

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MOTZ, DIANA G.	2. Court or Organization Fourth Circuit Ct. of Appeals	3. Date of Report 05/10/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Fourth Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. Courthouse 101 W. Lombard St., 5th Fl. Baltimore, Maryland 21201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Johns Hopkins Hospital and Johns Hopkins University
2.	Trustee	Generation Skipping Trust [REDACTED]
3.	Custodian	College Savings Plan [REDACTED]
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. Yale Law School

10/19/2011

New Haven, Connecticut

Yale American
Constitution Society

Transportation

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Exxon Mobil	D	Div	N	T					
2. IBM	C	Div	M	T					
3. TRP - Tax Exempt	A	Int	K	T					
4. T. Rowe Price	C	Div	N	T					
5. Sara Lee	A	Div	J	T					
6. Nicholas Fund	A	Div	L	T					
7. TRP Equity Income Fund	A	Dividend	L	T					
8. Chevron/Texaco	A	Dividend	K	T					
9. Campbell Soup	A	Div	J	T					
10. MD. Bond	A	Interest	K	T					
11. Invesco Constellation (IRA)	A	Div	J	T					
12. Gen'l Elec	A	Div	K	T					
13. DuPont DeNemour & Co.	A	Div	J	T					
14. ExxonMobil Corp. (IRA)	A	Div	K	T					
15. Apple Computer	A	Div	M	T					
16. TRP-New ERA	A	Dividend	L	T					
17. TRP - Value	A	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. TRP - Capital Opp. (IRA)	A	Dividend	K	T					
19. TRP - Health Sciences (IRA)	A	Dividend	L	T					
20. TRP - New Asia (IRA)	A	Dividend	K	T					
21. TRP - Small - Cap Value (IRA)	A	Dividend	L	T					
22. TRP - Value Fund (IRA)	A	Dividend	K	T					
23. Merck	B	Dividend	K	T					
24. CVS	A	Dividend	K	T					
25. Pepsico	B	Dividend	L	T					
26. U.S. Treasury Bonds (IRA)	A	Interest	K	T					
27. Baltimore County Bond	A	Interest			Redeemed	6/3/11	J	A	
28. Montgomery County Bond	A	Interest	K	T					
29. Charles County Bond	A	Dividend	J	T					
30. Altria	A	Dividend	J	T					
31. Kraft	A	Dividend	J	T					
32. Yum Brands	A	Dividend	K	T					
33. AIG	A	Dividend			Sold	10/27/11	J	A	
34. Stanley Black & Decker	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Constellation Energy	B	Dividend	L	T					
36. PNC	A	Dividend	M	T					
37. TRP New Horizons Fund	A	Dividend	K	T					
38. TRP Md. Tax-Free Bond Fund	D	Dividend	M	T					
39. TRP Blue Chip Growth (GST)	A	Dividend	M	T					
40. TRP International Bond (GST)	A	Dividend	K	T					
41. TRP International Stock (GST)	A	Dividend	K	T					
42. TRP Prime Reserve (GST)	A	Dividend	M	T					
43. TRP Equity Income (GST)	B	Dividend	L	T					
44. TRP Growth Stock (GST)	B	Dividend	L	T					
45. TRP Spectrum Growth (GST)	B	Dividend	L	T					
46. TRP Equity Income (IRA)	A	Dividend	K	T					
47. TRP Growth Stock (IRA)	A	Dividend	K	T					
48. TRP Spectrum Growth (IRA)	A	Dividend	K	T					
49. TRP Prime Reserve (IRA)	A	Dividend	L	T					
50. Exxon Mobil (IRA)	A	Dividend	K	T					
51. TRP Growth Stock	A	Dividend	J	T					

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52. TRP Spectrum Growth	A	Dividend	L	T					
53. Summit Cash Reserve	A	Interest	M	T					
54. AES Corp	A	Dividend	K	T					
55. Direct TV	A	Dividend	L	T					
56. Duke Realty	A	Dividend	J	T					
57. Macy's	A	Dividend	L	T					
58. Praxair	B	Dividend	M	T					
59. Union Pacific	B	Dividend	M	T					
60. Wash. Real Estate Inv. Trust	D	Dividend	L	T					
61. Standard & Poors Depository Receipts	B	Dividend	M	T					
62. TRP Prime Reserve	C	Interest	P1	T					
63. TRP Global	A	Dividend	L	T					
64. TRP Global (IRA)	A	Dividend	L	T					
65. TRP Global (GST)	A	Dividend	L	T					
66. TRP New Era (GST)	A	Dividend	K	T					
67. Pothash Corp	A	Dividend	L	T					
68. Philip Morris Int'l, Inc.	B	Dividend	K	T					

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69. TRP New Asia	A	Dividend	K	T					
70. CSP (Custodian)	A	Dividend	K	T					
71. CSP (Custodian)	A	Dividend	K	T					
72. CSP (Custodian)	A	Dividend	J	T	Buy	12/22/11	J		
73. CSP (Custodian)	A	Dividend	J	T	Buy	12/22/11	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **DIANA G. MOTZ**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544