

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Graham, James L.	2. Court or Organization U.S. District Court, SD/OH	3. Date of Report 01/19/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge-Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 349 Joseph P. Keinnery U.S. CH 85 Marconi Boulevard Columbus, Ohio 43215		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Farm Credit of Mid America FLCA	Mortgage on Farm land-owned by Partnership-Item 3-Part VII	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Apt Bldgs-Gahanna, OH (Franklin Co) -See Part VIII	F	Rent	O	R					
2. Partnership-Farm Land-Franklin Co. Ohio	D	Rent	O	Q					
3. Huntington National Bank-Checking & Savings Accounts	B	Interest	N	T					
4. Gold & Silver Coins		None	J	T					
5. Eaton Vance OH Tax Exempt Mutual Fund	A	Interest			Closed	06/16/14	K		
6. Ballard Power Systems, Inc.		None	J	T					
7. Capstone Turbine Corp		None	J	T					
8. Plug Power, Inc.		None	J	T					
9. Geeknet, Inc.		None	J	T					
10. AIM Constellation Class A Mutual Fund	A	Distribution			Closed	06/16/14	J		
11. Note Receivable-Benevolent Landlord		None	J	T					
12. Wells Fargo Money Market Account	B	Interest	K	T					
13. 37 shs Huntington Bancshares-8.50% Conv	B	Dividend	K	T					
14. Charles Schwab Money Market	A	Dividend	J	T					
15. Middletown OH RFDG/IMPR3.75% DUE 12/01/19	A	Interest	K	T					
16. Oh ST Inf/Impr 3.25% Due 08/01/20	B	Interest	L	T					
17. Single Family Rental Columbus Oh-See Part VIII	A	Rent	M	R					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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18. Wells Fargo Bank Sweep Account	A	Interest	K	T					
19. Wells Fargo Bank	A	Interest			Closed	06/16/14	J		
20. Discover Bk - CD - 1% Due 09/30/14	A	Interest			Matured	09/30/14	K		
21. Pimco Income FD CL -C	C	Distribution			Closed	06/16/14	K		
22. Wells Fargo Bank	A	Interest	K	T					
23. Charles Schwab Bank	B	Interest	M	T					
24. Charles Schwab & Co.	E	Distribution	N	T					
25. Apt. Bldgs-New Albany, OH (Franklin Co.)- See Part VIII (4 units)	D	Rent	N	R					
26. Apt. Bldgs-New Albany, OH (Franklin Co.)- See Part VIII (16 units)	D	Rent	O	R					
27. Schwab Govt Money Fd	A	Interest	K	T	Buy	06/16/14			
28. Calamos CNV High Income FD	B	Int./Div.	K	T	Buy	06/16/14			
29. Templeton Global FD	B	Dividend	K	T	Buy	06/16/14			
30. Loomis Sayless Investment Mutual Fd	A	Dividend	K	T	Buy	06/16/14			
31. Pimco Income Income Fd-Class D	C	Dividend	K	T	Buy	06/16/14			
32. American FD Amcap FD	A	Dividend	J	T	Buy	06/16/14			
33. Ariel Fund INV	B	Dividend	J	T	Buy	06/16/14			
34. Dodge & Cox Stock FD	A	Dividend	K	T	Buy	06/16/14			

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Royce Special Equity Fd	B	Dividend	J	T	Buy	06/16/14			
36. SPDR S&P Dividend ETF	A	Dividend	J	T	Buy	06/16/14			
37. Schwab Govt Money Fd		None	J	T	Buy	06/16/14			
38. Eaton Vance NAT ltd Mat-Muni Inc A	A	Interest	K	T	Buy	06/16/14			
39. American FD Income FD	A	Int./Div.	J	T	Buy	06/16/14			
40.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, page 1, line 1: The date of purchase was April 2, 2002. The actual cost is \$425,865.

Part VII, page 1, line 2: The date of the appraisal was June 6, 2011with an valuation date of June 6, 2011.

Part VII, page 1, line 17: The date of purchase was 04/23/10. The actual cost is \$142,296.

Part VII, page 1, line 25: The date of purchase was 04/30/12. The actual cost is \$261,199.

Part VII, page 1, line 26: Acquired via an 1031 Exchange of property shown on Part VII, page 1, Line 12

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James L. Graham**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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