

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hoffman, Melvin S.	2. Court or Organization Bankruptcy Court - Massachusetts	3. Date of Report 04/26/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge - full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Bankruptcy Court JW McCormack Post Office and Courthouse 5 Post Office Square Boston, MA 02109		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Associate Editor	American Bankruptcy Law Journal
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	American Bankruptcy Law Journal Compensation as Associate Editor	\$6,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Tufts University salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	10/26-28/2016	San Francisco, CA	Judges' conference. Education.	Transportation, meals, lodging
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America accounts	A	Interest	L	T					
2. Brookline Bancorp stock	B	Dividend	J	T					
3. UBS Retirement Account- self directed IRA									
4. --money market account at UBS	A	Interest	K	T					
5. --Fidelity Advisor New Insights C1 A	A	Int./Div.	J	T					
6. --Pimco All Asset Fund	A	Int./Div.	K	T					
7. --Neuberger Berman Equity Inc C1 A	A	Int./Div.	K	T					
8. --JP Morgan Mid Cap Value Fund Class A	A	Int./Div.	K	T					
9. --Kealy Small Cap Value Fund Class A	A	Int./Div.	J	T					
10. --Gateway Fund Class A	A	Int./Div.	K	T					
11. --First Eagle Global Fund Class A	A	Int./Div.	K	T					
12. --Apple	A	Dividend	K	T					
13. --Johnson & Johnson	A	Dividend	J	T					
14. --Merck	A	Dividend	J	T					
15. --Charter Communication (FormerlyTime Warner Cable)	A	Dividend	J	T					
16. --iShares Msci Emerging Markets Index Fund	A	Int./Div.	J	T					
17. --iShares DJ Select Div Index Fund	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18. --Henderson Global Investors Int'l	A	Int./Div.	J	T					
19. --FT Templeton Global Bond A	A	Int./Div.	L	T					
20. --Loomis Sayles Strategic Inc A	A	Int./Div.	K	T					
21. --Lord Abbet Floating Rate A	A	Int./Div.	K	T					
22. --Pimco All Asset All Auth - A	A	Int./Div.	K	T					
23. American Century IRA									
24. --Amercian Century Balanced Fund	A	Int./Div.	J	T					
25. --American Century Strategic Alloc Fund	A	Int./Div.	K	T					
26. --American Century Utilities	A	Int./Div.	J	T					
27. Merrill Lynch IRA									
28. --American Inv. Co. of America Class A	A	Int./Div.	J	T					
29. --American New Perspectives	A	Int./Div.	K	T					
30. --American Bond Fund of America Class A	A	Dividend	J	T					
31. Fidelity Select Consumer Staples A	A	Int./Div.	K	T					
32. Fidelity Spartan 500 Index Fd (See Part VIII)	B	Int./Div.	L	T					
33. Fidelity Spartan US Bond Index Fd (See Part VIII)	B	Int./Div.	L	T					
34. Fidelity Money Market Fund (See Part VIII)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. State of Israel Bond	A	Int./Div.	J	T					
36. Wells Fargo Mutual Funds (Account # 1)	D	Int./Div.	M	T					
37. --Wells Fargo Money Market Fund (Part VIII)	A	Interest	J	T					
38. --Invesco Global Real Estate Y	A	Int./Div.	J	T					
39. --Dodge & Cox Int'l Stock Fund	A	Int./Div.	J	T					
40. --Goldman Sachs Fin'l SquareTreasury Fund	A	Int./Div.	J	T					
41. --John Hancock Global Absolute Return Fund	A	Int./Div.	J	T					
42. --Hotchkis & Wiley Diversified Value Fund	A	Int./Div.	K	T					
43. --Oppenheimer Dev. Mkts Fund	A	Int./Div.	J	T					
44. --Pimco Pac Invst Commodity Real Return Strat Fund	A	Int./Div.	J	T					
45. --RS Invst Trust Value Fund	A	Int./Div.	J	T					
46. --T Rowe Price Mid-Cap Growth	A	Int./Div.	K	T					
47. --Natixis ASG Managed Futures Strategy Fund	A	Int./Div.	J	T					
48. --Fundadvantage TR Gotham Ab. Ret	A	Int./Div.	J	T					
49. --Eaton Vance Global Macro Ab. Ret	A	Int./Div.	J	T					
50. --Harbor Funds Small Cap Value	A	Int./Div.	J	T					
51. --Harbor Funds Cap Appreciation	A	Int./Div.	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. --Hotchkis & Wiley Diversified Val.	A	Int./Div.	K	T					
53. --JP Morgan US Large Cap Core Plus	A	Int./Div.	K	T					
54. --Amer Europacific Growth	A	Int./Div.	J	T					
55. --Virtus Emerging Mkts Opportunities	A	Int./Div.	J	T					
56. Wells Fargo Brokerage Account #2 (see Part VIII)	D	Int./Div.	M	T					
57. --Aercap Holdings NV	A	Int./Div.			Sold	05/18/16	J	A	
58. --Alianz SE-ADR AZSEY	A	Int./Div.			Sold	05/18/16	J	A	
59. --Amecian Express Co.	A	Int./Div.			Sold	05/18/16	J	A	
60. --Annaly Capital Mgmt Inc. REIT	A	Int./Div.			Sold	05/18/16	J	A	
61. --Baxalta Inc.	A	Int./Div.			Sold	05/18/16	J	A	
62. --Baxter Int'l Inc.	A	Int./Div.			Sold	05/18/16	J	A	
63. --Bed Bath & Beyond Inc.	A	Int./Div.			Sold	05/18/16	J	A	
64. --Bridgestone Corp ADR LTD	A	Int./Div.			Sold	05/18/16	J	A	
65. --BT Group PLC-ADR	A	Int./Div.			Sold	05/18/16	J	A	
66. --Canadian Nat'l RY Co	A	Int./Div.			Sold	05/18/16	J	A	
67. --Capgemini DS-ADR	A	Int./Div.			Sold	05/18/16	J	A	
68. --Carnival PLC-ADR	A	Int./Div.			Sold	05/18/16	J	A	

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69. --Check Point Software Tech Ltd	A	Int./Div.			Sold	05/18/16	J	A	
70. --Chevron Corp.	A	Int./Div.			Sold	05/18/16	J	A	
71. --China Eastern Airlines Ltd	A	Int./Div.			Sold	05/18/16	J	A	
72. --China Mobil Ltd	A	Int./Div.			Sold	05/18/16	J	A	
73. --Cisco Systems Inc.	A	Int./Div.			Sold	05/18/16	J	A	
74. --DBS Group Holdings	A	Int./Div.			Sold	05/18/16	J	A	
75. --Diamond Offshore Drilling Inc.	A	Int./Div.			Sold	10/18/16	J	A	
76. --Ebay Inc	A	Int./Div.			Sold	05/18/16	J	A	
77. --Energy Select Sctro SPDR	A	Int./Div.			Sold	05/18/16	J	A	
78. --Exelon Corp.	A	Int./Div.			Sold	05/18/16	J	A	
79. --Express Scripts Hldg Co.	A	Int./Div.			Sold	05/18/16	J	A	
80. --Exxon Mobil Corp.	A	Int./Div.			Sold	05/18/16	J	A	
81. --Franklin Resources Inc.	A	Int./Div.			Sold	05/18/16	J	A	
82. --Glaxosmithkline PLC - ADR	A	Int./Div.			Sold	05/18/16	J	A	
83. --Heineken NV	A	Int./Div.			Sold	05/18/16	J	A	
84. --Honda Motor Ltd New American	A	Int./Div.			Sold	05/18/16	J	A	
85. --Icon PLC	A	Int./Div.			Sold	05/18/16	J	A	

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86. --ITV PLC Unspous ADR	A	Int./Div.			Sold	05/18/16	J	A	
87. --Johnson & Johnson	A	Int./Div.			Sold	05/18/16	J	A	
88. --Kasikombank Pub Co Ltd Unspous ADR	A	Int./Div.			Sold	05/18/16	J	A	
89. --Kiddi Corp. Unspous ADR	A	Int./Div.			Sold	05/18/16	J	A	
90. --Keppel Ltd Spous. ADR	A	Int./Div.			Sold	05/18/16	J	A	
91. --Korea Elec PWR Corp	A	Int./Div.			Sold	05/18/16	J	A	
92. --Mack Cali Rlty Corp. REIT	A	Int./Div.			Sold	05/18/16	J	A	
93. --Magna Int'l Inc. Cl A	A	Int./Div.			Sold	05/18/16	J	A	
94. --Manulife Fin'l Corp	A	Int./Div.			Sold	05/18/16	J	A	
95. --Medtronic PLC	A	Int./Div.			Sold	05/18/16	J	A	
96. --Microsoft Corp.	A	Int./Div.			Sold	05/18/16	J	A	
97. --Mitsubishi Electric Corp.	A	Int./Div.			Sold	05/18/16	J	A	
98. --Murata Mfrg ADR	A	Int./Div.			Sold	05/18/16	J	A	
99. --Netease Inc.	A	Int./Div.			Sold	05/18/16	J	A	
100. --Nippon Telegraph & Tel Corp Spous ADR	A	Int./Div.			Sold	05/18/16	J	A	
101. --NXP Semiconductor NV	A	Int./Div.			Sold	05/18/16	J	A	
102. --Orix Corp. Spous ADR	A	Int./Div.			Sold	05/18/16	J	A	

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103. --Pandora A/S ADR	A	Int./Div.			Sold	05/18/16	J	A	
104. --Pepsico Inc.	A	Int./Div.			Sold	05/18/16	J	A	
105. --Persimmon PLC Unspous ADR	A	Int./Div.			Sold	05/18/16	J	A	
106. --PNC Financial Services Group	A	Int./Div.			Sold	05/18/16	J	A	
107. --Procter & Gamble Co.	A	Int./Div.			Sold	05/18/16	J	A	
108. --PT Bank Madiri Persero TBK	A	Int./Div.			Sold	05/18/16	J	A	
109. --Qualcomm Inc.	A	Int./Div.			Sold	05/18/16	J	A	
110. --Renault SA Unspous ADR	A	Int./Div.			Sold	05/18/16	J	A	
111. --Roche Holdings LTD ADR	A	Int./Div.			Sold	05/18/16	J	A	
112. --Ryanair Hldgs PLC	A	Int./Div.			Sold	05/18/16	J	A	
113. --Shire PLC	A	Int./Div.			Sold	05/18/16	J	A	
114. --SK Telecom Co LMT Spons ADR	A	Int./Div.			Sold	05/18/16	J	A	
115. --SMC Corp. Japan Spons ADR	A	Int./Div.			Sold	05/18/16	J	A	
116. --Sumitomo Mitsui Fin'l Group Inc.	A	Int./Div.			Sold	05/18/16	J	A	
117. --Suncor Energy Inc. New	A	Int./Div.			Sold	05/18/16	J	A	
118. --Suntrust Banks Inc.	A	Int./Div.			Sold	05/18/16	J	A	
119. --Taiwan Semiconductor Mfg Co Ltd ADR	A	Int./Div.			Sold	05/18/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. --Target Corp.	A	Int./Div.			Sold	05/18/16	J	A	
121. --Tata Motors Ltd Spons ADR	A	Int./Div.			Sold	05/18/16	J	A	
122. --Techtronic Indus Ltd Spons ADR	A	Int./Div.			Sold	05/18/16	J	A	
123. --Teva Pharmaceutical ADR Inds Ltd	A	Int./Div.			Sold	05/18/16	J	A	
124. --Toray Industries ADR	A	Int./Div.			Sold	05/18/16	J	A	
125. --Torchmark Corp.	A	Int./Div.			Sold	05/18/16	J	A	
126. --Toyota Motor Corp. ADR New 3/82	A	Int./Div.			Sold	05/18/16	J	A	
127. --Travelers Cos Inc.	A	Int./Div.			Sold	05/18/16	J	A	
128. --Unibail Rodamco SE	A	Int./Div.			Sold	05/18/16	J	A	
129. --Unilever PLC	A	Int./Div.			Sold	05/18/16	J	A	
130. --US Bancorp New	A	Int./Div.			Sold	05/18/16	J	A	
131. --Valeo Spnstr ADR	A	Int./Div.			Sold	05/18/16	J	A	
132. --Validus Holdings Ltd	A	Int./Div.			Sold	05/18/16	J	A	
133. --Vestas Wind Systems Unspn ADR	A	Int./Div.			Sold	05/18/16	J	A	
134. --Wabco Holdings Inc	A	Int./Div.			Sold	05/18/16	J	A	
135. --Walmart Stores Inc.	A	Int./Div.			Sold	05/18/16	J	A	
136. --Wells Fargo Co	A	Int./Div.			Sold	05/18/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. --WH Group Ltd Unspos ADR	A	Int./Div.			Sold	05/18/16	J	A	
138. --Whole Foods Market Inc.	A	Int./Div.			Sold	05/18/16	J	A	
139. --WIPRO Ltd ADR	A	Int./Div.			Sold	05/18/16	J	A	
140. --YY Inc ADS RESTG Com Cl A	A	Int./Div.			Sold	05/18/16	J	A	
141. --Zurich Ins. Group ADR	A	Int./Div.			Sold	05/18/16	J	A	
142. --Deutsche Secs Tr Enhanced Commod Strat Fd Instl Cl	A	Int./Div.			Sold	05/18/16	J	A	
143. --Deutsche Secs TR Global Real Est Secs Fd Instl Cl	A	Int./Div.			Sold	05/18/16	J	A	
144. --William Blair FDS Small Cap Growth Cl I	A	Int./Div.			Sold	05/18/16	K	A	
145. --Oppenheiber Dev MKTS Cl Y	A	Int./Div.			Sold	05/18/16	J	A	
146. --JP Morgan TR II Large Cap Growth Select Cl	A	Int./Div.			Sold	05/18/16	J	A	
147. --Touchstone Instl Fds TR Sands Cap Instl Growth Fd	A	Int./Div.			Sold	05/18/16	K	A	
148. --Victory Sycamore Small Co Oppty Fd Cl I	A	Int./Div.			Sold	05/18/16	K	A	
149. Wells Fargo Brokerage Account #3	A	Int./Div.	M	T					
150. --Agrium Inc.	A	Int./Div.	J	T					
151. --Altria Group Inc.	A	Int./Div.	J	T					
152. --American Express Co	A	Int./Div.	J	T					
153. --Amgen Inc	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. --Apple Inc	A	Int./Div.	J	T					
155. --CH Robinson Worldwide Inc.	A	Int./Div.	J	T					
156. --Capital One Financial Corp	A	Int./Div.	J	T					
157. --CBS Corp. Cl B	A	Int./Div.	J	T					
158. --Cisco Systems Inc.	A	Int./Div.	J	T					
159. --Citigroup Inc. New	A	Int./Div.	J	T					
160. --Coca Cola Enterprises	A	Int./Div.	J	T					
161. --Costco Whsl Corp New	A	Int./Div.	J	T					
162. --Delphi Automotive PLC	A	Int./Div.	J	T					
163. --Delta Airlines Inc. New	A	Int./Div.	J	T					
164. --Eastman Chemical Co	A	Int./Div.	J	T					
165. --Exxon Mobil Corp	A	Int./Div.	J	T					
166. --Fifth Third Bancorp	A	Int./Div.	J	T					
167. --Gamestop Corp Class A	A	Int./Div.	J	T					
168. --Gilead Sciences Inc	A	Int./Div.	J	T					
169. --Harley Davidson Inc.	A	Int./Div.	J	T					
170. --Hewlett Packard Enterprise Co.	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --Home Depot Inc.	A	Int./Div.	J	T					
172. --HP Inc.	A	Int./Div.	J	T					
173. --Intel Corp.	A	Int./Div.	J	T					
174. --International Paper Co.	A	Int./Div.	J	T					
175. --JP Morgan Chase & Co.	A	Int./Div.	J	T					
176. --Maxim Integrated Prods Inc.	A	Int./Div.	J	T					
177. --Medtronic PLC	A	Int./Div.	J	T					
178. --Metlife Inc.	A	Int./Div.	J	T					
179. --Microsoft Corp.	A	Int./Div.	J	T					
180. --Pentair PLC	A	Int./Div.	J	T					
181. --Pfizer Inc.	A	Int./Div.	J	T					
182. --Phillips 66	A	Int./Div.	J	T					
183. --Pitney Bowes Inc.	A	Int./Div.	J	T					
184. --Qualcomm Inc.	A	Int./Div.	J	T					
185. --Quest Diagnostics Inc.	A	Int./Div.	J	T					
186. --Robert Half Int'l Inc.	A	Int./Div.	J	T					
187. --Ross Stores Inc (Calif)	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. --St. Jude Medical Inc.	A	Int./Div.	J	T					
189. --Tyson Foods Inc. Cl A	A	Int./Div.	J	T					
190. --Union Pacific Corp	A	Int./Div.	J	T					
191. --United Technologies Corp	A	Int./Div.	J	T					
192. --Verizon Communications	A	Int./Div.	J	T					
193. --Western Digital Corp	A	Int./Div.	J	T					
194. --Whirlpool Corp.	A	Int./Div.	J	T					
195. --3M Co.	A	Int./Div.	J	T					
196. Inherited IRA Wells Fargo									
197. --Amer. Centy Mutual Funds Heritage Fd Cl A	A	Int./Div.	J	T					
198. --Ivy Value Fd Cl A	A	Int./Div.	J	T					
199. MONY whole life insurance	B	Int./Div.	J	T					
200. Rental property Brookline MA (\$465,000 tax asses. 2016)(see Part VIII)	E	Rent			Sold	05/28/16	O	G	
201. Morgan Stanley IRA Rollover									
202. --Morgan Stanley Money Market Acct.	A	Interest	J	T					
203. --Gugenheim S&P 500 Equ Weight	A	Int./Div.	K	T					
204. --SPDR S&P Dividend	A	Int./Div.	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. --Vanguard Mid-Cap ETF Index	A	Int./Div.	L	T					
206. --Vanguard S&P 500 Growth ETF	A	Int./Div.	L	T					
207. --Doubleline Core Fixed Income I	A	Int./Div.	K	T					
208. --American Europacific Growth F	A	Int./Div.	K	T					
209. --American Growth Fund of American Fi	A	Int./Div.	K	T					
210. --American Washington Mutual F	A	Int./Div.	K	T					
211. --First Eagle Global I	A	Int./Div.	L	T	Buy (add'l)	04/17/16	K		
212. --Iva Worldwide I	A	Int./Div.			Sold	04/07/16	K		
213. --Ivy Asset Strategy I	A	Int./Div.			Sold	02/15/16	K		
214. --Neuberger Berman Genesis TR	A	Int./Div.	K	T					
215. --Pimco Income P	A	Int./Div.	K	T					
216. --Pioneer High Yield Y	A	Int./Div.	K	T					
217. --Pioneer Dynamic Credit	A	Int./Div.	L	T					
218. --Pioneer Flexible Oppty (See Part VIII)	A	Int./Div.	K	T					
219. --Pioneer Strategic Income Y	A	Int./Div.	K	T					
220. --Wells Fargo Adv Absolut Ret Adm	A	Int./Div.			Sold	01/20/16	K		
221. --Gugenheim Limited Duration I	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. --AQR Long-Shorty Eq Inst	A	Int./Div.	K	T					
223. --AQR Style Premia Alt 1	A	Int./Div.	K	T	Buy (add'l)	01/20/16	K		
224. --SPDR Doubleline Tr Tact ETF	A	Int./Div.	K	T					
225. --Loomis Sayles Strategic Inc Y	A	Int./Div.	K	T					
226. --Loomis Sayles Core Plus Bd Y	A	Int./Div.	K	T					
227. --American Balanced	A	Int./Div.	K	T	Buy	02/15/16	K		
228. --Gateway Fund	A	Int./Div.	K	T	Buy	02/19/16	K		
229. Merrill Lynch IRA	A	Interest	J	T					
230. TIAA-CREF Retirement Plan	A	Int./Div.	N	T					
231. --TIAA-CREF Trad'l Fund	A	Int./Div.	M	T					
232. --CREF Stock Fund	A	Int./Div.	M	T					
233. --Real Estate Fund	A	Dividend	K	T					
234. Fidelity Retirement Account									
235. --Vanguard Inst. Tr.(name change from Fidelity Freedom K 2015)	A	Int./Div.	L	T					
236. --Fidelity Low Priced Stk	A	Dividend	M	T					
237. --Vanguard VMMR (name change from FMMT Retire Gov II)	A	Interest	J	T					
238. Rental Property New York City (\$970,000 cost) (See Part VIII)	D	Rent	O	R	Buy	05/13/16	O		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Investments and Trusts

Line 56. Entire portfolio sold. Proceeds used to purchase New York rental property (See Part VII line 238).

Line 200. Sale Proceeds used to purchase New York rental property (See Part VII line 238).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Melvin S. Hoffman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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