

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WEISSBRODT, ARTHUR S.	2. Court or Organization U.S. BANKRUPTCY COURT, N.D. CA	3. Date of Report 08/12/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2012 to 12/31/2012
7. Chambers or Office Address United States Bankruptcy Court 280 S. First Street, Rm. 3035 San Jose, CA 95113		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Part-time Professor, Lincoln Law School of San Jose	\$8,000.00
2. 12/5/2012	IRA Death Distribution	\$3,794.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Retirement income
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges (NCBJ)	10/23/2012 to 10/26/2012	San Diego, CA	Annual Conference	travel, lodging and subsistence
2. George Mason University Foundation, Inc.	12/1/2012 to 12/7/2012	Coral Gables, FL	Educational seminar	travel, lodging and subsistence
3. George Mason University Foundation, Inc.	5/5/2012 to 5/12/2012	Arlington, VA	Educational seminar	travel, lodging and subsistence
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Lot, Rehoboth, DE (bought 1987 for \$42,500)		None	K	R					
2. Lot, Atl. City, NJ (bought 1988 for \$5000)		None	J	R					
3. Capital One Account	A	Interest	J	T					
4. Merrill Lynch, CMAS, part IRA	A	Int./Div.	J	T					Cash MMF Only
5. T. Rowe Price Prime Reserve MMA	A	Dividend	L	T					cash MMA only
6. Wells Fargo Bank Accounts	A	Interest	N	T					
7. T-Bills, Notes & Treasury Bonds, part IRA	A	Interest	K	T					
8. T. Rowe Price Growth & Inc. MF - IRA	A	Dividend	L	T					
9. Meriwest Credit Union Account		None	J	T					
10. McDonald's Corp Common	C	Dividend	M	T					
11. Fidelity Magellan Fund - IRA	A	Dividend	K	T					
12. Fidelity Independence Fund - IRA	A	Dividend	K	T					
13. Schwab MMFs and Schwab Bank	A	Int./Div.	M	T					Cash MMF & bank accts only
14. Invesco Energy MF	A	Dividend	J	T					
15. Black Rock Muniyield CA	A	Dividend	J	T					
16. Invesco Diverse Dividends MF	A	Dividend	J	T					
17. Invesco European Growth Fd.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Intel Common	A	Dividend	J	T					
19. Oracle Common	A	Dividend	K	T					
20. Johnson & Johnson Common	A	Dividend	J	T					
21. Schlumberger Common	A	Dividend	K	T					
22. Transocean Inc. Common	A	Dividend	J	T					
23. Linear Tech Common	A	Dividend	J	T					
24. Maxim Common	A	Dividend	J	T					
25. Dell Common	A	Dividend	J	T					
26. Fidelity Spartan U.S. Equity Index - IRA	A	Dividend	J	T					
27. Chase Bank account		None	K	T					
28. Amgen Common	A	Dividend	K	T					
29. Pfizer Common	A	Dividend	J	T					
30. Prologis Trust REIT	A	Dividend	J	T					
31. Zimmer Holdings Common	A	Dividend	J	T					
32. Morgan Stanley Smith Barney brokerage accounts - part IRA:		None							
33. - AT&T Common -- IRA	A	Dividend			Sold	12/28/12	J	A	
34. - Allscripts Common - IRA		None			Sold	11/29/12	J		

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35. - NY Comm. VCV - IRA		None	J	T	Buy	12/3/12	J		
36. - Amazon Common- IRA		None	J	T					
37. - American Express Common - IRA	A	Dividend	J	T					
38. - Archer Daniels Midland Common - IRA	A	Dividend			Sold	07/05/12	J		
39. - Marriott Common - IRA	A	Dividend	J	T					
40. - Team Health Hlds - IRA		None	J	T	Buy	12/28/12	J		
41. - Starwood Hotels Common - IRA	A	Dividend			Sold	11/29/12	J		
42. - Textron Common - IRA	A	Dividend			Sold	11/29/12	J		
43. - Duke Energy New - IRA	A	Dividend	J	T	Buy	10/18/12	J		
44. - Occidental Petrol Common - IRA		None			Sold	3/1/12	J	A	
45. - Goldman Sachs Floating PFD - IRA	A	Dividend	J	T					
46. - Bristol Myers Common - IRA	A	Dividend			Sold	11/29/12	J	A	
47. - Ingredion Inc. - IRA	A	Dividend	J	T					
48. - Citibank account/CD - part IRA	A	Interest	K	T					
49. - MS Liquid Asset Fd - IRA	A	Dividend	J	T					
50. - Metlife Floating Rate - IRA	A	Dividend	J	T					
51. - Fact Set Research Sys - IRA	A	Dividend	J	T	Buy	07/27/12	J		

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52. - Edison Common - IRA	A	Dividend			Sold	10/18/12	J	A	
53. - Allergan Common - IRA	A	Dividend	J	T					
54. - Estee Lauder Common - IRA		None			Sold	02/14/12	J	A	
55. - Google Common - IRA		None	J	T					
56. - Halliburton Common - IRA	A	Dividend	J	T					
57. - Hewlett Packard Common - IRA	A	Dividend			Sold	07/27/12	J		
58. - Valspar Corp - IRA	A	Dividend	J	T	Buy	11/29/12	J		
59. - CVS Caremark - IRA	A	Dividend	J	T	Buy	02/14/12	J		
60. - CVS Caremark - IRA	A	Dividend	J	T	Sold (part)	03/14/12	J	A	
61. - PG&E Corp - IRA	A	Dividend	J	T	Buy	02/24/12	J		
62. - Iron Mountain - IRA	A	Dividend	J	T	Buy	07/05/12	J		
63. - Priceline - IRA		None	J	T	Buy	11/29/12	J		
64. - United Rentals - IRA		None	J	T	Buy	11/29/12	J		
65. - First Trust Indust. FD - IRA	A	Dividend			Sold	11/29/12	J	A	
66. - TE Connectivity Common - IRA	A	Dividend	J	T					
67. Metlife Bank Account - part CD	C	Interest	M	T					
68. Star One Credit Union Account	A	Interest	L	T					

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69. California State Bond	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Lines 14, 16 and 17 -- Funds were originally Invesco, but changed to AIM/Invesco in 2003. Now changed back to Invesco.

Line 14 -- Invesco Strategic Financial Services Mutual Fund (MF) changed its name to AIM/Invesco Strategic Financial Services MF in 2003. It then changed its name to Invesco. It is presently called Invesco Diverse Dividends MF. Reporter mistakenly thought it was Invesco Energy Mutual Fund that changed its name to Diverse Dividends MF and reported it that way on last year's form. Actually, AIM/Invesco Strategic Financial Services MF changed its name to Diverse Dividends MF. Reporter still has the Invesco Energy MF. This is to correct and clarify the previous error.

Line 47 -- Corn Products name changed to Ingredion Inc. on 6/4/12.

Line 49 -- Dreyfus MMF asset closed 5/4/12 by Morgan Stanley. Money transferred to MS (Morgan Stanley) Liquid Asset MMF.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ ARTHUR S. WEISSBRODT**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544