

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mehta, Amit P.	2. Court or Organization US District Court for the District of Columbia	3. Date of Report 06/13/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active US District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address US District Court for the District of Columbia 333 Constitution Avenue, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Zuckerman Spaeder LLP
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 15

Name of Person Reporting

Mehta, Amit P.

Date of Report

06/13/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. South Asian Bar Association of North America	May 13, 2016	Houston, TX	Panel	Travel and hotel
2. Global Competition Review	June 7, 2016	New York, NY	Panel	Travel and hotel
3. NACDL	August 13, 2016	West Palm Beach, FL	Swearing in of new president	Travel and hotel
4. Harvard South Asian Law Student Association	October 21, 2016	Cambridge, MA	Speaking engagement	Travel
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

Mehta, Amit P.

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06/13/2017

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	ACS	Student Loan	K
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 15

Name of Person Reporting

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06/13/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Accounts	A	Interest	M	T					
2. BNY Mellon Accounts	A	Interest	K	T					
3.									
4. 401(k) #1 (H)									
5. - American Funds Growth Fund of America	D	Dividend	N	T	Buy (add'l)	01/21/16	J		
6.					Buy (add'l)	01/29/16	J		
7.					Buy (add'l)	02/11/16	J		
8.					Buy (add'l)	03/01/16	J		
9.					Buy (add'l)	03/15/16	J		
10.					Buy (add'l)	03/31/16	J		
11.					Buy (add'l)	04/15/16	J		
12.					Buy (add'l)	04/19/16	J		
13.					Buy (add'l)	04/29/16	J		
14.					Buy (add'l)	05/13/16	J		
15.					Buy (add'l)	05/31/16	J		
16.					Buy (add'l)	06/15/16	J		
17.					Buy (add'l)	06/30/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

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18.					Buy (add'l)	07/15/16	J		
19.					Buy (add'l)	07/29/16	J		
20.					Buy (add'l)	08/15/16	J		
21.					Buy (add'l)	08/31/16	J		
22.					Buy (add'l)	09/15/16	J		
23.					Buy (add'l)	09/30/16	J		
24.					Buy (add'l)	10/14/16	J		
25.					Buy (add'l)	10/31/16	J		
26.					Buy (add'l)	11/15/16	J		
27.					Buy (add'l)	11/30/16	J		
28.					Buy (add'l)	12/15/16	J		
29.					Buy (add'l)	12/30/16	J		
30.	- American Funds New Perspective Fund	D	Dividend	N	T	Buy (add'l)	01/21/16	J	
31.					Buy (add'l)	01/29/16	J		
32.					Buy (add'l)	02/11/16	J		
33.					Buy (add'l)	03/01/16	J		
34.					Buy (add'l)	03/15/16	J		

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FINANCIAL DISCLOSURE REPORT

Page 6 of 15

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35.					Buy (add'l)	03/31/16	J		
36.					Buy (add'l)	04/15/16	J		
37.					Buy (add'l)	04/19/16	J		
38.					Buy (add'l)	04/29/16	J		
39.					Buy (add'l)	05/13/16	J		
40.					Buy (add'l)	05/31/16	J		
41.					Buy (add'l)	06/15/16	J		
42.					Buy (add'l)	06/30/16	J		
43.					Buy (add'l)	07/15/16	J		
44.					Buy (add'l)	07/29/16	J		
45.					Buy (add'l)	08/15/16	J		
46.					Buy (add'l)	08/31/16	J		
47.					Buy (add'l)	09/15/16	J		
48.					Buy (add'l)	09/30/16	J		
49.					Buy (add'l)	10/14/16	J		
50.					Buy (add'l)	10/31/16	J		
51.					Buy (add'l)	11/15/16	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 15

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52.					Buy (add'l)	11/30/16	J		
53.					Buy (add'l)	12/15/16	J		
54.					Buy (add'l)	12/30/16	J		
55.	- American Funds Washington Mutual Investors Fund	D	Dividend	N T	Buy (add'l)	01/21/16	J		
56.					Buy (add'l)	01/29/16	J		
57.					Buy (add'l)	02/11/16	J		
58.					Buy (add'l)	03/01/16	J		
59.					Buy (add'l)	03/15/16	J		
60.					Buy (add'l)	03/31/16	J		
61.					Buy (add'l)	04/15/16	J		
62.					Buy (add'l)	04/19/16	J		
63.					Buy (add'l)	04/29/16	J		
64.					Buy (add'l)	05/13/16	J		
65.					Buy (add'l)	05/31/16	J		
66.					Buy (add'l)	06/15/16	J		
67.					Buy (add'l)	06/30/16	J		
68.					Buy (add'l)	07/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 15

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69.					Buy (add'l)	07/29/16	J		
70.					Buy (add'l)	08/15/16	J		
71.					Buy (add'l)	08/31/16	J		
72.					Buy (add'l)	09/15/16	J		
73.					Buy (add'l)	09/30/16	J		
74.					Buy (add'l)	10/14/16	J		
75.					Buy (add'l)	10/31/16	J		
76.					Buy (add'l)	11/15/16	J		
77.					Buy (add'l)	11/30/16	J		
78.					Buy (add'l)	12/15/16	J		
79.					Buy (add'l)	12/30/16	J		
80. DC 529 Accounts (H)									
81. Calvert Balanced Portfolio	A	Dividend	K	T					
82. DC College Savings Plan Age 0-5	A	Dividend	L	T					
83. DC College Savings Plan Age 6-10	A	Dividend	M	T					
84.									
85.									

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FINANCIAL DISCLOSURE REPORT

Page 9 of 15

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86. IRA #1 (H)									
87. - Pershing Government Account	A	Interest	K						
88.									
89. - Select Sector SPDR TR Consumer	A	Dividend			Sold	03/24/16	K	A	
90. - EGA Emerging Global Shares TR EGshares	A	Dividend			Sold	03/24/16	K		
91. - Select Sector SPDR TR Health Care	A	Dividend			Sold	03/24/16	K		
92. - Ishares TR MSCI EAFE ETF	A	Dividend			Sold	03/24/16	L		
93. - Ishares TR IBoxx USD Invt Grade Corp Bond	A	Dividend	K	T	Sold (part)	03/24/16	J	A	
94.					Buy (add'l)	05/03/16	K		
95.					Buy (add'l)	10/20/16	J		
96. - Ishares TR Tips Bond ETF	A	Dividend	K	T	Buy (add'l)	03/24/16	J		
97.					Buy (add'l)	05/03/16	J		
98. - Ishares 3-7 Year Treasury Bond ETF	A	Dividend			Sold	03/24/16	K	A	
99. - Isharees TR MBS ETF	A	Dividend			Sold	03/24/16	K		
100. - Ishares Inc Core MSCI Emerging Mkts ETF	A	Dividend			Sold (part)	03/24/16	J		
101.					Buy (add'l)	10/20/16	K		
102.					Sold	11/10/16	K		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 15

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103. - Market Vectors Emrg Mkts Local Currency Debt ETF	A	Dividend			Sold	03/24/16	J		
104. - Materials Select Sector SPDR Fund	A	Dividend			Sold	03/24/16	J		
105. - Powershares Global Exchange Traded FD TR	A	Dividend			Sold	03/24/16	J		
106. - Powershares Preferred Portfolio	A	Dividend			Sold	03/24/16	J	A	
107. - Sector SPDR Consumers Staples	A	Dividend			Sold	03/24/16	J	A	
108. - Sector SPDR Energy	A	Dividend			Sold	03/24/16	K		
109. - Sector SPDR Industrial	A	Dividend			Sold	03/24/16	K		
110. - Sector SPDR Utilities	A	Dividend			Sold	03/24/16	J	A	
111. - SPDR Barclays High Yield Bond ETF	A	Dividend			Sold	03/24/16	J		
112. - Vanguard World FDS Vanguard Financials ETF	A	Dividend			Sold	03/24/16	K		
113. - Vanguard World FDS Vanguard Information Technology ETF	A	Dividend			Sold	03/24/16	K	A	
114. - Vanguard Worlds FDS Vanguaurd Telecomm Svcs ETV	A	Dividend			Sold	03/24/16	J		
115. - Vanguard BD Index FD Inc Intermediate Term Bond ETF	A	Dividend			Sold	03/24/16	K		
116. - Vanguard BD Index FD Inc Short Term Bond	A	Dividend			Sold	03/24/16	K	A	
117. - iShares Short Maturity Bond	A	Dividend	K	T	Buy	03/24/16	K		
118. - iShares Currency Hedged MSCI EAFE	A	Dividend	K	T	Buy	03/24/16	K		
119.					Buy (add'l)	05/03/16	J		

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FINANCIAL DISCLOSURE REPORT

Page 11 of 15

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	10/20/16	J		
121. - iShares 7-10 Year Treasury Bond	A	Dividend	K	T	Buy	03/24/16	K		
122.					Sold (part)	05/03/16	J		
123.					Buy (add'l)	10/20/16	J		
124. - iShares JPMorgan USD Emerg Markets Bond	A	Dividend	K	T	Buy	03/24/16	K		
125.					Buy (add'l)	05/03/16	J		
126.					Buy (add'l)	10/20/16	J		
127. - iShares Core S&P Mid-Cap	A	Dividend	K	T	Buy	03/24/16	K		
128.					Buy (add'l)	05/03/16	J		
129.					Buy (add'l)	10/20/16	K		
130. - iShares Edge MSCI USA Momentum Factor	A	Dividend	K	T	Buy	03/24/16	K		
131.					Buy (add'l)	05/03/16	J		
132. - iShares Intermediate Credit Bond	A	Dividend	L	T	Buy	03/24/16	L		
133.					Buy (add'l)	05/03/16	J		
134.					Buy (add'l)	10/20/16	J		
135. - iShares S&P 500 Growth	A	Dividend	L	T	Buy	03/24/16	L		
136.					Buy (add'l)	05/03/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 15

Name of Person Reporting

Mehta, Amit P.

Date of Report

06/13/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	11/10/16	J		
138.					Buy (add'l)	10/20/16	J		
139. - iShares S&P 500 Value	B	Dividend	M	T	Buy	03/24/16	L		
140.					Buy (add'l)	05/03/16	J		
141.					Buy (add'l)	10/10/16	K		
142. - iShares Edge MSCI Min Vol USA	A	Dividend	K	T	Buy	03/24/16	K		
143. - iShares Core MSCI EAFE	B	Dividend	K	T	Buy	03/24/16	K		
144.					Buy (add'l)	05/03/16	J		
145.					Buy (add'l)	10/20/16	J		
146. - iShares 20 Plus Year Treasury Bond ETF	A				Buy	03/24/16	J		
147.					Sold (part)	05/03/16	J		
148.					Sold	10/20/16	J		
149. - iShares 10+ Year Credit Bond	A				Buy	03/24/16	J		
150.					Buy (add'l)	05/03/16	J		
151.					Sold	10/20/16	K		
152. - iShares Short Maturity Bond	A				Buy	03/24/16	K		
153.					Buy (add'l)	05/03/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

Mehta, Amit P.

Date of Report

06/13/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

154.					Sold	10/20/16	K		
155. - iShres Edge MSCI Min Vol Emerging Markets	A				Buy	03/24/16	K		
156.					Buy (add'l)	05/03/16	J		
157.					Sold	10/20/16	K		
158.									
159.									
160.									
161.									
162.									
163.									
164.									
165.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting	Date of Report
Mehta, Amit P.	06/13/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

Mehta, Amit P.

Date of Report

06/13/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Amit P. Mehta**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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