

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Chuang, Theodore D.	2. Court or Organization United States District Court, District of Maryland	3. Date of Report 08/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address United States Courthouse 6500 Cherrywood Lane Greenbelt, MD 20770		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	National Immigration Forum - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Asian American Lawyers Association of Massachusetts	4/12/18-4/13/18	Boston, MA	Annual Dinner Keynote Speaker/Award Recipient	Transportation, food, lodging
2. Connecticut Asian Pacific American Bar Association	6/8/18-6/9/18	Uncasville, CT	Keynote Speaker for NAPABA Northeast Regional Conference	Transportation, food, lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	CitiMortgage	Mortgage on rental property, Brookline, MA	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property, Brookline, MA	E	Rent	O	W					
2. Citibank Cash Accounts	A	Interest	O	T					
3. Emigrant Direct Cash Account	A	Interest	K	T					
4. Fidelity Dividend Growth Fund	D	Dividend	L	T					
5. Lord Abbett Affiliated A Fund	E	Dividend	N	T					
6. Brokerage Account #1 (H)									
7. - Dreyfus International Stock Index Fund	A	Dividend	K	T					
8. - Dreyfus Mid Cap Index Fund	D	Dividend	K	T					
9. - Janus Henderson Global Research Fund	B	Dividend	K	T					
10. - Janus Henderson Growth & Income Fund	B	Dividend	K	T					
11. - Loomis Sayles Bond Fund	B	Dividend	K	T					
12. - Oakmark Fund	D	Dividend	L	T					
13. - Schwab S&P 500 Fund	B	Dividend	L	T					
14. - Schwab Small Cap Index Fund	C	Dividend	K	T					
15. Brokerage Account #2 (H)									
16. - Schwab 1000 Fund	D	Dividend	L	T					
17. - Schwab Core Equity Fund	C	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - AT&T (X)	A	Dividend	J	T					
19. Brokerage Account #3 (H)									
20. - Cisco Systems stock	A	Dividend	J	T					
21. - Invesco Diversified Dividend A	C	Dividend	K	T					
22. - Microsoft Corporation stock	A	Dividend	K	T					
23. - Invesco QQQ Trust (formerly PowerShares QQQ)	A	Dividend	K	T					
24. IRA #1 (H)									
25. - Janus Henderson Growth & Income Fund	A	Dividend	J	T					
26. - Oakmark Fund	A	Dividend	J	T					
27. - Schwab 1000 Fund	B	Dividend	K	T					
28. IRA #2 (H)									
29. - Columbia Energy & Natural Resources Fund	A	Dividend	J	T					
30. - Oakmark Fund	B	Dividend	K	T					
31. - Schwab 1000 Fund	B	Dividend	K	T					
32. - Schwab Small Cap Index Fund	A	Dividend	J	T					
33. IRA #3 (H)									
34. -Fidelity Freedom 2030	D	Dividend	M	T					

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35. Fidelity Cash Reserves	A	Int./Div.	J	T					
36. IRA#4 (H)									
37. -Fidelity Freedom 2030	A	Dividend	J	T					
38. -Fidelity Freedom 2035	B	Dividend	K	T					
39. IRA#5 (H)									
40. - Vanguard Life Strategy Growth Fund	C	Dividend	L	T					
41. My 529 Age Based Aggressive Global	D	Dividend	N	T					
42. Maryland College Investment Plan Portfolio 2024		None	M	T					
43. Northwestern Mutual Whole Life Insurance Policy	A	Dividend	K	T					
44. U.S. Govt. Securities Series EE Bonds		None	L	T					
45. 401(k) #1 (H)									
46. John Hancock Multimanager 2035 Lifetime		None	L	T					
47. IRA#6 (H)									
48. Schwab Bank	A	Interest	L	T					
49. AT&T (X)	A	Dividend	J	T					
50. Agilent Technologies (X)	A	Dividend	J	T					
51. Comcast Corporation (X)	A	Dividend	J	T					

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52. Ford Motor Co. (X)	A	Dividend	J	T					
53. General Electric Co. (X)	A	Dividend	J	T					
54. Keysight Tech, Inc. (X)	A	Dividend	J	T					
55. Microsoft Corp. (X)	A	Dividend	J	T					
56. Oracle Corp. (X)	A	Dividend	J	T					
57. Walt Disney Co. (X)	A	Dividend	J	T					
58. Aberdeen Int'l Equity Fund (X)	A	Dividend	J	T					
59. Federated Kaufmann Fund (X)	D	Dividend	K	T					
60. Schwab S&P 500 Index Fund (X)	A	Dividend	K	T					
61. Schwab Small Cap Index Fund (X)	A	Dividend	J	T					
62. IRA #7 (H)									
63. Morgan Stanley Private Bank (X)	A	Interest	J	T					
64. Morgan Stanley Bank (X)	A	Interest	N	T					
65. iShares MSCI EMU ETF (EZU) (X)	A	Dividend			Sold	12/07/18	J		
66. Healthcare Select Sector SPDR Fund (XLV) (X)	A	Dividend	J	T	Buy (add'l)	08/20/18	J		
67. Cons Discret Select Sector SPDR Fund (XLY) (X)	A	Dividend			Sold (part)	10/09/18	J		
68.					Sold	12/19/18	J		

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69. The Financial Select Sector SPDR Fund (XLF) (X)	A	Dividend			Sold	12/17/18	J		
70. The Technology Select Sector SPDR Fund (XLK) (X)	A	Dividend			Sold	10/09/18	J	A	
71. Invesco QQQ TR (QQQ) (X)	A	Dividend			Sold	12/19/18	J		
72. SPDR S&P 500 ETF Trust (SPY) (X)	A	Dividend			Buy (add'l)	05/10/18	J		
73.					Sold	12/20/18	J		
74. iShares Nasdaq Biotech ETF (IBB) (X)	A	Dividend			Sold	12/17/18	J		
75. iShares S&P Midcap 400 Index Fund (IJH) (X)	A	Dividend			Sold	10/29/18	J		
76. iShares SP Smallcap 600 Index Fund (IJR) (X)	A	Dividend			Sold	10/26/18	J		
77. Wells Fargo & Co New (WFC) (X)	A	Dividend	J	T					
78. Honeywell International, Inc. common stock (HON) (X)	A	Dividend			Sold	12/20/18	J		
79. iShares MSCI Emerging Mkts ETF (EEM) (X)	A	Dividend			Sold (part)	06/26/18	J		
80.					Sold	10/11/18	J		
81. iShares Russell Midcap ETF (IWR) (X)	A	Dividend			Sold	05/10/18	J		
82. iShares Select Dividend ETF (DVY) (X)	A	Dividend			Sold	12/21/18	J		
83. Lululemon Athletica, Inc. common stock (LULU) (X)		None			Sold	12/19/18	J	B	
84. SPDR S&P Bank ETF (KBE) (X)	A	Dividend			Sold (part)	10/18/18	J		
85.					Sold	10/19/18	J		

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86. SPDR S&P Dividend Fund (SDY) (X)	A	Dividend	J	T	Buy (add'l)	08/20/18	J		
87. SPDR S&P Biotech Fund (XBI) (X)	A	Dividend			Sold (part)	10/11/18	J		
88.					Sold	10/22/18	J		
89. SPDR S&P Regional Banking ETF (KRE) (X)	A	Dividend			Sold	10/19/18	J		
90. iShares MSCI China ETF (MCHI) (X)	A	Dividend	J	T	Sold (part)	08/06/18	J		
91. ALPS Sector Dividend DOGS (SDOG) (X)	A	Dividend			Sold	05/10/18	J		
92. iShares US Home Construction ETF (ITB) (X)	A	Dividend			Sold (part)	04/25/18	J		
93.					Sold (part)	05/09/18	J		
94.					Sold (part)	05/10/18	J		
95.					Sold	05/18/18	J		
96. iShares US Aerospace & Def ETF (ITA) (X)	A	Dividend			Buy (add'l)	05/10/18	J		
97.					Sold	12/19/18	J		
98. Wisdomtree Trust Japan Hedge EQ (DXJ) (X)	A	Dividend			Sold	12/20/18	J		
99. First Trust DJ Internet (FDN) (X)		None			Sold	10/29/18	J	A	
100. iShares MSCI Asia Ex-Japan ETF (AAXJ) (X)	A	Dividend			Sold	10/09/18	J		
101. Vaneck Vectors ETF Semicond (SMH) (X)		None			Sold	10/26/18	J		
102. Vaneck Vectors ETF Russia (RSX) (X)	A	Dividend			Sold	12/24/18	J		

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103. Alibaba Group HLDG Ltd. common stock (BABA) (X)		None	J	T					
104. Invesco Equal Weighted S&P 500A (VADAX) (X)		None			Sold	05/10/18	J	A	
105. Prudential Health Sci A (PHLAX) (X)		None			Sold	02/28/18	J		
106. Pioneer High Yield A (TAHYX) (X)	A	Dividend			Sold	04/26/18	J		
107. Amgen, Inc. comon stock (AMGN) (X)	B	Dividend	L	T					
108. Apple, Inc. common stock (AAPL) (X)	A	Dividend	J	T	Buy (add'l)	08/20/18	J		
109.					Sold (part)	11/20/18	J		
110. Applied Materials, Inc. common stock (AMAT) (X)	A	Dividend	K	T					
111. Walmart, Inc. (WMT) (X)	A	Dividend			Sold	05/10/18	J		
112. PGIM Jenn Health Sciences Z (PHSZX)		None			Buy	02/28/18	J		
113.					Sold	12/19/18	J		
114. Energy Select Sector SPDR Fund (XLE)	A	Dividend			Buy	05/09/18	J		
115.					Buy (add'l)	10/09/18	J		
116.					Sold	10/26/18	J		
117. Marathon Petroleum Corp common stock (MPC)	A	Dividend			Buy	05/09/18	J		
118.					Buy (add'l)	08/20/18	J		
119.					Sold	10/26/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Cisco Systems, Inc. common stock (CSCO)	A	Dividend	J	T	Buy	08/23/18	J		
121. Congress Midcap Growth Instl (IMIDX)	A	Dividend			Buy	09/17/18	J		
122.					Sold	12/21/18	J		
123. Invesco Prem US Govt MNY Inst.(IUGXX)	A	Dividend	J	T	Buy	09/19/18	J		
124. Cons Staples Sel Sect SPDR Fund (XLP)	A	Dividend	J	T	Buy	10/09/18	J		
125.					Buy (add'l)	10/09/18	J		
126.					Buy (add'l)	11/07/18	J		
127. iShares MSCI Brazil ETF (EWZ)	A	Dividend	J	T	Buy	10/09/18	J		
128.					Buy (add'l)	10/30/18	J		
129. Comm Serv Select Sector SPDR (XLC)		None			Buy	10/09/18	J		
130.					Buy (add'l)	10/09/18	J		
131.					Buy (add'l)	11/07/18	J		
132.					Sold	12/20/18	J		
133. Philip Morris Int'l, Inc. common stock (PM)		None			Buy	11/07/18	J		
134.					Sold	12/19/18	J		
135. Goldman Sachs Group, Inc. (GS) common stock	A	Dividend			Buy	11/07/18	J		
136.					Sold	12/10/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Chuang, Theodore D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Utilities Sel Sect SPDR Fund (XLU)	A	Dividend	J	T	Buy	11/07/18	J		
138. Walgreens Boots Alliance, Inc. common stock (WBA)	A	Dividend	J	T	Buy	11/07/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Chuang, Theodore D.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Theodore D. Chuang**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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