

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Briccetti, Vincent L.	2. Court or Organization U.S. District Court, Southern District of New York	3. Date of Report 05/07/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2017 to 12/31/2017
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Courthouse 300 Quarropas Street White Plains, NY 10601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Fordham Law Alumni Association
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Greenwich Anesthesiology Associates, P.C. - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Bar Council	2/18/17-2/20/17	Rancho Mirage, CA	Bar association meeting and CLE seminar	Transportation, Meals, Lodging
2. Cornell Law School	11/6/17-11/7/17	Ithaca, NY	Guest-teach law school class	Transportation, Meals, Lodging
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Rental property #1, South Salem, NY (1993, \$62,458)	D	Rent	L	R					
2. Chase Bank, various accounts	A	Interest	M	T					
3. Comenity Capital Bank (X)	A	Interest			Closed	11/28/17	J		
4. Eaton Vance Floating Rate Advantage (ECFAX) (X)	A	Dividend	J	T					
5. Eaton Vance Massachusetts Muni Income (ETMAX) (X)	A	Dividend	J	T					
6. Fidelity Growth Company Fund (FDGRX)	B	Dividend	K	T					
7. Fidelity Treasury Fund (FZFXX)	A	Dividend	J	T					
8. First Trust Large Cap Core Alphadex (FEX) (X)	A	Dividend	J	T					
9. First Trust Mid Cap Core Alphadex (FNX) (X)	A	Dividend	J	T					
10. iShares S&P National Municipal Bond (MUB)	A	Dividend	J	T	Buy	09/11/17	J		
11. iShares MSCI Emerging Mkts Minimum Volatility Index (EEMV)	A	Dividend	J	T					
12. iShares Trust Core MSCI Total Intl Stk (IXUS)	A	Dividend	J	T					
13. JP Morgan Core Bond (OBOCX) (X)	A	Dividend	J	T					
14. NMIS General NY MM Fund Class B	A	Interest	J	T					
15. Vanguard High Yield Tax Exempt Admiral (VWALX)	B	Dividend	K	T					
16. Vanguard NY LT Tax-Exempt Fund Investor	A	Dividend	J	T					
17. IRA #5 (Fidelity) (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Aberdeen Asia Pac Income (FAX)	A	Dividend			Sold	05/11/17	K	A	
19. - Bank of America Corp Bond	A	Interest	K	T					
20. DFA Targeted Credit Portfolio (DTCPX)	B	Dividend	M	T	Buy	03/14/17	L		
21. - Fidelity Cash Reserves (FDRXX)	A	Dividend	K	T					
22. - Fidelity Floating Rate High Income (FFRHX)	A	Dividend			Sold	08/01/17	K		
23. -Fidelity Short Term Bond (FSHBX)	A	Dividend			Buy (add'l)	02/01/17	K		
24.					Sold	03/14/17	L		
25. Fidelity Short Term Treasury Bond Index Premium (FSBAX)	A	Dividend	L	T	Buy	05/11/17	K		
26.					Buy (add'l)	08/31/17	K		
27. Fidelity Intermediate Treasury Bond Index Premium (FIBAX)	A	Dividend	K	T	Buy	05/11/17	K		
28. - Goldman Sachs Group Inc Medium Term Note	B	Interest	K	T					
29. iShares Micro-Cap (IWC)	A	Dividend	K	T	Buy	08/01/17	K		
30. - iShares MSCI EAFE Small Cap Index (SCZ)	B	Dividend	L	T					
31. - iShares S&P 500 Index (IVV)	A	Dividend	L	T	Sold (part)	06/05/17	J	B	
32. - Nuveen Build America (NBD)	C	Dividend	L	T	Sold (part)	05/01/17	K	A	
33. - Nuveen Credit Strategies Income Fund (JQC)	A	Dividend			Sold	02/01/17	K	B	
34. -Payden Global Low Duration (PYGSX)	A	Dividend			Sold	05/11/17	L	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - PIMCO Income Instl (PIMIX)	D	Dividend	M	T					
36. -Powershares Build America Bond (BAB)	B	Dividend	K	T					
37. Stone Ridge Reinsurance Risk Premium (SRRIX)	A	Dividend	K	T	Buy	09/21/17	K		
38. - Vanguard Emerging Markets (VWO)	B	Dividend	L	T					
39. -Vanguard Short Term Corporate Bond (VCSH)	B	Dividend	L	T					
40. IRA #6 (Fidelity) (H)									
41. - Angel Oak Multistrat Income Instl (ANGIX)	A	Dividend			Sold	07/06/17	K		
42. - Fidelity Cash Reserves (FDRXX)	A	Dividend	J	T					
43. Fidelity Floating Rate High Income (FFRHX)	A	Dividend			Buy	05/01/17	K		
44.					Sold	07/06/17	K		
45. Fidelity Intermediate Treasury Bond Index Premium (FIBAX)	A	Dividend	K	T	Buy	11/08/17	K		
46. -Fidelity Short Term Bond (FSHBX)	A	Dividend			Sold	05/01/17	K	A	
47. iShares iBoxx High Yield Corporate Bond (HYG)	A	Dividend			Buy	05/01/17	K		
48.					Sold	11/08/17	K		
49. iShares Core MSCI Emerging Markets (IEMG)	A	Dividend	J	T	Buy	07/06/17	J		
50. iShares S&P Small Cap 600 Citigroup Value Index (IJS)	A	Dividend	K	T	Buy	07/06/17	J		
51.					Buy (add'l)	09/11/17	J		

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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52. Nuveen Credit Strategies Income Fund (JQC)	A	Dividend	K	T	Buy	11/08/17	K		
53.					Buy (add'l)	11/29/17	K		
54. - Payden High Income (PYHRX)	B	Dividend			Sold	11/08/17	K		
55. -Vanguard GNMA Admiral (VFIJX)	B	Dividend	K	T					
56. 401(K) #2 (H)									
57. -Vanguard 500 Index (VFINX)	D	Dividend	N	T	Buy (add'l)	01/13/17	J		
58.					Buy (add'l)	01/27/17	J		
59.					Buy (add'l)	02/13/17	J		
60.					Buy (add'l)	02/24/17	J		
61.					Buy (add'l)	03/13/17	J		
62.					Buy (add'l)	03/24/17	J		
63.					Buy (add'l)	04/07/17	J		
64.					Buy (add'l)	04/21/17	J		
65.					Sold (part)	05/01/17	K		
66.					Buy (add'l)	05/05/17	J		
67.					Buy (add'l)	05/19/17	J		
68.					Buy (add'l)	06/02/17	J		

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2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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69.					Buy (add'l)	06/15/17	J		
70.					Buy (add'l)	06/30/17	J		
71.					Buy (add'l)	07/14/17	J		
72.					Buy (add'l)	07/28/17	J		
73.					Buy (add'l)	08/11/17	J		
74.					Buy (add'l)	08/25/17	J		
75.					Buy (add'l)	09/08/17	J		
76.					Buy (add'l)	09/22/17	J		
77.					Buy (add'l)	10/06/17	J		
78.					Buy (add'l)	10/27/17	J		
79.					Buy (add'l)	11/03/17	J		
80.					Buy (add'l)	11/17/17	J		
81.					Buy (add'l)	12/01/17	J		
82.					Buy (add'l)	12/15/17	J		
83.					Buy (add'l)	12/29/17	J		
84.	- Vanguard Small Capitalization Stock (NAESX)	A	Dividend	M	T	Buy (add'l)	01/13/17	J	
85.					Buy (add'l)	01/27/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86.					Buy (add'l)	02/13/17	J		
87.					Buy (add'l)	02/24/17	J		
88.					Buy (add'l)	03/13/17	J		
89.					Buy (add'l)	03/24/17	J		
90.					Buy (add'l)	04/07/17	J		
91.					Buy (add'l)	04/21/17	J		
92.					Buy (add'l)	05/05/17	J		
93.					Buy (add'l)	05/19/17	J		
94.					Buy (add'l)	06/02/17	J		
95.					Buy (add'l)	06/15/17	J		
96.					Buy (add'l)	06/30/17	J		
97.					Buy (add'l)	07/14/17	J		
98.					Buy (add'l)	07/28/17	J		
99.					Buy (add'l)	08/11/17	J		
100.					Buy (add'l)	08/25/17	J		
101.					Buy (add'l)	09/08/17	J		
102.					Buy (add'l)	09/22/17	J		

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103.					Buy (add'l)	10/06/17	J		
104.					Buy (add'l)	10/27/17	J		
105.					Buy (add'l)	11/03/17	J		
106.					Buy (add'l)	11/17/17	J		
107.					Buy (add'l)	12/01/17	J		
108.					Buy (add'l)	12/15/17	J		
109.					Buy (add'l)	12/29/17	J		
110. - Vanguard Total Bond Market Index Inv (VBMFX)	D	Dividend	K	T	Buy (add'l)	01/13/17	J		
111.					Buy (add'l)	01/27/17	J		
112.					Buy (add'l)	02/13/17	J		
113.					Buy (add'l)	02/24/17	J		
114.					Buy (add'l)	03/13/17	J		
115.					Buy (add'l)	03/24/17	J		
116.					Buy (add'l)	04/07/17	J		
117.					Buy (add'l)	04/21/17	J		
118.					Buy (add'l)	05/01/17	K		
119.					Buy (add'l)	05/05/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

Briccetti, Vincent L.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	05/19/17	J		
121.					Buy (add'l)	06/02/17	J		
122.					Buy (add'l)	06/15/17	J		
123.					Buy (add'l)	06/30/17	J		
124.					Buy (add'l)	07/14/17	J		
125.					Buy (add'l)	07/28/17	J		
126.					Buy (add'l)	08/11/17	J		
127.					Buy (add'l)	08/25/17	J		
128.					Buy (add'l)	09/08/17	J		
129.					Buy (add'l)	09/22/17	J		
130.					Buy (add'l)	10/06/17	J		
131.					Buy (add'l)	10/27/17	J		
132.					Buy (add'l)	11/03/17	J		
133.					Buy (add'l)	11/17/17	J		
134.					Buy (add'l)	12/01/17	J		
135.					Buy (add'l)	12/15/17	J		
136.					Buy (add'l)	12/29/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Marker	

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Name of Person Reporting

Briccetti, Vincent L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.									
138. Northwestern Mutual Whole Life Policy	C	Dividend	L	T					
139. Northwestern Paid Up Life Insurance Policy #1	B	Dividend	L	T					
140. Northwestern Paid Up Life Insurance Policy #2	B	Dividend	M	T					
141.									
142. IRA #7 (Fidelity) (H) (X)									
143. AB High Income Advisor (AGDYX) (X)	A	Dividend			Sold	12/04/17	J	A	
144. Artisan Mid Cap Investor (ARTMX) (X)	A	Dividend			Sold	12/26/17	J		
145. Eaton Vance Floating Rate (EIFAX) (X)	A	Dividend	J	T					
146. Fidelity Advisor Total Emerging Markets (FTEJX) (X)	A	Dividend			Sold	12/04/17	J	A	
147. Fidelity Advisor Real Estate Income (FRINX) (X)	A	Dividend			Sold	12/04/17	J	A	
148. Fidelity Treasury Fund (ZFEXX)	A	Dividend	J	T	Buy	11/28/17	J		
149. Hartford Growth Opportunities (HGOIX) (X)	A	Dividend	J	T					
150. iShares Trust Core MSCI EAFE (IEFA)		None	J	T	Buy	12/26/17	J		
151. iShares Core MSCI Emerging Markets (IEMG)	A	Dividend	K	T	Buy	12/04/17	K		
152. iShares S&P 500 Value (IVE)		None	J	T	Buy	12/26/17	J		
153. JP Morgan Core Bond (WOBDX) (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Briccetti, Vincent L.

Date of Report

05/07/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. JP Morgan Equity Income (HLEIX) (X)	A	Dividend			Sold	12/26/17	J	A	
155. MFS Value (MEIIX) (X)	A	Dividend			Sold	12/26/17	J	C	
156. PIMCO Income Fund (PONPX) (X)	A	Dividend	J	T					
157. Prudential Total Return Bond (PDBZX) (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Briccetti, Vincent L.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Vincent L. Briccetti

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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