

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Boasberg, James E.	2. Court or Organization U.S. District Court, District of Columbia	3. Date of Report 05/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge -- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Courthouse 333 Constitution Ave. NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Vice-Chair	St. Albans School Governing Board
2.	President	Edward Bennett Williams Inn of Court
3.	Executor	Estate #1
4.	Director	Lawyers' Club of Washington
5.	Director	Federal Judges Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

Alarm.com -- Salary and bonus

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	School	Tuition	L
2.	School	Tuition	K
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. State Street Equity Index Fund - A		None	L	T					
2. State Street Equity Index Fund - B		None	M	T	Buy (add'l)	3/6/13	J		
3. State Street Equity Index Fund - C		None	M	T	Buy (add'l)	3/6/13	J		
4.									
5. Brokerage Account #1									
6. --BRKA/B		None	M	T					
7. --CSCO	B	Dividend	L	T					
8. --INTC	B	Dividend	L	T					
9. --LLTC	A	Dividend	L	T					
10. --MDT	A	Dividend			Sold	4/15/13	K	E	
11. --MSFT	B	Dividend	L	T					
12. --MCO	A	Dividend	K	T	Sold (part)	08/02/13	J	D	
13. --PG	B	Dividend	L	T	Sold (part)	08/02/13	K	D	
14. --WAG	B	Dividend	L	T					
15. --WU	A	Dividend	K	T					
16. --Fidelity US Treasury Money Market	A	Int./Div.	J	T					
17. Brokerage Account #2 (IRA)									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. --CSCO	B	Dividend	L	T					
19. --JNJ	C	Dividend	M	T					
20. --LLTC	B	Dividend	L	T					
21. --MSFT	B	Dividend	K	T					
22. --PG	B	Dividend	L	T					
23. --WAG	A	Dividend	K	T					
24. --WU	A	Dividend	J	T					
25. --Fidelity Cash/Money Market	A	Int./Div.	L	T					
26. Brokerage Account #3 (IRA)									
27. --BRKA/B		None	N	T					
28. --CSCO	B	Dividend	L	T					
29. --JNJ	C	Dividend	M	T					
30. --LLTC	B	Dividend	M	T					
31. --MSFT	B	Dividend	K	T					
32. --PG	A	Dividend	K	T					
33. --WAG	A	Dividend	K	T					
34. --WU	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. --Fidelity Cash/Money Market	A	Int./Div.	K	T					
36. Brokerage Account #4 (Trust)									
37. --BRKB		None	K	T	Sold (part)	11/7/13	J	B	
38. --CSCO	A	Dividend	K	T	Sold (part)	11/07/13	J	A	
39. --INTC	A	Dividend	J	T	Sold (part)	11/07/13	J	A	
40. --JNJ	A	Dividend	J	T	Sold (part)	11/07/13	J	B	
41. --LLTC	A	Dividend	K	T	Sold (part)	11/07/13	J	A	
42.									
43.									
44. --MSFT	A	Dividend	K	T	Sold (part)	11/07/13	J	A	
45. --MCO	A	Dividend	J	T	Sold (part)	11/07/13	J	C	
46. --PG	A	Dividend	J	T	Sold (part)	11/07/13	J	C	
47. --WAG	A	Dividend	J	T					
48. --WU	A	Dividend	J	T					
49. --Fidelity Municipal Money Market	A	Int./Div.	K	T					
50. Brokerage Account #5 (Trust)									
51. --BRKB		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. --CSCO	A	Dividend	K	T					
53. --JNJ	A	Dividend	K	T					
54. --LLTC	A	Dividend	J	T					
55. --MDT	A	Dividend	J	T					
56. --MCO	A	Dividend	K	T					
57. --PG	A	Dividend	K	T					
58. --WAG	A	Dividend	J	T					
59. --MSFT	A	Dividend	K	T					
60. --Fidelity Municipal Money Market	A	Int./Div.	J	T					
61. Brokerage Account #6 (Trust)									
62. --BRKB		None	L	T					
63. --CSCO	A	Dividend	K	T					
64. --INTC	A	Dividend	J	T					
65. --JNJ	A	Dividend	K	T					
66. --LLTC	A	Dividend	J	T					
67. --MDT	A	Dividend	J	T					
68. --MSFT	A	Dividend	J	T					

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69. --MCO	A	Dividend	K	T					
70. --PG	A	Dividend	K	T					
71. --WAG	A	Dividend	J	T					
72.									
73. --Fidelity Municipal Money Market	A	Int./Div.	J	T					
74. Brokerage Account #7 (Trust)									
75.									
76. --BRKB		None	K	T					
77. --CSCO	A	Dividend	J	T					
78. --JNJ	A	Dividend	K	T					
79. --LLTC	A	Dividend	J	T					
80. --MSFT	A	Dividend	J	T					
81. --MCO	A	Dividend	K	T					
82. --PG	A	Dividend	K	T					
83. --WAG	A	Dividend	J	T					
84. --Fidelity Municipal Money Market	A	Int./Div.	J	T					
85. Rental Property #1, Washington, DC	D	Rent	O	S					

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86. Wells Fargo Bank	A	Interest	K	T					
87. Wells Fargo Bank	A	Interest	J	T					
88. Wells Fargo Bank	A	Interest	J	T					
89.									
90. Note Receivable -- 705 King St. LLC	C	Interest	L	T					
91. Armstrong Equity Partners		None	M	U					
92. DAG, LLC	A	Distribution	J	U					
93. DAG II, LLC	E	Distribution	K	U					
94.									
95. E. 6th St., LLC	A	Distribution	J	W					
96. Mackey's, LLP	B	Distribution			Sold	11/15/13	J		
97. Bedrock Billiards, LLP	A	Distribution	J	W					
98. Buffalo Billiards, LLP	B	Distribution	J	W					
99. Carpool, LLP	D	Distribution	K	W					
100. Buffalo Billiards (TN), LLP		None	J	W					
101. Buffalo Billiards (TX), LLP	A	Distribution	J	W					
102. Alarm.com		None	O	U					

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103. Brokerage Account #2 (cont.)									
104. --INTC	A	Dividend	K	T					
105. Brokerage Account #8									
106. --KKD		None	J	T					
107. -- ETrade bank Money Market	A	Int./Div.	J	T					
108. Brokerage Account #9									
109. --LOW	A	Dividend	J	T					
110. --Etrade Bank Money Market	A	Int./Div.	J	T					
111. Brokerage Account #10									
112. --LOW	A	Dividend	J	T					
113. --Etrade Bank Money Market	A	Int./Div.	J	T					
114. T. Rowe Price Cap. Appr. Fund		None	K	T					
115. Vanguard Growth & Income Fund		None	K	T					
116. T. Rowe Price Sci & Tech Fund		None	K	T					
117.									
118. Brokerage Account #11 (Trust)									
119. --CSCO	B	Dividend	L	T					

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120. --MSFT	B	Dividend	L	T					
121. --MCO	A	Dividend	K	T					
122. --BRKB		None	M	T					
123. --WU	A	Dividend	J	T					
124. --MDT	A	Dividend	K	T					
125. --JNJ	B	Dividend	L	T					
126. --WAG	A	Dividend	K	T					
127. --LLTC	B	Dividend	L	T					
128. --Schwab Money Market Fund	A	Int./Div.	L	T					
129.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Boasberg, James E.	05/14/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The rental property listed in Part VII, line 85, has an assessed value of \$2.9 million. I own 25%.

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Name of Person Reporting

Boasberg, James E.

Date of Report

05/14/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James E. Boasberg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544