

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Eagles, Catherine C.	2. Court or Organization Middle District of North Carolina	3. Date of Report 07/29/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 324 W. Market Street Greensboro, NC 27401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Matthew Bender & Co - Book Royalties	\$237.66
2. 2014	Consolidated Judicial Retirement System of NC - Pension	\$72,379.32
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	William A. Eagles, PLLC - Earnings from law practice
2. 2014	University of NC at Greensboro - Earnings for teaching
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1 (H)									
2. Dominion Resources Inc. VA New Stock	C	Dividend	L	T	Donated (part)				
3. Duke Energy	C	Dividend	M	T					
4. Piedmont Natural Gas	A	Dividend	K	T					
5. Southern Bancshares NC Inc.	A	Dividend	M	T					
6. Spectra Energy	C	Dividend	L	T					
7. The Southern Company	A	Dividend	J	T					
8. Brokerage Account #1 (H)									
9. Invesco Charter Fund C1 A	A	Dividend	J	T					
10. Growth Fund America C1 A Mutual Fund	D	Dividend	M	T					
11. Investment Co. America C1 A AIVSX	F	Dividend	O	T					
12. Wash Mutl Invs Fd Inc. C1 A AWSHX	D	Dividend	M	T	Buy (add'l)	02/24/14	K		
13.					Buy (add'l)	05/19/14	K		
14. Income Fund America Inc A	C	Dividend	M	T					
15. Brokerage Account #1 (H)									
16. Wells Fargo Advisors Money Market Account	A	Interest	L	T					
17. IRA #1 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Amer. Balanced Fd Cl A Mutual Fund	D	Dividend	L	T					
19. Inv. Co. of America CL A	E	Dividend	M	T					
20. Income Fund America Inc A	C	Dividend	L	T					
21. IRA #2 (H)									
22. Wells Fargo Instrinsic Val Fd A	D	Dividend	M	T					
23. IRA #3 (H)									
24. Growth Fund Am. CL A	A	Dividend	J	T					
25. IRA #4 (H)									
26. Inv. Co. Am CL A AIVSX	E	Dividend	M	T					
27. Amer Balanced Fd CL A	D	Dividend	M	T					
28. Capital Income Bldr CL A	E	Dividend	N	T					
29. New Perspective Fd CL A	B	Dividend	K	T					
30. IRA #5 (H)									
31. Growth Fund Am CL A AGTHX	A	Dividend	J	T					
32. Cash - Wells Fargo Advisors	A	Interest	J	T					
33. Other Assets (H)									
34. Lincoln National Life Insurance Co Policies Surrender Value	C	Interest	L	T					

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35. Wells Fargo Bank Account (formerly known as Wachovia)	A	Interest	L	T					
36. Eagles Farms LLC	C	Distribution	K	T					
37. Bank of America Account		None	K	T					
38. Bank of America Account - [REDACTED] PLLC (X)		None	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 38: Please note oversight in 2013 report. This account should have been reported in 2013 with blank entries in Cols. B(1) and (2), (no income in 2013); Col. C(1) Value Code: K, and Col. C(2) Value Method: T.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Catherine C. Eagles**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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