

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Trumble, Robert W.	2. Court or Organization U.S. District Court for the Northern District of WV	3. Date of Report 05/10/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge -Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 217 W. King Street Room 207 Martinsburg West Virginia 25401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1989	McNeer, Highland, McMunn and Varner, L.C.: By-laws/operating agreement containing terms of shareholder buy-out upon retirement/departure. No control.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	McNeer, Highland, McMunn and Varner, L.C. Income received pursuant to by-laws/operating agreement for shareholder buy-out upon retirement/ departure.	\$47,980.75
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Berkeley County West Virginia Board of Education: Compensation as a Teacher, Department Chair and Referral Agent
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	BB&T	Mortgage on Rental Property #1, Morgantown, WV (Pt VII, Line 34)	None
2.	BB&T	Mortgage on Rental Property #2, Oakland, MD (Pt VII, line 35)	N
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. US Savings Bonds	A	Interest	K	T					
2. Royalty Interest, Taylor County, WV, Alliance Petroleum Corporation	A	Royalty	J	W					
3. Northwestern Mutual Whole Life Policy	A	Dividend	J	T					
4. Northwestern Mutual Whole Life Policy	A	Dividend	K	T					
5. Gamervision, Inc. Stock		None	J	W					
6. Huntington Bankshares Incorporated Common Stock (HBAN)	A	Dividend	J	T					
7. Huntington Bank IRA (H)									
8. 1 year renewable CD	A	Interest	J	T					
9. Ameriprise Financial IRA (H)									
10. Columbia Acorn International (ACINX)*	B	Distribution	K	T					
11. Columbia Contrain Core (SMGIX)*	A	Dividend	K	T					
12. Columbia Limited Duration (CLDZX)*	B	Dividend	L	T					
13. Delaware Value (DDVIX)*	A	Dividend	K	T					
14. Loomis Sayles Strategic Income (NEZYX)*	C	Dividend	L	T					
15. MFS Emerging Markets (MEDIX)*	B	Dividend	K	T					
16. Oppenheimer International Growth Fund (OIGYX)*	A	Dividend	K	T					
17. Thornburg Strategic Income (TSIIX)*	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Wells Fargo Advantage (EKJYX)*	D	Distribution	K	T					
19. First Trust Large Value ETF (FTA)	A	Dividend			Sold	09/28/17	K	D	
20. I-Share Credit Share 1-3 yr ETF (CSJ)	B	Dividend	L	T					
21. Revenue Share Small Cap ETF (RWJ)	A	Dividend	K	T					
22. Rydex Guggenheim S&P 500 ETF (RSP)	A	Dividend	K	T					
23. Rydex Guggenheim Equal Weighted S&P ETF (RPG)	A	Dividend	K	T					
24. Wisdom Tree Mid Cap (EM)	A	Dividend	K	T					
25. SPDR Bloomberg Barclays Aggregate Bond ETF (SPAB)**	A	Dividend	J	T					
26. Cash Sweep- Ameriprise Insured Money Market (AIMMA)	A	Interest	J	T					
27. Columbia Small Cap Index C I I (NMSCX)* (NMSAX)	A	Dividend	K	T					
28. Invesco Dynamic Opportunity	B	Dividend	K	T	Buy	09/28/17	K		
29. JT Ameriprise Brokerage (H)									
30. Wells Fargo Growth Fund (SGRNX)*	A	Dividend	J	T	Redeemed (part)	09/28/17	J	B	
31. Vanguard Short Term Corp Bond (VCSH)	A	Dividend	J	T	Buy	03/31/17	J		
32. Cash Sweep- Ameriprise Insured Money Market (AIMMA) (Y) will fall off									
33. West Virginia Teachers' Defined Contribution Plan	B	Dividend	M	T					
34. Rental Property #1, Morgantown, WV	B	Rent			Sold	10/23/17	M	E	Thomas R. Tallada

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Rental Property #2, Oakland, MD		None	O	W					
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1.) Part II, page 1, line 1 - Pursuant to the terms of the shareholder agreement, I receive a buy-out of my interest in the firm and compensation for legal services rendered before becoming a United States Magistrate Judge. The amount is a calculated amount and is to be paid over a period of years. The amount paid is not related to the firm's profits earned after my departure, but represents the value of my interest in the firm.

2.) Part VII, page 4, lines 11, 12, 13, 14, 15, 16, 17 - All securities listed with an asterisk were part of a share class conversion from Class A into Class I. There were no trades or purchases associated with this conversion. The securities impacted are listed below:

Columbia Acorn International from LAIAX to ACINX

Columbia Contrarian Core from LCCAX to SMGIX

Columbia Limited Duration from ALDAX to CLDZX

Delaware Value from DDVAX to DDVIX

Loomis Sayles from NFEZX to NEZXX

MFS Emerging Markets from MEDAX to MEDIX

Oppenheimer International Growth Fund from OIGAX to OIGYX.

3.) Part VII, page 5, lines 18, 19, 28 - All securities listed with an asterisk were part of a share class conversion from Class A into Class I. There were no trades or purchases associated with this conversion. The securities impacted are listed below:

Thornburg Strategic Income from TSIAX to TSIIX

Wells Fargo Advantage from EKJAX to EKJYX

Columbia Small Cap Index CII NMSAX to NMSCX.

4.) Part VII, page 5, line 26 - BNDS which was on my 2016 report - changed its name to SPAB in October 2017 - no buy or sell occurred.

5.) Part VII, Page 5, line 33 - This is a retirement account. I am not a joint owner of this account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Robert W. Trumble**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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