

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LUNDIN, KEITH M.	2. Court or Organization USBC (M.D. TENN.)	3. Date of Report 06/07/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 701 BROADWAY CUSTOMS HOUSE, 2D FLOOR NASHVILLE, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	TRUSTEE	FAMILY TRUST
2.	EXECUTOR	ESTATE OF DECEASED PARENT
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	CONTINUE	CALNORBAN ASSOC. (AGMT EDIT BK NEWSLETTER) (Now Thomson Reuters)
2.	CONTINUE	BANKRUPTCY PRESS, INC. (BOOK CONTRACT)
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2015	CALNORBAN ASSOCIATES (ROYALTIES) (Now Thomson Reuters)	\$13,200.00
2. 2015	Bankruptcy Press, Inc. (Royalties)	\$87,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. NATIONAL ASSOCIATION OF CHAPTER 13 TRUSTEES	1/14/2015 TO 1/18/2015	COLORADO SPRINGS, CO	SPEAKER AT CLE MEETING	TRAVEL, FOOD & LODGING
2. SACRAMENTO VALLEY BANKRUPTCY FORUM	2/04/2015 TO 2/8/2015	SACRAMENTO, CA	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
3. ADVANCED CONSUMER BANKRUPTCY PRACTICE INSTITUTE	2/15/2015 TO 2/17/2015	NASHVILLE, TN	SPEAKER AT CLE SEMINAR	FOOD & LODGING
4. NORTON BANKRUPTCY INSTITUTES	3/18/2015 TO 3/22/2015	LAS VEGAS, NV	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING

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5.	NATL ASS'N OF CONSUMER BANKRUPTCY ATTORNEYS	4/22/2015 TO 4/24/2015	CHICAGO, IL	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
6.	CLEVELAND METRO BAR ASS'N	5/19/2015 TO 5/21/2015	CLEVELAND, OH	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
7.	ARIZONA BAR ASSOCIATION	6/24/2015 TO 6/27/2015	PHOENIX, AZ	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
8.	NATIONAL ASS'N OF CHAPTER 13 TRUSTEES	7/1/2015 TO 7/5/2015	SALT LAKE CITY, UT	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
9.	CENTRAL CALIFORNIA BANKRUPTCY ASS'N	9/16/2015 TO 9/18/2015	FRESNO, CA	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
10.	C.D. OF CALIFORNIA CONSUMER BANKR. ATTORNEYS ASS'N	9/18/2015 TO 9/20/2015	LOS ANGELES, CA	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
11.	CHAPTER 13 TRUSTEE OF SOUTH BEND, IN	10/1/2015 TO 10/3/2015	SOUTH BEND, IN	SPEACKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. General M.M. CIB	A	Interest	L	T					
2. General M.M. CIB (trust asset)	A	Dividend	J	T					
3. JNJ (common stock)	A	Dividend	J	T					
4. AAPL (common stock)	A	Dividend	K	T					
5. ARCO (common stock)		None	J	T					
6. ECOL (common stock)	A	Dividend	K	T					
7. SZYM (common stock)		None	J	T					
8. DDD (common stock)		None	P2	T					
9. AHS (common stock)		None	L	T					
10. BRKB (common stock)		None	J	T					
11. BIP (common stock)	A	Dividend	J	T					
12. CMG (common stock)		None	L	T	Sold (part)	11/10/15	L	D	
13. CLNE (common stock)		None	J	T					
14. DUK (common stock)	A	Dividend	J	T					
15. EXC (common stock)	A	Dividend	J	T					
16. GM (common stock)	A	Dividend			Sold	03/04/15	K	B	
17. GWR (common stock)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. ASR (common stock)	A	Dividend	K	T					
19. IBM (common stock)	A	Dividend	J	T					
20. KMI (common stock)	B	Dividend	J	T					
21. LL (common stock)		None	J	T	Sold (part)	03/09/15	J	B	
22. MKTX (common stock)	A	Dividend	L	T					
23. NYCB (common stock)	B	Dividend	K	T					
24. POT (common stock)	B	Dividend	K	T					
25. POWR (common stock)		None	K	T					
26. RPM (common stock)	A	Dividend			Sold	03/04/15	J	A	
27. SSTK (common stock)		None	J	T					
28. SCTY (common stock)		None	J	T					
29. STAG (common stock)	C	Dividend	K	T					
30. SSYS (common stock)		None			Sold	10/29/15	J	A	
31. TSCO (common stock)	A	Dividend	K	T					
32. TRIP (common stock)		None	K	T					
33. UA (common stock)		None	K	T	Sold (part)	11/10/15	K	E	
34. - Money Market TD Ameritrade (estate asset)	A	Interest	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. - Cert. depo. Santander Bank N.A./Peoples United Bank (estate asset)	A	Interest			Redeemed	01/22/15	N		
36. BABA (common stock)		None	J	T	Buy	07/31/15	J		
37. BOFI (common stock)		None	K	T	Buy	01/05/15	K		
38. ENOC (common stock)		None	J	T	Buy	03/04/15	K		
39. HHC (common stock)		None	K	T	Buy	01/16/15	K		
40. HY (common stock)	A	Dividend	J	T	Buy	04/17/15	K		
41. OPWR (common stock)		None	K	T	Buy	04/28/15	K		
42. SSW (common stock)	B	Dividend	K	T	Buy	01/16/15	K		
43. TUMI (common stock)		None	J	T	Buy	06/15/15	J		
44. Motley Fool Independence Fund	A	Dividend	M	T	Buy	03/13/15	M		
45.					Buy	02/24/15	K		
46. Schwab (inherited) 401K		None	M	T	Buy	09/25/15	M		
47.									
48.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 46 of Part VII. Investments and Trusts

"This inherited asset was in cash on 12/31/15. There were no transactions to report."

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **KEITH M. LUNDIN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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