbridge) Value Trust Inc.	B	Distribution	N	T					
66. MFS Utilities Fund CL C	B	Distribution	L	T					
67. Olstein All CAP Value Fund Class C		None	O	T					
68. Morgan Stanley Bank (formerly Citibank)	A	Interest	K	T					

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69. JP Morgan Chase Certificate of deposit	A	Interest	L	T					
70.									
71. Andrea Glenn Morgan Stanley IRA Account									
72. Legg Mason (Clearbridge) Capital & Income Fund CL C	A	Distribution	J	T					
73. Lord Abbett Balanced Strategy Fund CL C	A	Distribution	J	T					
74. Olstein All Cap Value Fund CL C		None	J	T					
75. Morgan Stanley Bank (formerly)	A	Interest	J	T					
76. First Eagle Global Fund	A	Distribution	J	T					
77. MFS Utilities Fund CL C	A	Distribution	J	T					
78.									
79.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

With respect to the entry in Part VII, line 50, I hold a limited partnership interest in a fund that invests in publicly traded securities. I have never received any distributions from this limited partnership. To the extent that the fund receives income, the value of my investment increases, and the value at year end is reflected in column C(1). For income tax reporting purposes, the K-1 return I receive reflects income (or losses) derived from the following sources: ordinary dividends, interest income, short-term capital gains (or losses) and long-term capital gains (or losses). In line 50, column B(2), I have selected "Int/Div" as the income type, but it should be noted that income reported for tax purposes also includes income (or losses) from short and long term capital gains (or losses). My entry in line 50, column B(1) reflects my percentage share for income (or losses) reported from all categories of income as explained in this paragraph.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Martin Glenn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544