

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lorch, Basil H.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 121 W. Spring Street New Albany, IN 47150		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Lorch, Basil H.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. National Conference of
Bankruptcy Judges

October 26 - 28

San Francisco, CA

Business meeting and
educational seminar

Meals, hotel, transportation

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RJ Bank Deposit Program	A	Interest	J	T					
2. TIAA Cref Teachers Retirement Fund	A	Dividend	J	T					
3. College Choice T Rowe Price Large Cap Growth	A	Dividend	J	T					
4. College Choice Black Rock Large Cap Value Class A	A	Dividend	J	T					
5. College Choice 2025 Enrollment Portfolio Class A	A	Dividend	J	T					
6. AMG Times Sq Small Cap Growth Fund	A	Dividend			Sold	02/12/16	J	A	
7. Wells Fargo Advantage Intl Value Fund	A	Dividend	J	T	Sold (part)	06/07/16	J	A	
8. MFS Int'l Growth Fund Class I	A	Dividend			Sold	02/12/16	J	A	
9. Prudential Jennison Midcap Growth Fund Class Z	A	Dividend	J	T	Sold (part)	02/12/16	J	A	
10. DWS RREEF Global Real Estate Sec FD Class A	A	Dividend			Sold	02/12/16	J	A	
11. MFS Research Fund Class A	A	Dividend	J	T	Sold (part)	12/23/16	J	A	
12. John Hancock Disciplined Value Fund Class A	A	Dividend			Sold	02/12/16	J		
13. Virtus Emerging Markets Opportunities Fund Class I	A	Dividend			Sold	02/12/16	J	A	
14. Europacific Growth Fund Class F1	A	Dividend	J	T	Sold (part)	12/23/16	J	A	
15. Pimco Total Return Fund Class P	A	Dividend	J	T					
16. JP Morgan US Large Cap Core Plus Fund Select Class	A	Dividend			Sold	02/10/16	J	A	
17. JP Morgan Midcap Value Fund Institutional Shares	A	Dividend			Sold	02/10/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. AQR Managed Futures Strategy Fund Class N	A	Dividend	J	T	Sold (part)	12/21/16	J	A	
19. Aston River Road Small Cap Value Fund Class I	A	Dividend			Sold	02/10/16	J	A	
20. Columbia Acorn Int'l Fund Class Z	A	Dividend			Sold	02/10/16	J	A	
21. Brown Advisory Growth Equity Fund Investor Shares	A	Dividend			Sold	02/10/16	J	A	
22. Merrill Lynch PFD Cap TR V	A	Dividend			Sold	01/29/16	J	A	
23. Merrill Lynch CUML PFD Cap TR III	A	Dividend			Sold	01/29/16	J	A	
24. First Eagle Global CL C	A	Dividend	K	T					
25. Ivy Balanced CL C	A	Dividend	K	T					
26. Janus Balanced CL C	A	Dividend	K	T	Sold (part)	10/21/16	J	A	
27. Federated Government Cash SER	A	Interest	J	T					
28. MFS Global	A	Dividend	K	T					
29. Baird Aggregate INSTL Class N/L	A	Dividend	J	T	Sold (part)	12/21/16	J	A	
30. Clarkston Partners Fund Institutional Class N/L	A	Dividend			Sold	02/10/16	J	A	
31. Metropolitan West Total Return Bond Fund Class I	A	Dividend	J	T	Sold (part)	06/07/16	J	A	
32. Carnival Corporation (CCL)	A	Dividend	J	T	Buy	06/10/16	J		
33. VF Corporation (VFC)	A	Dividend			Buy	06/10/16	J		
34.					Sold	07/26/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35. Kraft Heines Company (KHC)	A	Dividend	J	T	Buy	06/10/16	J		
36. Sysco Corporation (SYN)	A	Dividend			Buy	06/10/16	J		
37.					Sold	10/21/16	J	A	
38. Chevron Corporation New (CVX)	A	Dividend	J	T	Buy	06/10/16	J		
39. JP Morgan Chase & Company (JPM)	A	Dividend	J	T	Buy	06/10/16	J		
40. Chubb Limited (Switzerland)	A	Dividend	J	T	Buy	06/10/16	J		
41. Pfizer Incorporated (PFE)	A	Dividend	J	T	Buy	06/10/16	J		
42. CME Group Incorporated (CME)	A	Dividend	J	T	Buy	06/10/16	J		
43. Bristol Myers Squibb Company (BMY)	A	Dividend			Buy	06/10/16	J		
44.					Sold	12/27/16	J	A	
45. Cardinal Health Incorporated (CAH)	A	Dividend			Buy	06/10/16	J		
46.					Sold	12/27/16	J	A	
47. Union Pac Corporation (UNP)	A	Dividend	J	T	Buy	06/10/16	J		
48. Eaton Corporation PLC (ENT)	A	Dividend	J	T	Buy	06/10/16	J		
49. Apple Incorporated (AAPL)	A	Dividend	J	T	Buy	06/10/16	J		
50. Microsoft Corporation (MSFT)	A	Dividend	J	T	Buy	06/10/16	J		
51. Accenture PLC Ireland SHS Class A	A	Dividend			Buy	06/10/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52.					Sold	07/07/16	J	A	
53. Microchip Technology Incorporated (MCHP)	A	Dividend	J	T	Buy	06/10/16	J		
54. Lyondellbasell Industries N V SHS (LYB)	A	Dividend			Buy	06/10/16	J		
55.					Sold	07/26/16	J		
56. Verizon Communications Incorporated (VZ)	A	Dividend	J	T	Buy	06/10/16	J		
57. Oracle Corporation (ORCL)	A	Dividend	J	T	Buy	07/07/16	J		
58. Air Products & Chemicals Incorporated (APD)	A	Dividend	J	T	Buy	10/21/16	J		
59. Pepsico, Incorporated (PEP)	A	Dividend	J	T	Buy	10/21/16	J		
60. Marathon Pete Corporation (MPC)	A	Dividend	J	T	Buy	06/10/16	J		
61. Time Warner Incorporated Com New (TWX)	A	Dividend	J	T	Buy	07/26/16	J		
62. Abbvie Incorporated (ABBV)	A	Dividend	J	T	Buy	12/27/16	J		
63. Merck & Company Incorporated (MRK)	A	Dividend	J	T	Buy	12/27/16	J		
64. Artisan International Fund Investor (ARTIX)*	A	Dividend	J	T	Buy	02/10/16	J		
65.					Sold (part)	12/21/16	J	A	
66. Loomis Sayles Growth Fund Class Y	A	Dividend	J	T	Buy	02/10/16	J		
67.					Sold (part)	12/21/16	J	A	
68. T. Rowe Price Small Cap Value Fund (PASVX)	A	Dividend	J	T	Buy	02/10/16	J		

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69.					Sold (part)	12/21/16	J	A	
70. Western Asset Total Return Fund	A	Dividend	J	T	Buy	02/10/16	J		
71.					Sold (part)	12/21/16	J	A	
72. Champlain Mid Cap Fund Advisor	A	Dividend	J	T	Buy	02/10/16	J		
73.					Sold (part)	12/21/16	J	A	
74. American Mutual Fund Class F2	A	Dividend	J	T	Buy	02/12/16	J		
75.					Sold (part)	12/23/16	J	A	
76. Mainstay Epoch Global Equity Yield Fund Class A	A	Dividend	J	T	Buy	02/12/16	J		
77.					Sold (part)	12/23/16	J	A	
78. Federated Institutional High Yield Bond Fund	A	Dividend	J	T	Buy	02/12/16	J		
79.					Sold (part)	12/23/16	J	A	
80. Gateway Fund Class A M/F	A	Dividend	J	T	Buy	02/12/16	J		
81.					Sold (part)	12/23/16	J	A	
82. Prudential Jennison Equity Income Fund Class Z	A	Dividend	J	T	Buy	02/12/16	J		
83.					Sold (part)	12/23/16	J	A	
84. John Hancock Global Absolute Return Strategies Fd	A	Dividend	J	T	Buy	02/12/16	J		
85.					Sold (part)	12/23/16	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86.	Federated Strategic Value Dividend Fund	A	Dividend	J	T	Buy	02/12/16	J	
87.									
88.									
89.									
90.									
91.									
92.									
93.									
94.									
95.									
96.									
97.									
98.									
99.									
100.									
101.									
102.									

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2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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103.									
104.									
105.									
106.									
107.									
108.									
109.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

*Artisian International Fund Investor converted to Artisian International Fund Advisor on 10/14/16

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Basil H. Lorch**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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