

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Riley, William J.	2. Court or Organization Eighth Circuit Court of Appeals	3. Date of Report 03/13/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Roman Hruska U.S. Courthouse 111 South 18th Plaza, #4303 Omaha, NE 68102-1322		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member & Manager	Riley Quest, L.L.C.
2. Manager	Riley Munn Farm Partnership
3. Adjunct Professor	University of Nebraska, College of Law
4. Treasurer, Nebraska Chapter	American Board of Trial Advocates
5. Counselor/Judicial Member	Robert M. Spire Inns of Court

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 04/01/75	Profit Sharing and 401(k) Retirement Plan, Fitzgerald, Schorr, Barnettler & Brennan, P.C., L.L.O., former law firm, no control after termination 8/15/2001
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Spring 2016	University of Nebraska, College of Law	\$7,700.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Sandra Day O'Connor School of Law	February 5-7, 2016	Tempe, AZ	Attend Sandra Day O'Connor Justice Prize Dinner	Airfare, hotel, taxi and meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	American Board of Trial Advocates	Reduced Membership	\$450.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First National Bank of Omaha Cash Accounts	A	Interest	J	T					
2. Mass Mutual Life Insurance - Whole Life Cash Values		None	L	T					
3. Riley Quest, L.L.C.		None	O	W					
4. Marsico Growth Fund	A	Dividend	J	T					
5. IRA #1 (H)									
6. -Janus Growth & Income	A	Dividend	L	T					
7. -Growth Fund Amer Inc	A	Dividend	J	T					
8. -Weitz Value Fund	A	Dividend			Sold	01/15/16	L	E	
9. IRA - #2 (H)									
10. -American Century Income & Growth Fund	A	Dividend	J	T					
11. -Marsico Growth Fund	A	Dividend	J	T					
12. -American Century Equity Income	A	Dividend	L	T					
13. RBC Dain Rauscher 403(b) Account(H)									
14. -RBC Bank Deposit Program	A	Interest			Sold	12/22/16	J		
15. -Davis New York Venture Fund	B	Dividend			Sold	12/22/16	J		
16. -Eagle Ser	A	Dividend			Sold	12/22/16	J		
17. -Growth Fund Amer Inc	A	Dividend			Sold	12/22/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Thornburg Inv. Inc.	A	Int./Div.			Sold	12/22/16	J		
19. Thornburg Invt. Tr	A	Dividend	O	T					
20. Raymond James 403(b) (H)									
21. -RBC Bank Deposit Program	A	Interest	J	T	Buy	12/22/16	J		
22. -Davis New York Venture Fund	B	Dividend	J	T	Buy	12/22/16	J		
23. -Eagle Ser	A	Dividend	J	T	Buy	12/22/16	J		
24. -Growth Fund Amer Inc	A	Dividend	J	T	Buy	12/22/16	J		
25. -Thornburg Inv. Inc.	A	Int./Div.	J	T	Buy	12/22/16	J		
26. First National Bank of Omaha - Profit Sharing & 401(k) FSB&B(H)									
27. -Goldman Sachs-U.S. Government Money Market Fund	A	Int./Div.	K	T	Sold (part)	03/31/16	J	A	
28.					Sold (part)	06/27/16	J	A	
29.					Sold (part)	06/30/16	J	A	
30.					Sold (part)	09/30/16	J	A	
31. -Berkshire Hathaway, Inc. Class A Common		None	O	T					
32. RBC Account X (H)									
33. -Prime Money Market X-RBC	A	Interest			Sold	11/11/16	K	A	
34. -Ashton Funds Montag & Caldwell Growth X	A	Dividend			Sold	11/11/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Davis New York Venture Fund	A	Dividend			Sold (part)	02/25/16	J	A	
36.					Sold (part)	07/07/16	J	A	
37.					Sold	11/11/16	J	A	
38. -Thornburg Intl Value Fund	A	Dividend			Sold	11/11/16	K	A	
39. -Templeton Foreign FD CL A	A	Dividend			Sold	11/11/16	K	A	
40. -Diamond Hill FD	A	Dividend			Sold	11/11/16	J	A	
41. -Artisan Int Val FD	A	Dividend			Sold	11/11/16	K	A	
42. Raymond James Account X (H)									
43. -Prime Money Market Account X-	A	Interest	K	T	Buy	11/11/16	K		
44. -Ashton Funds Montag & Caldwell Growth X	A	Dividend	J	T	Buy	11/11/16	J		
45. -Davis New York Venture Fund	A	Dividend	K	T	Buy	11/11/16	J		
46.					Sold (part)	12/13/16	J	A	
47. -Thornburg Intl Value Fund	A	Dividend	K	T	Buy	11/11/16	K		
48. -Templeton Foreign FD CL A	A	Dividend	K	T	Buy	11/11/16	K		
49. -Diamond Hill FD	A	Dividend	J	T	Buy	11/11/16	J		
50. -Artisan Int Val FD	A	Dividend	K	T	Buy	11/11/16	K		
51.					Sold (part)	12/13/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. RBC Wealth Mg (H)									
53. -RBC Bank Deposit	A	Interest			Sold	11/11/16	J	A	
54. -Columbia Select Large Cap Growth C	A	Dividend			Sold	11/11/16	J	A	
55. -Davis New York Venture Fund	A	Dividend			Sold (part)	02/25/16	J	A	
56.					Sold	11/11/16	J	A	
57. -Diamond Hill Funds Small Cap	A	Dividend			Sold	11/11/16	J	A	
58. -Eagle Sur MidCap Growth FD	A	Dividend			Sold	11/11/16	J	A	
59. -Euro Pac Growth FD	A	Dividend			Sold (part)	07/07/16	K	A	
60.					Sold	11/11/16	K	A	
61. -Nebr Pub Pwr Dist Rev. Gen Elec & Pub Pwr	A	Interest			Sold	11/11/16	K	A	
62. -Lincoln Neb Elec Sys Rev Elec & Pub Pwr									
63. Raymond James Wealth Mg (H)									
64. -RBC Bank Deposit	A	Interest	J	T	Buy	11/11/16	J		
65. -Columbia Select Large Cap Growth C	A	Dividend	J	T	Buy	11/11/16	J		
66. -Davis New York Venture Fund	A	Dividend	J	T	Buy	11/11/16	J		
67. -Diamond Hill Funds Small Cap	A	Dividend	J	T	Buy	11/11/16	J		
68. -Eagle Sur MidCap Growth FD	A	Dividend	J	T	Buy	11/11/16	J		

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69. -Euro Pac Growth FD	A	Dividend	K	T	Buy	11/11/16	K		
70. -Nebr Pub Pwr Dist Rev. Gen Elec & Pub Pwr	A	Interest	K	T	Buy	11/11/16	K		
71. -Lincoln Neb Elec Sys Rev Elec & Pub Pwr	A	Interest	K	T	Buy	11/11/16	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

All RBC Wealth Management accounts transferred in 2016 to Raymond James for management, because my broker/friend changes companies.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William J. Riley

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544