

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WIENER, JR., JACQUES L.	2. Court or Organization US COURT OF APPEALS 5TH CIRCUIT	3. Date of Report 05/03/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE 5TH CIRCUIT COURT-SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U S COURT OF APPEALS BLDG 600 CAMP STREET, ROOM 244 NEW ORLEANS, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	SENIOR OFFICER	COUNCIL, LOUISIANA STATE LAW INSTITUTE
2.	TRUSTEE	TRUST #1
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

05/03/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2011

FISHER & PHILLIPS, LLP (ATTORNEY/PARTNER)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

05/03/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	SEE PART VIII	SEE PART VIII	\$0.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

05/03/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CASH:									
2. BANCORPSOUTH ACCOUNT #1	A	Interest	M	T					
3. BANCORPSOUTH ACCOUNT #2	A	Interest	J	T					SEE PART VII
4. BANCORPSOUTH ACCOUNT #3	A	Interest	J	T	Buy	09/28/11	J		
5. REGIONS BANK ACCOUNT #1	A	Interest	K	T					
6. REGIONS BANK ACCOUNT #2	A	Interest	K	T					
7. FEDERATED TAX FREE OBLIG	A	Dividend	L	T					
8.	A	Interest							
9. CITIBANK NA BANK DEPOSIT PROGRAM	A	Interest	J	T	Buy	06/17/11	J		
10. JP MORGAN BROKERAGE CASH BALANCE	A	Interest	N	T					
11. JP MORGAN BROKERAGE CASH BALANCE	A	Interest	J	T	Buy	06/23/11	J		SEE PART VII
12. CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM		None			Merged (with line 9)	06/17/11	J		
13. JP MORGAN TAX FREE RESV SWEEP FUND	A	Interest	J	T	Buy	01/01/11	J		
14.									
15. MUTUAL FUNDS:									
16. JPMORGAN SHORT DURATION BOND	D	Dividend	O	T	Buy (add'l)	06/1/11	L		
17.					Buy (add'l)	06/15/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

Name of Person Reporting

WIENER, JR., JACQUES L.

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05/03/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	07/29/11	J	A	
19. JPMORGAN STRATEGIC INCOME OPP	D	Dividend	M	T	Sold (part)	06/01/11	M	D	
20.					Sold (part)	06/15/11	J	A	
21.					Sold (part)	06/15/11	L	A	
22. JPMORGAN HIGH YIELD BOND FUND	D	Dividend	M	T					
23. DODGE & COX INTERNATIONAL STOCK	A	Dividend	K	T	Buy	03/14/11	J		
24.					Buy (add'l)	06/01/11	J		
25. EATON VANCE MUT FDS TR	D	Dividend	M	T	Buy	06/01/11	M		
26. JPMORGAN INTERNATIONAL CURRENCY INCOME FD	C	Dividend	M	T	Buy	06/01/11	L		
27.					Buy (add'l)	06/15/11	L		
28. MANNING & NAPIER FUND	A	Dividend	K	T	Buy	03/14/11	K		
29.					Buy (add'l)	06/01/11	K		
30. MATTHEW'S ASIA DIVIDEND FUND	A	Dividend	K	T	Buy	03/14/11	J		
31.					Buy (add'l)	06/01/11	J		
32. NUVEEN NWQ VALUE OPPORTUNITIES FUND	A	Dividend	J	T	Buy	07/29/11	J		
33. POWERSHARES DB COMMODITY INDEX		None	K	T	Buy	06/1/11	K		
34.					Buy (add'l)	06/16/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

Name of Person Reporting

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Date of Report

05/03/2012

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.									
36. STOCKS:									
37. ACCESS PHARMACEUTICALS INC COM		None			Sold	12/30/11	J		
38. HESS CORP	A	Dividend	K	T					
39. AMERICAN ELECTRIC POWER	B	Dividend	K	T					
40. BECKMAN COULTER INC	A	Dividend			Sold	06/27/11	J	F	
41. BOEING	C	Dividend	M	T					
42. CHEVRON CORP NEW	A	Dividend	K	T					
43. EXXON MOBIL CORP	B	Dividend	L	T					
44. LUFKIN INDUSTRIES	B	Dividend	M	T					
45. NUVEEN MUN VALUE FD NFSC	A	Interest			Sold	01/26/11	M	D	
46. GREEN DOT CORP		None	P1	T	Sold (part)	04/29/11	N	G	
47.					Sold (part)	10/27/11	M	G	
48. 1ST SUMMIT BANCORP OF JOHNSTOWN, INC (PER ANNUAL REPORT)	B	Dividend	L	W					SEE PART VII
49. GREEN DOT CORP		None	P1	T	Sold (part)	06/23/11	K	E	SEE PART VII
50.					Sold (part)	07/06/11	M	G	SEE PART VII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 12

Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

05/03/2012

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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51.					Sold (part)	07/21/11	L	F	SEE PART VII
52.					Sold (part)	08/03/11	L	F	SEE PART VII
53.					Sold (part)	08/03/11	K	F	SEE PART VII
54.					Sold (part)	08/29/11	M	F	SEE PART VII
55.					Sold (part)	09/23/11	M	G	SEE PART VII
56.					Sold (part)	10/11/11	L	F	SEE PART VII
57.					Sold (part)	12/02/11	M	F	SEE PART VII
58.									
59. STOCKS: UNLISTED									
60. COASTAL CLUB		None	M	W					
61. CONTENT DIRECTIONS INC B		None	K	W					
62. CONTENT DIRECTIONS INC C		None	K	W					
63. CONTENT DIRECTIONS C		None	J	W					SEE PART VII
64.									
65. INVESTMENTS/PARTNERSHIPS:									
66. WW URBAN PROPERTIES LLC		None	J	W					
67. WW RURAL PROPERTIES LLC	D	Distribution	K	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 12

Name of Person Reporting

WIENER, JR., JACQUES L.

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05/03/2012

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68. CENTRAL INDUSTRIAL COMPLEX		None	J	U					
69. LAMA LIMITED PARTNERSHIP		None	J	W					
70. LAMA '89 LIMITED PARTNERSHIP		None	J	W					
71. RENREW MINERALS, LTD	G	Distribution	O	W					
72. TEE ENERGY, CO., L.L.C.	E	Distribution	N	W					
73. JADOBE INVESTMENTS, LLC		None	K	W					
74. JADOBE INVESTMENTS 1998, LLC		None	K	W					
75. JADOBE INVESTMENTS 2000, LLC		None	N	W					
76. JASAN, LLC	E	Royalty	M	W					
77. LOUIS ROSEN TRUSTEE	D	Distribution	K	W					
78. PROVEN LEGACY, LLC		None	L	U					
79. TENFOLD, INC.	B	Distribution	J	W					
80. NEXT IGEN LLC: SERIES A		None	M	W	Buy (add'l)	01/03/11	J		
81.					Buy (add'l)	02/09/11	K		
82.					Buy (add'l)	03/04/11	K		
83.					Buy (add'l)	05/27/11	L		
84.					Buy (add'l)	08/16/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 9 of 12

Name of Person Reporting

WIENER, JR., JACQUES L.

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05/03/2012

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85.					Buy (add'l)	09/02/11	J		
86.					Buy (add'l)	10/19/11	K		
87.					Buy (add'l)	11/02/11	K		
88.					Buy (add'l)	12/12/11	J		
89. NEXT IGEN LLC: SERIES B		None	K	W	Buy	3/30/11	J		
90.					Buy (add'l)	04/05/11	J		
91.					Buy (add'l)	04/05/11	J		
92.					Buy (add'l)	07/12/11	J		
93.					Buy (add'l)	10/04/11	J		
94. NEXT IGEN LLC: SERIES C		None	J	W	Buy	05/10/11	J		
95.					Buy (add'l)	09/23/11	J		
96.					Buy (add'l)	11/14/11	J		
97.					Buy (add'l)	12/6/11	J		
98. NEXT IGEN LLC: SERIES D		None	K	W	Buy	05/24/11	J		
99.					Buy (add'l)	09/19/11	J		
100.					Buy (add'l)	09/30/11	J		
101. NEXT IGEN LLC: SERIES E		None	K	W	Buy	10/28/11	L		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 12

Name of Person Reporting

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102.									
103. YETA, LLC		None	N	W	Buy (add'l)	04/05/11	K		
104. DEANSAN, LLC (Y)		None	M	W					SEE PART VII
105.									
106. NOTE RECEIVABLES									
107. ALLAIN PERSONAL LOAN-NOTE RECEIVABLE (Y)	A	Interest	J	T					SEE PART VII

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

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05/03/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

RE: V-GIFTS: NONE AS TRUE GIFTS, BUT ON A RECIPROCAL BASIS-I ACCEPT INVITATIONS FOR HUNTING OR FISHING WITH FRIENDS WHO I INVITE TO HUNT OR FISH WITH ME. SOME SUCH RECIPROCAL INVITORS/INVITEE, ARE ATTORNEYS, MANY OF WHOM WERE FREINDS AND HUNTING OR FISHING PARTNERS BEFORE I BECAME A JUDGE. I NEVERTHELESS RECUSE MYSELF WHEN SUCH FRIENDS ARE REPRESENTING CLIENTS BEFORE THIS COURT.

RE: VII-ASSETS OF TRUST FOR BENEFIT OF [REDACTED] MEMBER OF WHICH I AM TRUSTEE AS INDICATED IN PART I.

RE: VII-DEANSAN, LLC: PARTNERSHIP ASSET INADVERTENTLY OMITTED FROM PRIOR YEAR.

RE: VII-ALLAIN PERSONAL LOAN-NOTE RECEIVABLE INADVERTENTLY OMITTED FROM PRIOR YEAR.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

WIENER, JR., JACQUES L.

Date of Report

05/03/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JACQUES L. WIENER, JR.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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