

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schiltz, Patrick J.	2. Court or Organization U.S. District Court, Minnesota	3. Date of Report 04/25/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address United States Courthouse 300 South Fourth Street - Suite 14E Minneapolis, MN 55415		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member, Board of Directors	Minnesota Chapter of Federal Bar Association
2.	Member, Board of Governors	University of St. Thomas School of Law
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Schiltz, Patrick J.

Date of Report

04/25/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	University of St. Thomas (salary)
2. 2015	LEG, Inc. d/b/a West Academic (payment for work on casebook supplement)
3. 2015	Ashgate Publishing (royalties for book chapter)
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Louisiana State University/ Paul M. Hebert Law Center/ Christian Legal Society	Feb. 15, 2016 to Feb. 16, 2016	Baton Rouge, LA	Give speech	Transportation, hotel, meals
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Schiltz, Patrick J.

Date of Report

04/25/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Schiltz, Patrick J.

Date of Report

04/25/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK ACCOUNTS									
2. -- Wells Fargo Bank Accounts	A	Interest	L	T					
3. -- US Bank Accounts	A	Interest	J	T					
4. -- Vanguard Prime Money Market Fund	A	Dividend	K	T					
5. UNIVERSITY OF ST. THOMAS 403(b) ACCOUNT #1									
6. -- CREF Stock Fund		None	N	T					
7. -- CREF Growth Fund		None	M	T					
8. -- CREF Bond Market Fund		None	L	T					
9. -- CREF Inflation-Linked Bond Fund		None	L	T					
10. UNIVERSITY OF ST. THOMAS 403(b) ACCOUNT #2									
11. -- CREF Stock Fund		None	M	T					
12. -- CREF Growth Fund		None	M	T					
13. -- CREF Bond Market Fund		None	L	T	Buy	02/09/15	K		
14. -- CREF Inflation-Linked Bond Fund		None	L	T	Sold (part)	02/09/15	K		
15. -- T. Rowe Price Retirement 2025 Fund (X)		None	J	T					
16. IRA #1									
17. -- Vanguard Total Stock Mkt Idx Fund	D	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Schiltz, Patrick J.

Date of Report

04/25/2016

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA #2									
19. -- Vanguard Total Stock Mkt Idx Fund	C	Dividend	M	T					
20. MUTUAL FUNDS									
21. -- Fidelity New Markets Income Fund	B	Dividend	K	T	Buy	02/02/15	J		
22. -- T. Rowe Price Small-Cap Stock Fund	D	Dividend	L	T					
23. -- T. Rowe Price Tax-Free High Yield Fund	B	Dividend	L	T	Buy	02/02/15	J		
24. -- Vanguard GNMA Fund	B	Dividend	K	T					
25. -- Vanguard Intermediate-Term Tax-Exempt Fund	B	Dividend	K	T					
26. -- Vanguard Limited-Term Tax-Exempt Fund	A	Dividend	K	T					
27. -- Vanguard REIT Index Fund	C	Dividend	L	T	Buy	02/02/15	J		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Schiltz, Patrick J.

Date of Report

04/25/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 13-14: These transactions represent a transfer of assets from the CREF Inflation-Linked Bond Fund to the CREF Bond Market Fund.

Part VII, line 15: This is a new 403(b) account that was established in April 2015 and into which my spouse's employer deposits its contributions.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Schiltz, Patrick J.

Date of Report

04/25/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Patrick J. Schiltz**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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