

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BOUDIN, MICHAEL	2. Court or Organization U.S. COURT OF APPEALS, 1ST CIR	3. Date of Report 08/12/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE - SENIOR	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. COURTHOUSE 1 COURTHOUSE WAY, SUITE 7710 BOSTON, MASSACHUSETTS 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Emeritus Member of the Council	American Law Institute
2. Trustee or Co-trustee	Trust #2 and Trust #3
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. [N/A]	Vested pension plan account at former law firm, Covington & Burling
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Harvard Law School - teaching	\$15,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Professorship at Harvard Law School ☐
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Harvard University	Education loan	M
2.	Bank of America	Mortgage on Wellfleet, MA	M
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. C & B PENSION PLAN	G	Int./Div.	P1	T					
2. - Morgan Stanley Inst. Mid Cap Growth									
3. - Schwab Stable Value Instl Class II (closed fund)					Distributed	04/10/12	P1		
4. - Pooled Stable Value Fund (new fund)					Open	04/10/12	P1		
5.									
6. TIAA-CREF RETIREMENT PLAN	D	Int./Div.	M	T					
7. - TIAA Traditional									
8. - CREF Stock									
9. - T-C Lfeyle. Idx. 2010-Inst									
10.									
11. Vanguard Target Retirement 2005 Fund (Value below \$1K) (Y)									
12.									
13. Bank of America Cash Account Boston, MA	A	Interest	M	T					
14.									
15. TD BankNorth Cash Account Orleans, MA	A	Interest	L	T					
16.									
17. UBS FINANCIAL SERVICES, INC. (UBS)									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. RMA Government Portfolio (UBS)	A	Dividend	N	T					
19. Prudential National Muni Fund (UBS)	A	Interest	K	T					
20. UBS US Allocation Fund C (UBS)	A	Dividend	M	T					
21. UBS Bank USA deposit account (UBS)	A	Interest	M	T					
22.									
23. MORGAN STANLEY (MS)									
24. Active Assets Tax Free Trust Account (cash equiv.) (MS)	A	Interest	O	T					
25. Sentinel Short Maturity Gov't (MS)	B	Dividend	M	T					
26. MFS Investors Growth ST (MS)	A	Dividend	K	T					
27. Western Asset Mgd Muni A (Name change Legg Mason) (MS)	D	Interest	M	T					
28. Oppenheimer LTD Term Munic	D	Dividend	M	T					
29. Virginia Beach, VA Bonds (18) (MS)	C	Interest	L	T					
30.									
31. HARVARD&UPENN RETIREMENT PLANS at TIAA-CREF	F	Int./Div.	O	T					
32. - TIAA Traditional Fund					Buy (add'l)	07/26/12	L		
33. - TIAA Traditional Fund					Buy (add'l)	08/03/12	J		
34. - TIAA Traditional Fund					Buy (add'l)	09/07/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - TIAA Traditional Fund					Buy (add'l)	10/05/12	J		
36. - TIAA Traditional Fund					Buy (add'l)	11/09/12	J		
37. - TIAA Traditional Fund					Buy (add'l)	11/30/12	J		
38. - TIAA Traditional Fund					Buy (add'l)	12/28/12	J		
39. - CREF Stock					Sold (part)	01/25/12	M	D	
40. - CREF Stock					Buy (add'l)	02/21/12	J		
41. - CREF Stock					Buy (add'l)	03/20/12	J		
42. - CREF Stock					Buy (add'l)	04/20/12	J		
43. - CREF Stock					Buy (add'l)	05/21/12	J		
44. - CREF Stock					Buy (add'l)	06/20/12	J		
45. - CREF Stock					Buy (add'l)	07/20/12	J		
46. - CREF Stock					Buy (add'l)	08/20/12	J		
47. - CREF Stock					Buy (add'l)	09/20/12	J		
48. - CREF Stock					Sold (part)	07/26/12	J		
49. - CREF Stock					Buy (add'l)	10/22/12	J		
50. - CREF Stock					Buy (add'l)	11/20/12	J		
51. - CREF Global					Sold	01/25/12	N	E	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - CREF Growth					Sold	01/25/12	L	C	
53. - TIAA Real Estate									
54. - CREF Money Market					Distributed (part)	01/25/12	O		
55. - CREF Money Market					Buy (add'l)	07/26/12	L		
56. - CREF Money Market					Buy (add'l)	08/03/12	J		
57. - CREF Money Market					Buy (add'l)	09/07/12	J		
58. - CREF Money Market					Buy (add'l)	10/05/12	J		
59. - CREF Money Market					Buy (add'l)	11/09/12	J		
60. - CREF Money Market					Buy (add'l)	11/30/12	J		
61. - CREF Money Market					Buy (add'l)	12/28/12	J		
62. - T-C Lfeyle Idx 2010-Inst					Buy	04/27/12	J		
63. - T-C Lfeyle Idx 2010-Inst					Buy (add'l)	05/03/12	L		
64. - T-C Lfeyle Idx 2010-Inst					Buy (add'l)	06/01/12	J		
65. - T-C Lfeyle Idx 2010-Inst					Buy (add'l)	07/06/12	J		
66. - T-C Lfeyle Idx 2010-Inst					Sold	07/26/12	M	A	
67.									
68. HARVARD RETIREMENT PLAN at VANGUARD	D	Dividend	N	T					

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69. -Vanguard Target Retirement 2010									
70.									
71. VANGUARD ROLLOVER IRA	E	Dividend	P1	T					
72. -Prime Money Mkt Fund (CASH) VMMXX					Buy	01/31/12	P1		
73. -Prime Money Mkt Fund (CASH) VMMXX					Sold (part)	02/02/12	K		
74. -Prime Money Mkt Fund (CASH) VMMXX					Sold (part)	02/03/12	K		
75. -Prime Money Mkt Fund (CASH) VMMXX					Sold (part)	02/09/12	M		
76. -Prime Money Mkt Fund (CASH) VMMXX					Sold (part)	02/10/12	K		
77. -Prime Money Mkt Fund (CASH) VMMXX					Sold (part)	02/17/12	K		
78. -Prime Money Mkt Fund (CASH) VMMXX					Sold (part)	04/02/12	L		
79. -Prime Money Mkt Fund (CASH) VMMXX					Sold (part)	04/05/12	K		
80. -Prime Money Mkt Fund (CASH) VMMXX					Sold (part)	04/16/12	L		
81. -Prime Money Mkt Fund (CASH) VMMXX					Buy (add'l)	04/25/12	L		
82. -Prime Money Mkt Fund (CASH) VMMXX					Sold (part)	04/26/12	L		
83. -Prime Money Mkt Fund (CASH) VMMXX					Sold (part)	04/27/12	L		
84. -Prime Money Mkt Fund (CASH) VMMXX					Sold (part)	05/04/12	M		
85. -Prime Money Mkt Fund (CASH) VMMXX					Sold (part)	05/25/12	L		

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86. -Prime Money Mkt Fund (CASH) VMMXX ■					Sold (part)	06/07/12	K		
87. -Prime Money Mkt Fund (CASH) VMMXX ■					Sold (part)	06/08/12	K		
88. -Prime Money Mkt Fund (CASH) VMMXX ■					Sold (part)	06/11/12	K		
89. -Prime Money Mkt Fund (CASH) VMMXX ■					Sold (part)	06/21/12	K		
90. -Prime Money Mkt Fund (CASH) VMMXX ■					Sold (part)	07/17/12	L		
91. -Prime Money Mkt Fund (CASH) VMMXX ■					Buy (add'l)	07/17/12	J		
92. -Prime Money Mkt Fund (CASH) VMMXX ■					Sold (part)	07/18/12	K		
93. -Prime Money Mkt Fund (CASH) VMMXX ■					Buy (add'l)	07/30/12	L		
94. -Prime Money Mkt Fund (CASH) VMMXX ■					Buy (add'l)	07/31/12	K		
95. -Prime Money Mkt Fund (CASH) VMMXX ■					Buy (add'l)	08/01/12	J		
96. -Prime Money Mkt Fund (CASH) VMMXX ■					Buy (add'l)	08/02/12	L		
97. -Prime Money Mkt Fund (CASH) VMMXX ■					Buy (add'l)	08/03/12	L		
98. -Prime Money Mkt Fund (CASH) VMMXX ■					Sold (part)	08/06/12	M		
99. -Prime Money Mkt Fund (CASH) VMMXX ■					Sold (part)	08/07/12	K		
100. -Prime Money Mkt Fund (CASH) VMMXX ■					Buy (add'l)	08/27/12	J		
101. -Prime Money Mkt Fund (CASH) VMMXX ■					Buy (add'l)	09/07/12	K		
102. -Prime Money Mkt Fund (CASH) VMMXX ■					Buy (add'l)	09/10/12	K		

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103. -Prime Money Mkt Fund (CASH) VMMXX ■					Sold (part)	09/13/12	J		
104. -Prime Money Mkt Fund (CASH) VMMXX ■					Buy (add'l)	09/13/12	K		
105. -Prime Money Mkt Fund (CASH) VMMXX ■					Sold (part)	09/18/12	K		
106. -Prime Money Mkt Fund (CASH) VMMXX ■					Sold (part)	09/19/12	K		
107. -Prime Money Mkt Fund (CASH) VMMXX ■					Sold (part)	09/26/12	K		
108. -Prime Money Mkt Fund (CASH) VMMXX ■					Sold (part)	10/15/12	M		
109. -Prime Money Mkt Fund (CASH) VMMXX ■					Buy (add'l)	10/19/12	K		
110. -Prime Money Mkt Fund (CASH) VMMXX ■					Buy (add'l)	10/22/12	L		
111. -Prime Money Mkt Fund (CASH) VMMXX ■					Buy (add'l)	10/24/12	L		
112. -Prime Money Mkt Fund (CASH) VMMXX ■					Buy (add'l)	10/25/12	K		
113. -Prime Money Mkt Fund (CASH) VMMXX ■					Sold (part)	10/26/12	L		
114. -Prime Money Mkt Fund (CASH) VMMXX ■					Buy (add'l)	11/06/12	J		
115. -Prime Money Mkt Fund (CASH) VMMXX ■					Sold (part)	11/19/12	K		
116. -Prime Money Mkt Fund (CASH) VMMXX ■					Sold (part)	11/20/12	K		
117. -Prime Money Mkt Fund (CASH) VMMXX ■					Sold (part)	11/21/12	K		
118. -Artisan Growth Opportunities Investor ARTRX ■					Buy	04/16/12	K		
119. -Artisan Growth Opportunities Investor ARTRX ■					Sold	07/31/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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BOUDIN, MICHAEL

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -Baron Asset FD Opportunity BIOPX ☐					Buy	4/16/12	K		
121. -Baron Asset FD Opportunity BIOPX ☐					Sold (part)	07/31/12	K		
122. -Baron Asset FD Opportunity BIOPX ☐					Sold	08/27/12	J		
123. -Dividend Growth Fund VDIGX ☐					Buy (add'l)	02/02/12	K		
124. -Dividend Growth Fund VDIGX ☐					Buy (add'l)	02/09/12	K		
125. -Dividend Growth Fund VDIGX ☐					Buy (add'l)	02/17/12	K		
126. -Dividend Growth Fund VDIGX ☐					Buy (add'l)	04/05/12	K		
127. -Dividend Growth Fund VDIGX ☐					Buy (add'l)	04/09/12	K		
128. -Dividend Growth Fund VDIGX ☐					Sold (part)	07/17/12	J	A	
129. -Dividend Growth Fund VDIGX ☐					Sold (part)	08/03/12	K	A	
130. -Dividend Growth Fund VDIGX ☐					Sold (part)	09/07/12	K	A	
131. -Dividend Growth Fund VDIGX ☐					Sold (part)	09/13/12	J	A	
132. -Dividend Growth Fund VDIGX ☐					Sold (part)	09/27/12	K	A	
133. -Dividend Growth Fund VDIGX ☐					Sold (part)	10/24/12	K	A	
134. -Emerging Mkts Stk Idx Adm VEMAX ☐					Buy (add'l)	02/09/12	K		
135. -Emerging Mkts Stk Idx Adm VEMAX ☐					Buy (add'l)	02/17/12	K		
136. -Emerging Mkts Stk Idx Adm VEMAX ☐					Sold (part)	04/25/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -Emerging Mkts Stk Idx Adm VEMAX					Sold (part)	07/30/12	K		
138. -Emerging Mkts Stk Idx Adm VEMAX					Sold	09/13/12	J		
139. -Equity Income Fund Adm VEIRX					Buy (add'l)	02/09/12	K		
140. -Equity Income Fund Adm VEIRX					Buy (add'l)	02/09/12	K		
141. -Equity Income Fund Adm VEIRX					Buy (add'l)	04/05/12	K		
142. -Equity Income Fund Adm VEIRX					Buy (add'l)	06/08/12	K		
143. -Equity Income Fund Adm VEIRX					Sold (part)	09/07/12	K	B	
144. -Equity Income Fund Adm VEIRX					Sold (part)	09/13/12	J	A	
145. -Equity Income Fund Adm VEIRX					Sold (part)	09/27/12	K	B	
146. -Equity Income Fund Adm VEIRX					Sold (part)	10/24/12	K	B	
147. -Mid-Cap Index Fund Adm VIMAX					Buy (add'l)	02/10/12	K		
148. -Mid-Cap Index Fund Adm VIMAX					Sold (part)	04/09/12	K	A	
149. -Mid-Cap Index Fund Adm VIMAX					Buy (add'l)	06/21/12	K		
150. -Mid-Cap Index Fund Adm VIMAX					Sold (part)	07/30/12	L	B	
151. -Mid-Cap Index Fund Adm VIMAX					Sold	08/03/12	L	B	
152. -Matthews Asia Div FD MAPIX					Buy (add'l)	04/26/12	K		
153. -Matthews Asia Div FD MAPIX					Buy (add'l)	07/24/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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BOUDIN, MICHAEL

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -Matthews Asia Div FD MAPIX					Sold (part)	09/10/12	K	A	
155. -Matthews China Investor CL MCHFX					Buy (add'l)	02/03/12	K		
156. -Matthews China Investor CL MCHFX					Buy (add'l)	06/07/12	K		
157. -Matthews China Investor CL MCHFX					Sold (part)	07/24/12	K		
158. -Matthews China Investor CL MCHFX					Buy (add'l)	08/07/12	K		
159. -Matthews China Div FD MCDFX					Buy	04/02/12	K		
160. -Matthews China Div FD MCDFX					Buy (add'l)	06/06/12	K		
161. -Matthews China Div FD MCDFX					Buy (add'l)	07/24/12	J		
162. -Matthews China Div FD MCDFX					Buy (add'l)	08/07/12	K		
163. -Matthews Japan Investor MJFOX					Buy	04/02/12	K		
164. -Matthews Japan Investor MJFOX					Sold	10/19/12	K		
165. -Matthews Japan Investor MJFOX					Buy	11/20/12	K		
166. -Fidelity Contrafund FCNTX					Buy	05/25/12	K		
167. -Fidelity Contrafund FCNTX					Buy (add'l)	09/19/12	K		
168. -Fidelity Contrafund FCNTX					Sold (part)	11/06/12	K	B	
169. -Fidelity Select Natural Gas FSNGX					Buy	05/24/12	K		
170. -Fidelity Select Natural Gas FSNGX					Sold	08/02/12	L	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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BOUDIN, MICHAEL

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Fidelity Select Natural Gas FSNGX					Buy	10/26/12	K		
172. -Fidelity Select Natural Gas FSNGX					Buy (add'l)	10/26/12	K		
173. -Fidelity Select Natural Gas FSNGX					Buy (add'l)	11/06/12	K		
174. -Artisan Value Investors ARTLX					Buy	06/11/12	K		
175. -Artisan Value Investors ARTLX					Sold (part)	10/25/12	K	C	
176. -Artisan Value Investors ARTLX					Buy	11/21/12	K		
177. -FBR Gas Utility Index Investor GASFX					Buy	07/17/12	L		
178. -FBR Gas Utility Index Investor GASFX					Sold	11/01/12	J		
179. -Hennessy Gas Utility Index					Buy	11/01/12	J		
180. -Fidelity Select Biotechnology FBIOX					Buy	07/18/12	K		
181. -Matthews Pacific Tiger Investor MAPTX					Buy	10/26/12	K		
182. -Inflation Protected Sec. Adm. VAIPX					Buy	05/04/12	M		
183. -Inflation Protected Sec. Adm. VAIPX					Buy (add'l)	07/17/12	K		
184. -HiYd Corp Fd Adm VWEAX					Buy	08/06/12	K		
185. -HiYd Corp Fd Adm VWEAX					Buy (add'l)	09/13/12	J		
186. -HiYd Corp Fd Adm VWEAX					Sold	10/22/12	J	A	
187. -Energy Fund Admiral VGELX					Buy	08/06/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Energy Fund Admiral VGELX					Buy (add'l)	09/13/12	J		
189. -Energy Fund Admiral VGELX					Buy (add'l)	09/18/12	K		
190. -Large-Cap Index Fund Adm VLCAX					Buy	09/26/12	J		
191. -Large-Cap Index Fund Adm VLCAX					Buy (add'l)	09/27/12	K		
192. -Large-Cap Index Fund Adml VLCAX					Buy (add'l)	12/04/12	J		
193. -Mid-Cap Growth Fund VMGRX					Buy	08/03/12	J		
194. -Mid-Cap Growth Fund VMGRX					Buy (add'l)	09/26/12	J		
195. -Mid-Cap Growth Fund VMGRX					Buy (add'l)	09/27/12	K		
196. -Mid-Cap Growth Fund VMGRX					Buy (add'l)	11/19/12	K		
197.									
198. HARVARD RETIREMENT PLANS at FIDELITY	A	Dividend	K	T					
199. -Fidelity Cash Reserves (FDRXX)									
200. -Fideility Canada (FICDX)					Buy	01/13/12	K		
201. -Fideility Canada (FICDX)					Sold	04/16/12	K	A	
202. -Fidelity Contrafund (FCNTX)					Buy	01/13/12	K		
203. -Fidelity Contrafund (FCNTX)					Buy (add'l)	04/16/12	J		
204. -Fidelity Contrafund (FCNTX)					Buy (add'l)	05/25/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Fidelity Contrafund (FCNTX) [REDACTED]					Sold (part)	07/16/12	K		
206. -Fidelity Contrafund (FCNTX) [REDACTED]					Sold	09/18/12	K		
207. -Fidelity Select Consumer Stples (FDFAX) [REDACTED]					Buy	07/16/12	K		
208. -Fidelity Select Consumer Stples (FDFAX) [REDACTED]					Sold	09/13/12	K	A	
209. -Fidelity Select Gold (FSAGX) [REDACTED]					Buy	09/13/12	K		
210. -Fidelity Select Gold (FSAGX) [REDACTED]					Buy	09/18/12	K		
211.									
212. DWS (Scudder) Cash Investment Trust MMF [REDACTED]	A	Dividend			Distributed	02/17/12	J		
213. BankAmerica Cash Account, Boston, MA [REDACTED]	A	Interest	K	T					
214. Bank of Hawaii Cash Account [REDACTED]	A	Interest	J	T					
215.									
216. Rental House, Wellfleet, MA [REDACTED]	E	Rent	P1	W					
217. [REDACTED] Wellfleet, MA ([REDACTED] rent house) [REDACTED]	D	Rent	P1	W					
218. Kauai, Hawaii, land [REDACTED]	D	Rent	O	W					
219. Rental House, Cambridge, MA [REDACTED]	D	Rent	O	W					
220.									
221. MERRILL LYNCH (Closed 2/9/12) [REDACTED]									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. General Electric stock	A	Dividend			Sold	02/06/12	J	C	
223. CMA Money fund	A	Interest			Distributed	02/09/12	J		
224.									
225. TRUST #2	A	Dividend	M	T					
226. - UBS US Allocation Fund Class C									
227. - RMA Government Portfolio									
228.									
229. TRUST #3	A	Dividend	O	T					
230. -RMA Govrenment Portfolio									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **MICHAEL BOUDIN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544