

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bluebond, Sheri A.	2. Court or Organization Central District of California	3. Date of Report 3/28/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 255 E. Temple Street Suite 1534 Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member, Board of Trustees	Los Angeles Bankruptcy Forum
2.	Trustee	Trust No. 1
3.	Member, Board of Trustees	Los Angeles County Bar Association
4.	Member, Board of Governors	American College of Bankruptcy Foundation
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	GlassRatner Advisory & Capital Group LLC -- Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American College of Bankruptcy	03/09 to 03/12/2017	Washington, DC	Attendance at Annual Conference	Hotel, Parking, Meals, Local Transportation
2. California Bankruptcy Forum Conference	05/18 to 05/21/2017	San Diego, CA	Speaker at Annual Conference	Hotel, Meals, Tuition
3. National Conference of Bankruptcy Judges	10/07 to 10/11/2017	Las Vegas, NV	Attendance at Annual Meeting and Conference	Hotel
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Microsoft, common stock	A	Dividend	J	T					
2. General Electric, common stock	A	Dividend			Sold	04/24/17	J	A	
3. Pfizer, common stock	A	Dividend			Sold	11/16/17	J	C	
4. Scholarshare Active Age-Based Portfolio		None	N	T					
5. VCA Inc., common stock (See Section VIII)		None			Sold	09/12/17	J	A	
6. Trust #1									
7. -Wells Fargo Bank (Accounts)	A	Interest	J	T					
8. -Stamps.com, common stock		None	L	T					
9. -First Eagle Global Mutual Fund	A	Dividend	K	T					
10. -Fidelity Gov't Cash Reserve fka Fidelity Municipal Money Market Fund	A	Dividend	J	T					
11. -Fidelity California Municipal Income Fund	B	Dividend	L	T	Buy (add'l)	01/31/17	K		
12.					Buy (add'l)	04/10/17	J		
13.					Buy (add'l)	06/22/17	J		
14.					Buy (add'l)	12/20/17	J		
15. -Deutsche Mngd Muni Bd Fd	A	Dividend	J	T					
16. -Invesco Int'l Growth Fund	A	Dividend	J	T					
17. -Artisan Value Fund Investor CL	A	Dividend	J	T	Sold (part)	01/31/17	J	A	

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	F ~\$50,001 - \$100,000 J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	P4 ~More than \$50,000,000 S ~Assessment W ~Estimated	T ~Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Artisan Small Cap Fund Investor CL	B	Dividend	J	T					
19. -Fidelity Small Cap Value Fund	A	Dividend	J	T					
20. -Fidelity Growth Company Fund	A	Dividend	J	T					
21. -Fidelity Advisor New Insights CL I		None			Sold	01/31/17	J	B	
22. -Fidelity Low Priced Stock Fund		None			Sold	01/31/17	J	A	
23. -iShares TR Russell 1000 ETF	A	Dividend	K	T	Sold (part)	04/12/17	J	A	
24. -iShares TR Russell Midcap ETF	A	Dividend	J	T					
25. -iShares Russell 1000 Growth ETF	A	Dividend	J	T	Sold (part)	04/12/17	J	A	
26.					Sold (part)	06/22/17	J	A	
27. -iShares TR EAFE Growth ETF		None			Sold	02/02/17	J	A	
28. -iShares Inc. Core MSCI Emerg Markets	A	Dividend	J	T					
29. -iShares TR Russell Midcap Value Index ETF	A	Dividend	J	T					
30. -MFS Int'l Diversification Fund A	A	Dividend	K	T	Sold (part)	01/31/17	J	A	
31. -MFS Int'l Value Fund CL A	A	Dividend	J	T	Sold (part)	01/31/17	J	A	
32. -Morgan Stanley Global Real Estate Port		None			Sold	01/31/17	J	A	
33. -T Rowe Price Small Cap Stock Advisor CL		None			Sold	01/31/17	J	A	
34. -T Rowe Price Tax Free High Yield	A	Dividend	K	T	Buy (add'l)	01/31/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Buy (add'l)	04/10/17	J		
36.					Buy (add'l)	06/26/17	J		
37. -Prudential Jennison Mid Cap Growth CL A Fund	B	Dividend	J	T	Sold (part)	11/02/17	J	A	
38. -Vanguard Index FDS Vanguard Value	B	Dividend	K	T	Sold (part)	01/30/17	J	A	
39. -Vanguard Index FDS Vanguard Growth	A	Dividend	K	T					
40. -Wells Fargo Ultra Short Term Muni Inc.	A	Dividend	J	T	Buy (add'l)	04/10/17	J		
41.					Buy (add'l)	06/22/17	J		
42.					Sold (part)	12/01/17	J	A	
43. -Wells Fargo Muni Bond Fd-Admin CL	A	Dividend	J	T					
44. -Oppenheimer Int'l Growth CL1	A	Dividend	J	T	Sold (part)	04/10/17	J	A	
45.					Sold (part)	06/21/17	J	A	
46. -Sector Spdr TR SHS Ben Int'l Fin'l		None			Sold	02/02/17	J	B	
47. -Wasatch Frontier Emerging Small Cos.		None			Sold (part)	01/31/17	J	A	
48.					Buy (add'l)	04/10/17	J		
49.					Sold	08/22/17	J	A	
50. -Templeton Global Bond Fund Class A	A	Dividend	J	T	Buy (add'l)	01/31/17	J		
51. -Causeway Emerging Markets Fund	A	Dividend	J	T	Sold (part)	01/31/17	J	A	

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52. -Eaton Vance Income Fund of Boston	A	Dividend			Sold (part)	04/10/17	J	A	
53.					Sold	06/22/17	J	A	
54. -Vanguard Sector Index FDS Vanguard	A	Dividend	J	T					
55. -Aberdeen Emerging Markets FD	A	Dividend	J	T	Sold (part)	01/31/17	J	A	
56. -Invesco Convertible Securities FD	A	Dividend	J	T	Sold (part)	04/30/17	J	A	
57. -Oakmark Int'l Investor FD (see note in part VIII)	A	Dividend	J	T					
58. -Blackrock Nat'l Muni Fund	A	Dividend	J	T	Buy	01/31/17	J		
59.					Buy (add'l)	04/10/17	J		
60.					Buy (add'l)	06/22/17	J		
61.					Buy (add'l)	12/01/17	J		
62. -Mainstay Tax Free Bd Cl A	A	Dividend	J	T	Buy	01/31/17	J		
63.					Buy (add'l)	06/22/17	J		
64. -Flexshares Trust Morningstar Global	A	Dividend	J	T	Buy	08/24/17	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The entries that appear in Part VII of this report refer to my assets (with the exception of line 4, which refers to 529 accounts held for the [REDACTED], and line 5, which refers to stock held for the [REDACTED]). I am not reporting assets that belong to my spouse, as, due to a premarital agreement, these assets are the sole financial interest and responsibility of my spouse and are not derived from any of my assets, income or activities. I, as the reporting person, do not derive or expect to derive a benefit of any kind from my spouse's assets and have no knowledge of the assets or activities related to these assets.

I have omitted any reference to the common stock of Capstone Turbine Corp. in Part VII of this report, although I still own it, as I did not receive any income during the reporting period and the value of the stock has fallen below the reporting limit.

The mutual fund identified on line 57 of Part VII of this report (Oakmark International Investor Fund) was inadvertently omitted from my annual report for 2016. The entry for 2016 should have reflected that I received dividends from this fund during 2016 of \$1,000 or less (Amount Code A); that the value at the end of the reporting period was \$15,000 or less (Value Code J); that the valuation method was cash market (Value Method Code T); that I bought the asset on 02/18/16; and that I paid less than \$15,000 for the asset (Value Code J).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sheri A. Bluebond**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544