

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gordon, Leo M.	2. Court or Organization U.S. Court of International Trade	3. Date of Report 05/12/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of International Trade - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Court of International Trade One Federal Plaza New York, NY 10278		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member		Dean's Advisory Board - Emory University School of Law - Atlanta, GA
2. Trustee		Hebrew Free Loan of New Jersey - Florham Park, NJ
3. Director		Federal Judges Association
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Gordon, Leo M.

Date of Report

05/12/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	
2. 2016	NJ Division of Pension & Benefits - Pension
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Canadian International Trade Tribunal	01/28/16-01/30/16	Ottawa, CA	Advising Canadian International Trade Tribunal on Electronic Case Filing	Transportation, lodging, and meals
2. Georgetown Law Center	02/24/16-02/26/16	Washington, D.C.	Georgetown's International Trade Update (ITU) 2016	Transportation, lodging, and meals
3. Emory University School of Law	03/03/16	Atlanta, GA	Emory University School of Law Lecture Customs Law and Administration Classes	Transportation

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Gordon, Leo M.

Date of Report

05/12/2017

4.	Emory University School of Law	03/17/16	Atlanta, GA	Emory University School of Law Lecture Customs Law and Administration Classes	Transportation
5.	Georgetown Law Center	05/13/16	Washington, D.C.	Georgetown ITU 2017 Planning Committee Meeting	Transportation
6.	Georgetown Law Center	06/24/16	Washington, D.C.	Georgetown ITU 2017 Planning Committee Meeting	Transportation and parking
7.	Tau Epsilon Phi Fraternity, Inc	07/21/16-07/24/16	Orlando, FL	Grand Chancellor for Biennial Convention for Tau Epsilon Phi Fraternity	Transportation, lodging, and meals
8.	Academy for the Interchange and Study of Judicial Matters	09/14/16-09/15/16	Washington, D.C.	Academy for the Interchange and Study of Judicial Matters - Visit to Court of Federal Claims	Transportation and meals
9.	Case Western Reserve University School of Law	10/27/16-10/28/16	Cleveland, OH	Case Western Reserve University School of Law Customs and Trade Law Seminar	Transportation, lodging, and meals
10.	Catholic University of Lyon Law School	11/13/16-11/18/16	Lyon, FRA	Trade in Services Classes	Transportation, lodging, and meals

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Gordon, Leo M.

Date of Report

05/12/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Navient	Student Loans	K
2.	Chase Card Services	Credit Card	J
3.	Bank of America	Credit Card	K
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Gordon, Leo M.

Date of Report

05/12/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. VOYA SECURTY LIFE - WHOLE LIFE INSURANCE POLICY	A	Interest	J	T					
2. TRANSAMERICA LIFE INS CO - WHOLE LIFE INS POLICY	A	Interest	J	T					
3. U.S. TREASURY HH BONDS (Y)									
4. BROKERAGE ACCOUNT #1 (H)									
5. FRANKLIN TEMPLETON HIGH INCOME TR FUND CL A - MUTUAL FUND (FHAIX)	A	Dividend	J	T					
6. AMERICAN FUNDS - WASHINGTON MUTUAL INVESTORS FUND CL A (AWSHX)	A	Dividend	J	T					
7. VERIZON COMMUNICATIONS INC (VZ)	A	Dividend	J	T					
8. BROKERAGE ACCOUNT #2 (H)									
9. UBS BANK USA DEPOSIT ACCOUNT (Y)									
10. AMERIPRISE CASH	A	Dividend	K	T	Open	08/24/16	K		
11. APPLE - COMMON STOCK (AAPL)	B	Dividend	K	T					
12. VISA INC - COMMON STOCK (V)	A	Dividend	L	T					
13. DISNEY - COMMON STOCK (DIS)	A	Dividend	K	T					
14. FRANKLIN TEMPLETON - TEMP CLASS A - WORLD FUND - MUTUAL FUND (TEMWX)	A	Dividend	K	T					
15. LULULEMON ATHLETICA INC FR: UBS FINANCIAL SERVICES (LULU)		None			Buy	01/22/16	J		
16.					Sold	10/11/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Gordon, Leo M.

Date of Report

05/12/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

17. IRA #1 (H)									
18. CALAMOS INVESTMENT TRUST NEW GROWTH FUND - MUTUAL FUND (CGRIX)		None	J	T					
19. CALAMOS INVESTMENT TRUST NEW CONVERTIBLE FUND - MUTUAL FUND (CICVX)	A	Dividend	J	T					
20. KINETICS MUTUAL FUND INC - PARADIGM FUND - MUTUAL FUND (KNPYX)		None	J	T					
21. WELL FARGO ADVANTAGE EMERGING MARKETS - EQUITY FUND MUT FUND (EMGNX)	A	Dividend	J	T					
22. SHELTON INTL SELECT EQUITY CL I (WHVLX)		None			Sold	08/24/16	J		
23. PIMCO REAL RETURN BOND (PRRIX)	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Gordon, Leo M.

Date of Report

05/12/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 2: Corporate name change; see 2015, line 4.
Part VII, line 22: Corporate name change; see 2015, line 25.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Gordon, Leo M.

Date of Report

05/12/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Leo M. Gordon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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