

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SCHELL, RICHARD A.	2. Court or Organization U.S. DISTRICT COURT, EDETX	3. Date of Report 5/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. COURTHOUSE 7940 PRESTON ROAD PLANO, TEXAS 75024		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Baylor Scott & White Medical Center at McKinney, TX
2.	Trustee	Trust #1
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Chase Bank	A	Interest	L	T					
2. Capital One Bank	A	Interest	J	T					
3. Origin Bank (f/k/a Community Trust Bank)	C	Interest			Closed	10/12/16	N		
4. Bank of Ozarks	A	Interest	N	T	Open	10/12/16	N		
5. Legacy Texas Bank	A	Interest	L	T					
6. Ally Demand Notes	A	Interest	K	T					
7. Wells Fargo	A	Interest	L	T					
8. Vanguard Total Stock Market	B	Dividend	L	T					
9. Vanguard Midcap	A	Dividend	K	T					
10. AA - common stock	A	Dividend	J	T	Sold (part)	10/06/16	J	A	
11. ARNC (AA spin off)-common stock	A	Dividend	J	T					
12. BP - common stock (x)	D	Dividend	L	T					
13. COP - common stock (x)	B	Dividend	L	T					
14. PSX - common stock (x)	A	Dividend	K	T					
15. COST - common stock	A	Dividend	K	T					
16. F - common stock	A	Dividend	J	T					
17. JNJ - common stock	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. JPM - common stock (X)	D	Dividend	N	T					
19. WMT - common stock	B	Dividend	L	T					
20. Beech Grove IN Multi School Bldg - muni bond		None	J	T					
21. Eagle-Union Middle School Bldg Corp- muni bond		None	J	T					
22. Evansville - Vanderbrgh IN School Bldg Corp - muni bond	A	Interest	K	T					
23. Greater Jasper IN School Bldg Corp - muni bond		None	J	T					
24. IPS Multi-School Bldg Corp - muni bond	A	Interest			Redeemed	01/15/16	J		
25. Knox IN Middle School Bldg Corp - muni bond		None			Redeemed	01/15/16	J		
26. Knox IN Middle School Bldg Corp - muni bond		None	K	T					
27. MCCSC IN School Bldg. Corp. - muni bond		None	J	T					
28. Noblesville IN High School Bldg. Corp. - muni bond		None	K	T					
29. Portage TWP IN Multi School - muni bond	A	Interest	J	T					
30. Zionsville IN Cmnty. School Bldg Corp - muni bond		None	J	T					
31. Acreage - Ellis Co., TX		None	J	W					
32. Trust #1 - Legacy Texas Bank	A	Interest	L	T					
33. Trust #1 - Legacy Texas Financial Group, Inc. - common stock	D	Dividend	N	T					
34. Acreage - Lake Co., IN	A	Rent	M	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Disney - common stock (x)	B	Dividend	M	T					
36. Fidelity IRA :Spartan MidCap	A	Dividend	K	T					
37. Fidelity IRA: Spartan Int'l	A	Dividend	J	T					
38. Fidelity IRA - Spartan 500	A	Dividend	K	T					
39. T - common stock (x)	C	Dividend	L	T					
40. ADM - common stock (x)	A	Dividend	J	T					
41. BA - common stock (x)	C	Dividend	M	T					
42. C - common stock (x)	A	Dividend	J	T					
43. CMCSA - common stock (x)	A	Dividend	K	T					
44. XOM - common stock (x)	B	Dividend	K	T					
45. GE - common stock (x)	A	Dividend	K	T					
46. INTC - common stock (x)	A	Dividend	K	T					
47. IBM - common stock (x)	D	Dividend	M	T					
48. MSFG - common stock (x)	A	Dividend	J	T					
49. MRO - common stock (x)	A	Dividend	J	T					
50. MPC - common stock (x)	A	Dividend	J	T					
51. MSFT - common stock (x)	B	Dividend	L	T					

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52. PEP - common stock (x)	A	Dividend	K	T					
53. PG - common stock (x)	A	Dividend	J	T					
54. ROP - common stock (x)	A	Dividend	K	T					
55. TR - common stock (x)	B	Dividend	M	T	Sold (part)	04/08/16	J	A	
56. RTN - common stock (x)		None			Donated	03/22/16	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **RICHARD A. SCHELL**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544