AO 10
Rev. 1/2014

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2013

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Sorokin, Leo T.	District Court, Massachusetts	11/24/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type (check appropriate type)**	**6. Reporting Period**
District Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2013 **to** 12/31/2013

7. Chambers or Office Address

U.S. District Court
One Courthouse Way
Boston, MA 02210
Suite 6130

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Trust #6
2.	Trustee	Trust #7
3.	Executor	Estate #1
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2013	Adjunct Professor, Boston University School of Law	$7,900.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2013	Self-employed - psychologist
2.	2013	Adjunct Professor, Boston College
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Bank of America	Gold Reserve Line of Credit	None
2.			
3.			
4.			
5.			

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Sorokin, Leo T.	11/24/2014

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental/Vacation Property Massachusetts (2001, 273,100)	D	Rent	N	R					
2. Bank of America Accounts	A	Interest	J	T					
3. IRA #1									
4. -Fidelity Contrafund	A	Dividend	K	T					
5. -Fidelity Pacific Basin	A	Dividend	J	T					
6. IRA #2									
7. -Davis NY Venture Fund C	C	Dividend	K	T					
8. -Wells Fargo Cash	A	Int./Div.	J	T					
9. IRA #3									
10. -Amazon		None	K	T					
11. -Wells Fargo Cash Account	A	Interest	J	T					
12. -Citigroup	A	Dividend	J	T					
13. -Allianz AGIC Opportunity Fund	A	Dividend	J	T					
14. -RCM Mid Cap Fund	A	Dividend	K	T					
15. -PIMCO FDS PAC Dev Invt Mgmt	A	Dividend	K	T					
16. -Intentionally Blank									
17. Brokerage Acct#1									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. -Wells Fargo Money Market Fund	A	Interest	J	T					
19. -Home Properties, Inc.	A	Dividend	J	T					
20. -Vanguard Total Stock Market ETF	A	Dividend	J	T					
21. -Bristol Myers Squibb	A	Dividend	K	T					
22. -Wisdomtree Investments		None	J	T					
23. -Healthcare Realty Trust, Inc.	B	Dividend	K	T					
24. -Aethena Health		None			Sold	03/13/13	K	D	
25. -Berkshire Hawthaway Series B		None	J	T					
26. -Saul Centers	A	Dividend	J	T	Buy	07/26/13	J		
27.					Buy (add'l)	07/31/13	J		
28. -Otelco Inc.		None			Sold	12/03/13	J	A	
29. -Hannon Armstrong Sustainable Capital	A	Dividend	J	T	Buy	07/19/13	J		
30. -Apple	A	Dividend	K	T					
31. -Sector Spdr Tech Select Sector	A	Dividend	K	T					
32. DWS Scudder High Income Trust	B	Dividend	K	T					
33. Matthews Pacific Tiger Fund	A	Dividend	K	T					
34. -Intentionally Blank									

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. Intentionally Blank									
36. IRA #4									
37. -Vanguard 500 Index Fund Adm	B	Dividend	K	T					
38. -Vanguard Intermediate Term Investment Grade Fund	A	Dividend	J	T					
39. -Vanguard U.S. Value Fund	A	Dividend	J	T					
40. - Vanguard Emerging Markets Stock Index Adm	A	Dividend	K	T					
41. Brokerage Acct#2									
42. -Vanguard U.S. Value Fund	A	Dividend	J	T					
43. Brokerage Acct#3									
44. -Vanguard 500 Index Fund	A	Dividend	J	T					
45. -Vanguard Long Term treasury Fund	A	Dividend	K	T					
46. -Vanguard Extended Market Index Fund	A	Dividend	J	T					
47. Brokerage Acct#4									
48. -American Century Income and Growth Fund	A	Dividend	K	T					
49. IRA #5									
50. -American Century Equity Income	B	Dividend	K	T					
51. -American Century Prime Money Market	A	Interest	K	T					

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52. -American Century Small Cap Value Fund	B	Dividend	J	T					
53. Brokerage Acct#5									
54. -American Century Equity Income Fund	B	Dividend	K	T					
55. Brokerage Acct#6									
56. -American Century Giftrust Fund	A	Dividend	J	T					
57. Brokerage Acct#7									
58. -American Century Giftrust Fund	A	Dividend	J	T					
59. Limited Partnership Bear Hollow Lender		None	J	U					
60. -Intentionally blank									
61. Intentionally Blank									See Note
62. Limited Partnership Bear Hollow Lender		None	J	U					
63. Limited Partnership Wolf Paca I	E	Int./Div.	J	U					
64. Limited Partnership Joppa East	A	Int./Div.	J	U					
65. OC, LLC		None	M	T					
66. Brokerage Account 11									
67. -Vanguard 500 Index Fund	A	Dividend	J	T					
68. IRA Account 12A									

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69. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
70. -Matthews Pacific Tiger Fund	A	Distribution	J	T					
71. -Home Properties, Inc.	A	Dividend	J	T					
72. Brokerage Account 12B									
73. -Wisdomtree Investments		None	J	T					
74. -Vanguard Total Stock Market ETF	A	Dividend	J	T					
75. -Sterling Bank Corp.	A	Dividend	J	T					
76. -Wells Fargo Bank Deposit Sweep Option	A	Interest	K	T					
77. IRA Account 13A									
78. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
79. -Matthews Pacific Tiger	A	Dividend	J	T					
80. Brokerage Account 13B									
81. -Wells Fargo Bank Deposit Sweep Option	A	Int./Div.	J	T					
82. -Sterling Bank Corp	A	Dividend	J	T					
83. -Vanguard Total Stock Market ETF	A	Dividend	J	T					
84. IRA Account 14A									
85. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					

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86. -Matthews Pacific Tiger	A	Dividend	J	T					
87. Brokerage Account 14B									
88. -Wells Fargo Bank Deposit Sweep Option	A	Interest	J	T					
89. -Sterling Bank Corp	A	Dividend	J	T					
90. Trust #4	D	Int./Div.	M	W					See Note
91. -Raymond James Bank Deposit Program									
92. -Williams Companies					Buy	02/01/13	J		
93. - Fund Royce Opportunity Fund Investment Class N/L									
94. - Fund The Third Avenue Value Fund N/L									
95. -Fund Oppenheimer DevMkts Fund									
96. - Kinder Morgan					Sold (part)	09/09/13	J	A	
97.					Sold (part)	10/01/13	J	A	
98. - Fund Thornburg Int'l Value Fund									
99. -Berkshire Hathaway B									
100. -Crown Castle									
101. - Stock Alleghany Corp									
102. -Flow 2006 LLC									

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2. Value Codes (See Columns C1 and D3)
J =$15,000 or less
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L =$50,001 - $100,000
M =$100,001 - $250,000
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103. -PNC Bank Account									
104. -Fund Hartford Capital Appreciation Fund Class A									
105. -Liberty Media					Sold (part)	01/17/13	J	A	
106. -Fund Third Avenue Focused Credit Fund Investor Class									
107. -Longleaf Small-cap Fund									
108. -Putnam Capital Spectrum									
109. -Arbitrage Fund					Sold	02/01/13	J	A	
110. Janus Worldwide Fund	A	Dividend	J	T					
111. Trust #5	E	Dividend	N	W					See Note
112. -Wells Fargo Bank Deposit Sweep									
113. -Stock Sector Spdr TR Tech Select Sector XLK									
114. -Dow Chemical Co Internotes					Sold	09/16/13	K	A	
115. -Richie Associates LLC					Sold	10/14/13	K	D	
116. -Bear Hollow Lender LLC									
117. -Gunpowder W Corp.									
118. -Joppa East Limited Partnership									
119. -Mayfield W, Inc.									

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120. -MHW, LLC									
121. -Exploration Capital 2005									
122. -Wolf Realty Corp.									
123. -Saul Centers Bond					Buy	09/12/13	K		
124. -Tenn Valley Authority Bond									
125. -VSECU Bank Account									
126. -Merck									
127. -GSV Capital									
128. -Loan to Trust #4									See Note
129. Brokerage Account 15									
130. -Matthews Pacific Tiger Fund	A	Dividend	J	T					
131. IRA Account 20									
132. -Wells Fargo Money Market	A	Int./Div.	K	T					
133. -Tesla Motors, Inc.		None	K	T	Buy	10/03/13	K		
134. IRA BA 17	C	Dividend	M	T					
135. -Indexiq ETF Tr IQ ARB Global Res ETF									
136. -aston/Fairpoint Mid Cap fund									

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Sorokin, Leo T.	11/24/2014

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
137. -Acadian Emerging Markets Port Instl									
138. -Allianz NFJ Small Cap Value Class I									
139. -American Mutual Fund Cl F2									
140. -Brown Capital Mgt Small C. Inv.									
141. -Cohen & Steers Realty Shares									
142. -Columbia Acorn international Cl Z									
143. -Spartan 500 Index FD Investor Class									
144. -Fidelity Advisor New Insights cl I									
145. -Harbor International Institutional									
146. -Artio Total Return Bond Fund Cl I									
147. -Fidelity Floating Rate High Income									
148. -Fidelity Total Bond									
149. -PIMCO Total REturn Instl									
150. -Osterweis Strategic Income Fund									
151. -Cohen & Steers Intl Realty Inc. Cl I									
152. -IQ Alpha Hedge Strategy Instl									
153. -Putnam Absolute Return 700 Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Sorokin, Leo T.	11/24/2014

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
154. -Fidelity Cash Reserves									
155. Trust #6	A	Interest							See Note
156. -Berkshire Bank Account					Closed	12/23/13	J		
157. -Single Family Home, West Hartford, CT					Sold	03/13/13	L	A	
158. Trust #7	A	Int./Div.							See Note
159. -GE Interest Plus					Sold	02/01/13	K	A	
160. -Schwab Adv Cash Reserve									
161. -Schwab US Treasury Money Fund									
162. -Caterpillar Fin Powernotes Due 5/15/13									
163. -Gen Elec Cap CP Note Due 7/15/13									
164. -Historic TW Inc Bonds due 1/15/13					Redeemed	01/15/13	J	A	
165. -Kraft Foods Inc Notes due 10/1/13									
166. -Protective Life Internotes Due 2/15/13									
167. -Connecticut St Go UTX Due 6/1/13									
168. -Connecticut St Go UTX due 1/1/15					Redeemed	01/01/13	J	A	
169. -Wisconsin St Tran Due 7/1/13									
170. -Bank Baroda NY CD Due 2/19/13									

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
2. Value Codes (See Columns C1 and D3) J =$15,000 or less; K =$15,001 - $50,000; L =$50,001 - $100,000; M =$100,001 - $250,000; N =$250,001 - $500,000; O =$500,001 - $1,000,000; P1 =$1,000,001 - $5,000,000; P2 =$5,000,001 - $25,000,000; P3 =$25,000,001 - $50,000,000; P4 =More than $50,000,000
3. Value Method Codes (See Column C2) Q =Appraisal; R =Cost (Real Estate Only); S =Assessment; T =Cash Market; U =Book Value; V =Other; W =Estimated

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
171. -TIB Independent Bankers CD Due 1/30/13					Redeemed	01/30/13	J	A	
172. -AFLAC Inc.									
173. -AVNET									
174. -CDI Corp									
175. -Danaher Corp									
176. -Forest City Ent									
177. -General Electric Co.									
178. -Graco Inc.									
179. -Johnson & Johnson									
180. -Noble Corp									
181. -Pepsico Inc.									
182. -Procter & Gamble									
183. -Total Systems Services									
184. IRA 18	D	Dividend	N	T					
185. -Schwab Adv Cash Reserves									
186. -American Exp CR Notes Due 8/20/12					Redeemed	08/20/13	J	A	
187. -Case New Holland Notes due 9/1/13					Redeemed	09/03/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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Name of Person Reporting	Date of Report
Sorokin, Leo T.	11/24/2014

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
188. -General Electric Cap Internotes Due 3/15/13					Buy (add'l)	02/20/13	J		
189.					Redeemed (part)	03/15/13	J	A	
190. -Greif Brothers Notes Due 2/1/17					Buy (add'l)	10/22/13	K		
191. -Ingles Mkts Notes due 5/15/17					Sold	06/12/13	J	A	
192. -Marriott Intern Notes due 2/15/13					Redeemed	02/15/13	J	A	
193. -Plum Crk Tmbrlnd Bonds due 3/15/21									
194. -Intentionally Blank									See Note
195. -Wells Fargo Mtg Frn 2033 REMIC due 3/25/33									
196. -Bank Baroda NY CD due 2/19/13					Matured	02/19/13	J	A	
197. -TIB Independent Bankers CD Due 1/30/13					Redeemed	01/30/13	J	A	
198. -Acuity Brands									
199. -Auto Data Processing									
200. -BOFI Holding Inc.					Sold (part)	09/17/13	J	A	
201. -CISCO Systems									
202. -General Electric Co.					Buy (add'l)	09/3/13	J		
203. -International Business Machines									
204. -Japan Smaller Cap Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
205. -Johnson & Johnson					Sold	02/5/13	J	A	
206. -LICT Corp									
207. -PPG Industries									
208. -Reading International Class A									
209. -St Jude Medical									
210. -Stone Harbor Emerging FD									
211. -United Parcel Service Class B									
212. -Dynex Capital Inc. New REIT									
213. -Humboldt due 7/20/28									See Note
214. -Verizon Wireless Note					Buy	03/14/13	K		
215.					Redeemed	11/29/13	K	A	
216. -HD Supply Holdings					Buy	06/27/13	J		
217.					Sold	06/28/13	J	A	
218. -Prudential Financial Note					Buy	09/30/13	J		
219. -Hewlett Packward Note					Buy	07/30/13	K		
220. -Intentionally Blank									See note
221. Utah Ed Savings Age Based Aggressive Global Fund	A	Dividend	K	T	Buy	02/14/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Sorokin, Leo T.	11/24/2014

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
222. Utah Ed Savings Age Based Aggressive Domestic Fund	A	Dividend	K	T	Buy	02/14/13	K		
223. USAA Bank Accounts	A	Int./Div.	L	T					See note
224. BA Account 19									
225. -Schwab Cash Account	A	Int./Div.	K	T					
226. -CHN Capital LLC Note	A	Int./Div.	K	T	Buy	08/15/13	K		
227. -Alaska Municipal Bond		None	J	T	Buy	08/05/13	J		
228. -Masssachusetts Bay Notes		None	K	T	Buy	08/06/13	J		
229.					Buy (add'l)	12/11/13	K		
230. -Massachusetts State Note	A	Int./Div.	J	T	Buy	02/01/13	J		
231. -AFLAC Stock	A	Int./Div.	J	T					
232. -AVNET stock	A	Int./Div.	K	T					
233. -Danaher Corp stock	A	Int./Div.	J	T					
234. -Forest City Enterprises, Inc. Stock		None	J	T					
235. -Graco Inc. stock	A	Int./Div.	J	T					
236. -Noble Corp stock	A	Int./Div.	J	T					
237. -Pepsico Inc. stock	A	Int./Div.	K	T					
238. -Procter & Gamble	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4) A =$1,000 or less; B =$1,001 - $2,500; C =$2,501 - $5,000; D =$5,001 - $15,000; E =$15,001 - $50,000; F =$50,001 - $100,000; G =$100,001 - $1,000,000; H1 =$1,000,001 - $5,000,000; H2 =More than $5,000,000
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A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. -Johnson and Johnson		None			Sold	02/01/13	J	A	
240. -Protective Life Note	A	Int./Div.			Redeemed	02/15/13	J	A	
241. -Bank Baroda CD	A	Int./Div.			Matured	02/19/13	J	A	
242. -GE Co. Inc Stock	A	Int./Div.			Sold	03/13/13	J	A	
243. -Total Systems Services Stock	A	Dividend			Sold	05/08/13	J	A	
244. -Caterpillar Note	A	Int./Div.			Redeemed	05/15/13	J	A	
245. -Connecticut State Note		None			Redeemed	06/01/13	J	A	
246. -GE Capital Note	A	Int./Div.			Redeemed	07/15/13	J	A	
247. -CDI Corp Stock	A	Int./Div.			Sold (part)	07/19/13	J	A	
248.					Sold	09/23/13	J	A	
249. -Kraft Foods Note	A	Int./Div.			Redeemed	10/01/13	J	A	
250.									
251.									
252.									
253.									
254.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 61, in 2012, reported the asset Limited Partnership 7400. This asset was sold in 2012 with a value code of L and a gain code of F. The sale information was inadvertently omitted from my 2012 report.

Lines 90 , 111 (Trusts #s 4, 5) The valuation of this trust is listed as estimated because some of the assets of the trust are partial interests in illiquid limited partnerships. The value code was based upon the Cash Market value of the bank accounts and publicly traded assets combined with the trustee's estimate of the value of the partnership interests.

Lines 110 and 220 both listed the same Janus Mutual Fund. This has been corrected by listing the asset only once on line 110.

Wisdom Tree listed on line 103 in 2012 report was incorrectly listed under Account 12A rather than 12B where it has been moved in this year's report (Line 73). All other information about this investment last year and this year is correct.
Accounts 12A, 13A and 14A have changed their descriptive name from Brokerage Account ## to IRA Account ## for purposes of clarity, LInes 68, 77, 84.

Line 128 In this transaction Trust 5 made a loan to Trust 4. Trust 4 invested this money in the asset listed on Line 102, however, this did not result in an increase in shares or ownership of the asset.

Trust #7 Line 159, upon the death of a family member, I became a trustee and inhereited an interest in these assets. The assets in this trust were distributed to the beneficiaries during the reporting period and this trust no longer exists. The assets I received are listed in Account 19 beginning on Line 224 with the following exceptions: (1) the assest listed on line 159 (GE Interest Plus) was sold prior to distribution; (2) the asset listed on line 169 (Wisconsin) was distributed to other beneficiaries rather than to me; (3) the assets listed on lines 164, 168 and 171 were redeemed prior to the distribution.

Trust #3 no longer appears in my report. It does not contain any reportable assets. All but one asset was sold in 2012 (see 2012 report) and the proceeds distributed. As of December 31, 2012, those members of my family who were beneficiaries of Trust #3 ceased to have any interest whatsoever in Trust #3 or its remaining assets. Thus, neither Trust #3 nor its assets appear on this report.

Trust #6 Line 155 - Upon the death of a family member, I became a trustee and inhereited an interest in these assets. All of the assets were sold during 2013, the proceeds distributed and the Trust terminated.

Line 194 - Intentionally Blank. The asset listed on this line in my 2012 report (Centex HM Equity Flt 2033 Asset Backd due 3/25/33) was not distributed to this IRA when I received the IRA upon the death of a family member. It was inadvertently listed on the 2012 report.
Line 213 This Asset has been renamed.

Line 223 The asset was inadvertently omitted from my 2012 annual report.

Amended Report Filed 11/24/2014
Line 65- The name of this asset was revised to reflect the actual name of the asset.

Name of Person Reporting	Date of Report
Sorokin, Leo T.	11/24/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Leo T. Sorokin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544