

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Wallace, J. Clifford	2. Court or Organization United States Court of Appeals for the Ninth Circuit	3. Date of Report 07/18/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Courthouse 221 W. Broadway, Ste. 4192 San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Pacific Judicial Council
2.	Advisor	Thailand Judicial Training Institute
3.	Member	Council of Visitors of California Western School of Law
4.	Member	ABA Asia Rule of Law Initiative
5.	Member	William H. Rehnquist Center's National Board of Academic Advisors
6.	Member	ABA-UNDP Advisory Committee
7.	Member	National Committee on United States-China Relations

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federalist Society Sixth Annual Transatlantic Law Forum	2/14/13 to 2/16/13	Arlington, VA	Lecturer	Transportation, lodging, food expenses
2. The U.S. Russia Foundation Third Saint Petersburg Int'l Legal Forum	5/15/13 to 5/18/13	Saint Petersburg, Russia	Lecturer	Transportation, expenses
3. Furth Family Foundation Int'l Judicial Conference	5/20/13 to 5/24/13	Berlin, Germany	Lecturer	Transportation, expenses
4. Conference of Chief Justices of Central and Eastern Europe	6/23/13 to 6/27/13	Montenegro	Lecturer	Transportation, expenses

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5.	Church of Jesus Christ Latter-day Saints	7/12/13	Salt Lake City, UT	Meeting with sponsor Bill Atkin and Judge Gordon Low	Transportation, expenses
6.	Religion and Rule of Law Symposium	7/18/13 to 7/26/13	Beijing, China	Lecturer	Transportation, expenses
7.	Church of Jesus Christ Latter-day Saints	7/27/13 to 8/1/13	Ulaanbaatar, Mongolia	Meetings with high ranking Mongolian officials re the U.S. judicial system	Transportation, expenses
8.	BYU Certificate Training Program - Religion and Rule of Law	9/16/13 to 9/22/13	Ho Chi Minh City, Vietnam	Lecturer	Transportation, expenses
9.	2013 Pacific Judicial Council Biennial Conference	9/22/13 to 9/26/13	Guam	Lecturer	Transportation, expenses
10.	Palau Judicial Conference	9/27/13 to 9/30/13	Koror, Palau	Lecturer	Transportation, expenses
11.	Brigham Young University Law & Religion Symposium	10/3/13 to 10/4/13	Salt Lake City, UT	Lecturer	Transportation, expenses
12.	Conference of Chief Justices of Asia & the Pacific	10/26/13 to 10/31/13	Singapore	Lecturer	Expenses
13.	Church of Jesus Christ Latter-day Saints	10/31/13 to 11/2/13	Kuala Lumpur, Malaysia	Meetings with several dignitaries in Malaysia; judicial presentation	Transportation, expenses
14.	Church of Jesus Christ Latter-day Saints	11/2/13 to 11/6/13	Bangkok, Thailand	Meetings with dignitaries; lecture	Transportation, expenses
15.	Global Counterterrorism Forum	11/13/13 to 11/16/13	Washington, D.C.	Lecturer	Transportation, expenses
16.	1st Asia and Pacific International Colloquium on Environmental Rule of Law	12/8/13 to 12/14/13	Kuala Lumpur	Lecturer	Transportation, expenses
17.	Church of Jesus Christ Latter-day Saints	12/15/13 to 12/16/13	Hong Kong	Meetings	Transportation, expenses

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1.	Transwest Credit Union	B	Int./Div.	M	T				
2.	Changing Parameters		None	N	T				
3.	Changing Parameters Annuity		None	M	T				
4.									
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **J. Clifford Wallace**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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