

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Shea, Edward F.	2. Court or Organization United States District Court	3. Date of Report 04/12/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Senior Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 825 Jadwin Avenue, Ste. 320 Richland, WA 99352		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Officer/Director	██████████ - Corporation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2014

Salary -

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Life Insurance	B	Dividend	L	T					
2. Northwestern Mutual Life Insurance	B	Dividend	L	T					
3. Northwestern Mutual Life Insurance	C	Dividend	L	T					
4. Prudential Life Insurance	A	Dividend	K	T					
5. South Boston Savings Bank Life Ins	A	Dividend	J	T					
6. GESA Credit Union	A	Interest	J	T					
7. Coins		None	J	T					
8. Fishcreek Ltd		None	K	T					
9. Art		None	K	W					
10.									
11. Vanguard Health Care Fund, Admin Personal Acct.	B	Dividend	J	T					
12.									
13. E/ SCHWAB RETIREMENT IRA									
14. --BAC (IRA)		None	L	T	Buy (add'l)	01/24/14	J		
15.					Buy (add'l)	10/09/14	J		
16. --Driehaus Emerging Mkts		None			Sold	02/21/14	L	C	
17. Ferrell Gas		None	K	T	Buy	06/02/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. FMILX		None	L	T	Buy	02/21/14	L		
19. FBSOX		None	L	T	Buy	02/21/14	L		
20. --Globix Corp		None	J	T					
21. KMP (reorganized now KMI)		None	L	T	Buy	01/02/14	L		
22. Linn Engy		None			Buy (add'l)	02/26/14	J		
23.					Sold (part)	12/15/14	K		
24.					Sold	12/23/14	J		
25. Moly corp		None			Buy	01/02/14	J		
26.					Buy (add'l)	07/14/14	J		
27.					Sold	08/21/14	J		
28. Pentair		None	K	T	Buy	02/18/14	K		
29. PLUG		None			Buy	02/18/14	J		
30.					Sold	04/04/14	J	D	
31. PRANA		None			Sold	02/10/14	K		
32. Prothena(Spin off from Elan)		None			Buy (add'l)	01/14/14	J		
33.					Sold	04/04/14	K	C	
34. Prudential (PRU)		None	J	T					

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35. Rare Elements		None	J	T	Buy	01/02/14	J		
36.					Buy (add'l)	02/18/14	J		
37. SPHD		None	K	T	Buy	02/28/14	K		
38. STEM CELLS		None	J	T	Buy	02/18/14	J		
39. --US Bank		None	L	T					
40. --Vanguard Health Care Fund (IRA)		None	K	T					
41.									
42.									
43. M/RETIREMENT ACCOUNT									
44. --Diversified Investment Advisors (DIV) - Plan Advisors									
45. --Allainz NFJ Dividend Value A Fund		None	L	T	Buy (add'l)	05/29/14	K		
46. Am. Euro PAC		None			Buy (add'l)	02/21/14	K		
47.					Sold	10/13/14	L		
48. Columbia Small Cap		None	L	T	Buy (add'l)	02/21/14	J		
49. --Goldman Sacks Mid Cap Value Fund		None	L	T	Buy (add'l)	01/02/14	L		
50.					Buy (add'l)	05/29/14	J		
51. --Mainstay Lg Cap Growth Fund		None	K	T	Buy (add'l)	01/21/14	J		

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52.					Sold (part)	05/29/14	K		
53. --Pimco Tot.Ret. Fund		None	M	T	Sold (part)	01/02/14	K		
54.					Buy (add'l)	05/29/14	K		
55. --TFLIC		None	K	T	Sold (part)	01/02/14	K		
56.					Sold (part)	05/29/14	K		
57. --Thornburg Int'l Value Fund		None			Sold (part)	02/21/14	L		
58.					Sold	10/13/14	J		
59. --Vanguard Inst'l Index		None	L	T	Buy (add'l)	10/13/14	L		
60.									
61.									
62. M/IRA - SCHWAB									
63. --Calumet Sp. Prod		None	J	T	Buy	02/28/14	J		
64. --Powershares ETF SPHD		None	K	T					
65. Schwab Money Mkt		None	J	T					
66.									
67. SCHWAB JT ACCOUNT									
68. KMP (Reorganized now KMI)		None	K	T	Buy	01/02/14	K		

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69. LINN		None	J	T	Buy	01/02/14	J		
70. PRANA		None			Sold (part)	02/07/14	K		
71.					Sold	02/10/14	K		
72. Rare Elements		None	J	T	Buy	01/02/14	J		
73.									
74. --Schwab Money Market Fund	A	Interest	M	T					
75. --Schwab Invest Money Fund (Pers) SWZXX	A	Int./Div.	J	T					
76. SHPD	B	Int./Div.	K	T	Buy	02/28/14	K		
77.					Buy (add'l)	11/05/14	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

* Part VII, Investments and Trusts - Line 28 ELAN stock - sold all sometime in late spring.

**Part VII, Investments and Trusts - Line 45 Prothena -was purchased December of 2012 and inadvertently omitted from the 2012 report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Edward F. Shea**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544