

FINANCIAL DISCLOSURE REPORT
INITIAL FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lynch, Thomas M.	2. Court or Organization U.S. Bankruptcy Court, N.D. Ill.	3. Date of Report 06/17/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 05/31/2013
7. Chambers or Office Address U.S. Courthouse, Chambers 4100 327 S. Church Street Rockford, Illinois 61101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Counsel	Faegre Baker Daniels (1/1/2012 through 12/28/2012)
2. Adjunct Faculty (not compensated)	Northwestern University School of Law
3. Adjunct Faculty (not compensated)	National Institute for Trial Advocacy
4. Executor (not compensated)	Estate of deceased family member ("Estate #1")
5. Counsel	Baker & Daniels LLP (through 12/31/2011)
6.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2008	Faegre Baker Daniels Retirement Savings Plan (succeeding Baker & Daniels retirement plans)*, no control
2. 1989	Edwards Wildman Retirement Savings Plan (succeeding Wildman Harrold retirement plans), no control
3. 1984	Employee Savings Plan of Winston & Strawn LLP, no control
4. 1984	Winston & Strawn LLP Retirement Plan, no control

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Faegre Baker Daniels	\$13,238.00
2. 2011	Baker & Daniels LLP	\$12,000.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Exempt				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	U.S. Department of Education #1	Student loan to [REDACTED]	K
2.	SLM Corp. ("Sallie Mae")	Student loan to [REDACTED]	K
3.	U.S. Department of Education #1	Student loan to [REDACTED]	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Faege BD Retirement Savings Plan (adm. by Schwab) *									
2. - Vanguard Target Retirement 2020 Fund	D	Dividend	M	T					
3. Edwards Wildman Retirement Savings Plan (Mass Mutl, adm)									
4. - Invesco Stable Value Fund	D	Dividend	N	T					
5. - MML Income and Growth Portfolio Fund (Mass Mutual)	A	Dividend	K	T					
6. Employee Savings Plan of Winston & Strawn LLP (Fid'ty, adm.)									
7. - Fidelity MIP II Class 1 Bond Fund	A	Dividend	K	T					
8. Winston & Strawn LLP Retirement Plan									
9. - Fidelity MIP II Class 1 Bond Fund	A	Dividend	K	T					
10. Fidelity SEP IRA									
11. - Fidelity Cash Reserves	A	Interest	K	T					
12. UBS Financial Services Inc. Ind'l IRA (UBS#1)									
13. - Pace Int'l Equity Investments Class A Fund	B	Dividend	J	T					
14. UBS Financial Services Inc. Ind'l IRA (UBS#2)									
15. - Invesco American Franchise Fund Class A	B	Dividend	K	T					
16. UBS Financial Services Inc. Investment Account (UBS#3)									
17. - UBS Bank USA deposit account*		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. US Savings Bonds (fbo [REDACTED] #1	A	Interest	J	T					
19. US Savings Bonds (fbo [REDACTED] #2	A	Interest	J	T					
20. Beverly Bank & Trust Co., N.A.									
21. - deposit account #1	A	Interest	J	T					
22. - deposit account #2	A	Interest	J	T					
23. - Certificate of Deposit #A (fbo [REDACTED])	A	Interest	J	T					
24. - deposit account #3 (fbo [REDACTED])	A	Interest	J	T					
25. - Certificate of Deposit #B (fbo [REDACTED])	A	Interest	J	T					
26. - deposit account #4 (fbo [REDACTED])	A	Interest	J	T					
27. Estate #1**									
28. - AT&T common stock	A	Dividend	J	T					
29. -Fifth Third Bank / State of Ill. Treasurer - deposit acnts.		None	K	W					
30.									
31.									
32.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Re Part I, "Positions", Lines 1 & 5; II, "Agreements", Line 1; and Part VII, "Investments and Trusts", Line 1:

The law firms of Baker & Daniels LLP and Faegre & Benson LLP merged in 2012. In early 2013, the two firm's respective retirement plans, including mine, were converted into the Faegre BD Retirement Savings Plan. The fund in which I was invested did not change with the conversion.

Re Part VII, "Investments and Trusts", Line 17, entry for "UBS#3):

UBS reported a net loss after fees for CY 2012.

Re Part VII, "Investments and Trusts", Line 27 and 29, entries for "Estate #1":

This is the estate of a [REDACTED]. The estate is in the process of closing and is attempting to locate, identify and recover any assets to which it may have a claim. The estate has been informed that deposit account[s] to which it may have a claim (the "Fifth Third Bank deposit account[s]") may have been turned over to the State of Illinois under abandonment statutes. The estate is attempting to identify and recover any funds from said account[s]l. The value reported on line 29 represents the estate's best estimate of the possible value of the account[s] based on scattered and incomplete information and it does not represent the confirmed actual value of assets that can be recovered. The estate is not aware that said funds earned interest in 2012.

The estate also is attempting to ascertain [REDACTED] possible holdings, if any, in the common stock of the former NYNEX Corp., US West Corp., Bell South Corp. and SBC Corp. At this time, the estate is not aware whether it in fact held in 2012 or as of May 31, 2013, any interest in the shares of stock other than the common stock identified on Line29 of Part VII of this report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas M. Lynch**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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