

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Crabtree, Daniel D.	2. Court or Organization U.S. District Court, District of Kansas	3. Date of Report 08/13/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Daniel D. Crabtree United States District Court, District of Kansas 444 Southeast Quincy, Room 405 Topeka, Kansas 66683		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Salary, employment by Unified Government of Wyandotte County/Kansas City, Kansas
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bar Association (see part VIII for explanatory note)	October 15, 2016	Chicago, Illinois	Site Evaluation Workshop, training for ABA's Site Inspection Team	Transportation, meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	David and Demi Kiersznowski	outing with close personal friends, as described in part VIII	\$554.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Williams Partners (f/k/a Access Midstream Partners LP)	A	Distribution			Sold	02/09/16	J	A	
2. AG Mortgage Investment Trust (REIT)	A	Dividend	J	T					
3. Citigroup Inc. Com New	A	Dividend	J	T					
4. Columbia Acorn Fund (y)									
5. Eaton Vance Large-Cap Value Fund	A	Distribution	K	T					
6. Eaton Vance Tax-Managed Growth Fund	A	Distribution	J	T					
7. Energy Transfer Partners LP	C	Distribution	K	T					
8. Fidelity Advisor New Insights Fund	D	Distribution	M	T					
9. General Electric Co.	A	Dividend	K	T					
10. Great Plains Energy, Inc.	A	Dividend	J	T					
11. Growth Fund of America	B	Distribution	K	T					
12. Intel Corp	A	Dividend	K	T					
13. Invesco American Franchise Fund	B	Distribution	K	T					
14. Invesco Charter Fund	D	Distribution	L	T					
15. Invesco Gloabl Small & Mid Cap Growth Fund	A	Dividend	K	T					
16. Ivy Asset Strategy Fund		None			Sold	02/09/16	K	A	
17. Learning Quest Aggressive Track: Moderate Portfolio (529)		None	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Penn West Petroleum Ltd		None	J	T					
19. Targa Resources Partners LP Units	A	Distribution			Redeemed	02/17/16	J	A	
20. Targa Resources Corp	B	Dividend	K	T	Spinoff (from line 19)	02/17/16	J		
21. Country Club Bank (cash accounts)	A	Interest	O	T					
22. United Missouri Bank, n.a. (Health Savings Account)	A	Interest	J	T					
23. US Bank (cash account)		None	J	T					
24. Federated Capital Reserves	A	Interest	M	T					
25. AT&T Inc. Com	B	Dividend	K	T					
26. Alibaba Group SHS ADR		None	K	T					
27. Allianz SE Spon ADR Repstg		None	K	T					
28. Apple Inc Com	A	Dividend	K	T					
29. Astrazeneca PLC Sponsored ADR	A	Dividend	J	T					
30. KKR & Co LP Del Com Units	A	Distribution	K	T					
31. Kinder Morgan Inc Del Com	A	Dividend	J	T					
32. Prospect Cap Corp Com	B	Dividend	K	T					
33. Walgreen Boots Alliance Inc. Com	A	Dividend	K	T					
34. Yahoo Inc Com		None	K	T					

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35. Oppenheimer Senior Floating Rate Fund	D	Dividend	M	T					
36. Oppenheimer Steelpath MLP Alpha	D	Dividend	L	T					
37. Talmer Bank & Turst (FDIC insured deposit)	A	Interest	L	T					
38. Country Club Financial Services (FDIC insured deposit)	A	Interest	N	T					
39. Transamerica Life Insurance Company (Flexible Premium Universal Life)	A	Interest	J	T					
40. Fidelity Advisor Large Cap Stock Fund	B	Distribution	L	T					
41. Fidelity Advisor (Focus) Health Care Fund		None	L	T					
42. Fidelity Advisor Consumer Staples Fund	A	Distribution	K	T					
43. Fidelity Advisor Energy Fund	A	Dividend	K	T					
44. Fidelity Advisor International Capital Appreciation Fund	A	Dividend	K	T					
45. Fidelity Advisor International Growth Fund	A	Dividend	K	T					
46. Fidelity Advisor Mid Cap Value Fund	A	Dividend	L	T					
47. Vanguard Natural Resources Fund	A	Distribution	J	T					
48. American Funds New Perspective Fund	A	Distribution	K	T	Buy	03/10/16	K		
49. American Funds Balanced Fund	A	Dividend	K	T	Buy	03/10/16	K		
50. Calamos Strategic Total Return Fund	B	Dividend	K	T	Buy	06/03/16	K		
51. BlackRock Equity Dividend Fund	A	Dividend	K	T	Buy	06/03/16	K		

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52. AllianzGI NFJ Dividend International & Premium Strategy Fund	B	Dividend	K	T	Buy	06/03/16	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part IV, line 1: The ABA's Section of Legal Education and Admissions to the Bar periodically conducts Site Inspections of each law school accredited by the ABA. I agreed to participate in such a Site Inspection of the Salmon P. Chase College of Law of Northern Kentucky University. Consequently, I attended a one-day training workshop on Saturday, October 15, 2016. Also, I was scheduled to travel to this law school and the ABA had agreed to reimburse those anticipated travel expenses. A death [REDACTED] prevented me from traveling to the law school, however, so I have no other reimbursements to report for this Site Inspection..

Part V, line 1: The gift shown on this line was provided by close personal friends and the appearance by either of them (or any of their companies or associated foundations) would require that I take no official action with respect to the matter. Both individuals and their associated entities are listed on my recusal list and have been so listed since the time I was appointed. The amount shown in part V consists of an outing together as friends (i.e., to a charity fundraiser). This outing included [REDACTED], who also are close personal friends of the Kiersznowski [REDACTED].

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Daniel D. Crabtree**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544