

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Horn, Marian B.	2. Court or Organization United States Court of Federal Claims	3. Date of Report 04/29/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 717 Madison Place NW Washington, DC 20005		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Adjunct Professor of Law	George Washington University Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	GW Univ. Law School - Teaching	\$21,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	DTE Engergy Co. - Pension
2. 2014	Jackson Lewis PC -salary
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Visa and Master Card	Credit Card Loans	K
2.	Wells Fargo	PNC Business Loan	L
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Smith Barney IRA Account	C	Int./Div.	O	T					
2. -Citibank NA So. Dakota Bank Deposit Program	A	Interest	J	T					
3. -Abbott	A	Dividend	K	T					
4. -Abbvie Inc.	A	Dividend	K	T	Buy	11/17/14	J		
5.					Buy	08/15/14	J		
6.					Buy	05/15/14	J		
7.					Buy	02/14/14	J		
8. -Alcoa	A	Dividend	J	T					
9. -Berkshire Hathaway Inc. CL- B New		None	L	T					
10. -Centurylink	A	Dividend	J	T					
11. -Cisco Sys	A	Dividend	K	T					
12. -Cohen & Steers Quality Income and Realty Fund	A	Dividend	J	T					
13. -Ebay		None	L	T					
14. -Google A			L	T					
15. -Google C-Y (X)		None	L	T					
16. -Hospira		None	J	T					
17. -IBM	A	Dividend		T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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18. -Interdigital	A	Dividend	L	T					
19. jp Morgan Chase	A	Dividend	J	T					
20. -Merck	A	Dividend	J	T					
21. -Pfizer	A	Dividend	J	T					
22. -PSA Inc New (Y)									
23. -SPDR Gold Tr.		None	J	T					
24. -Wells Fargo	A	Dividend	J	T					
25. Morgan Stanley Smith Barney PM IRA Account	D	Dividend	N	T					
26. -Citibank NA So. Dakota Bank Deposit Program	A	Interest	J	T					
27. -BlackRock Equity Div	B	Dividend	K	T	Buy	12/15/14	J		
28.				T	Buy	10/17/14	J		
29.					Buy	07/18/14	J		
30. Eaton Vance Bond I-Y	A	Dividend	K	T	Buy	03/07/14	J		
31.				T	Buy	03/31/14	J		
32.				T	Buy	04/30/14	J		
33.				T	Buy	05/30/14	J		
34.					Buy	06/30/14	J		

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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35.				T	Buy	07/31/14	J		
36.				T	Buy	08/29/14	J		
37.				T	Buy	09/29/14	J		
38.				T	Buy	10/31/14	J		
39.				T	Buy	11/28/14	J		
40.				T	Buy	12/31/14	J		
41. -Fidelity Adv Biotechnology	A	Dividend	J	T	Buy	12/15/14	J		
42.					Sold	09/08/14	J	A	
43. First Trust Europe-Y	A	Dividend	J	T	Buy	03/07/14	J		
44.					Sold (part)	12/12/14	J		
45.					Buy	12/31/14	J		
46. First Eagle Global 1-Y	A	Dividend	J	T	Buy	12/16/14	J		
47.				T	Buy	08/29/14	K		
48. -Guggenheim Mlti Hedge Strat		None			Sold	03/07/14	J		
49. -ING (Voya) Global Real Estate	A	Dividend	J	T	Buy	10/02/14	J		
50.				T	Buy	07/02/14	J		
51.				T	Buy	04/02/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.				T	Buy	01/02/14	K		
53. -Investco Balanced Risk	B	Dividend	K	T	Buy	03/07/14	K		
54. -Investco Developing Mkts	A	Dividend	J	T	Buy	12/15/14	J		
55. -Ivy Asset Strategy		None			Sold	08/12/14	J	B	
56. -Ivy Mid-Cap Growth	B	Dividend	K	T	Buy	12/12/14	J		
57. -Ivy Science and Tech	A	Dividend	K	T	Buy	05/12/14	J		
58. -Loomis Sayles Bond Inst	A	Dividend			Sold	03/10/14	J	A	
59. -Loomis Sayles Strat Alpha	A	Dividend			Sold	08/29/14	J		
60. -Macy's (X)		None	J	T	Buy	12/12/14	J		
61. -MFS Value	B	Dividend	K	T	Buy	12/12/14	J		
62.				T	Buy	09/01/14	J		
63.				T	Buy	06/27/14	J		
64.				T	Buy	03/28/14	J		
65. -MFS International-(X)	A	Dividend	K	T	Buy	08/29/14	K		
66. -Oppenheimer Intl Growth	A	Dividend	K	T	Buy	12/18/14	J		
67. -PIMCO All Asset	A	Dividend	J	T	Buy	12/31/14	J		
68.				T	Buy	09/19/14	J		

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69.				T	Buy	06/20/14	J		
70.				T	Buy	02/21/14	J		
71. -Royce Total Return	B	Dividend	J	T	Buy	12/18/14	J		
72.					Buy	09/05/14	J		
73.					Buy	06/06/14	J		
74.					Buy	03/07/14	J		
75. -Templeton Glb Tot Rtn	B	Dividend	K	T	Buy	12/16/14	J		
76.					Buy	11/17/14	J		
77.					Buy	10/16/14	J		
78.					Buy	09/16/14	J		
79.					Buy	08/15/14	J		
80.					Buy	06/17/14	J		
81.					Buy	05/16/14	J		
82.					Buy	04/16/14	J		
83.					Buy	03/18/14	J		
84.					Buy	02/19/14	J		
85.					Buy	01/16/14	J		

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86. -Thornburg Intl Value					Sold	08/29/14	K	A	
87. -Touchtone Sands Cap Sel	B	Dividend	K	T	Buy	12/12/14	J		
88. -Wells Fargo Absolute RTN (X)	B	Dividend	K	T	Buy	03/7/14	K		
89.				T	Buy	12/12/14	J		
90.				T	Buy	12/31/14	J		
91. Morgan Stanley Smith Barney IRA Account	C	Dividend	N	T					
92. -Citibank NA So. Dakota Bank Deposit Program	A	Interest	J	T					
93. AAXI-is MSCI Asia Ex Japan- (X)	A	Dividend	J	T	Buy	07/26/14	J		
94. DBC PS DB Comm TRK (X)		None			Buy	10/01/14	J		
95.					Sold	10/21/14	J		
96. DHLSX-Diamond Hills L/S (X)		None			Sold	07/16/14	J		
97. DIA-SPDR DJIA Trust (X)	A	Dividend	K	T	Buy	10/31/14	K		
98. DXI-WT JapanHedged--(X)	A	Dividend	J	T	Buy	07/16/14	J		
99. EPP-iS MSCI PAC Ex Japan (X)		None			Buy	07/16/14	J		
100.					Sold	12/15/14	J		
101. EWG iS MSCI Germany (X)		None			Buy	07/16/14	K		
102.					Sold	10/02/14	J		

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103. FM-iS MSCI Frontier-100 (X)		None			Buy	07/16/14	J		
104.					Sold	12/01/14	J		
105. IEMG-iS Core MSCI Emerging (X)		None			Buy	07/16/14	J		
106.					Sold	12/12/14	J		
107. IWD-iS R 1000 Value-(X)	A	Dividend	L	T	Buy	07/16/14	L		
108. IWF-iS 1000Growth (X)	A	Dividend	L	T	Buy	07/16/14	L		
109. IWN-iS R 2000 Value (X)	A	Dividend	J	T	Buy	07/16/14	J		
110. IWO-iS R 2000 Growth (X)	A	Dividend	J	T	Buy	07/16/14	J		
111. IWP-iS R Mid-Cap Growth (X)	A	Dividend	K	T	Buy	07/16/14	K		
112. IWS-iS Mid Cap Value- (X)	A	Dividend	K	T	Buy	07/16/14	K		
113. IYW-iS US Technology (X)	A	Dividend	J	T	Buy	07/16/14	J		
114. QAI-IQ Hedge Multi-Strat (X)		None	J	T	Buy	07/16/14	J		
115. SPY-SPDR S&P 500-(X)		None	K	T	Buy	07/16/14	K		
116. VEA-VG FTSE Develop MKTS (X)	A	Dividend	J	T	Buy	07/16/14	K		
117.					Sold (part)	10/15/14	K		
118. VGK-VG European MSCI (X)	A	Dividend	J	T	Buy	07/16/14	J		
119.					Sold (part)	10/10/14	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. WDTI-WT MGD Futures (X)		None	J	T	Buy	07/16/14	J		
121. XLV-Health Care SPDR (X)	A	Dividend	J	T	Buy	07/16/14	J		
122. Morgan Stanley Smith Barney IRA Account	B	Dividend	K	T					
123. Citi Bank Deposit - Cash	A	Interest	J	T					
124. -Blackrock Global Energy and Resources	A	Dividend	J	T					
125. -DTE Energy	A	Dividend	J	T					
126. -Ebay		None	K	T					
127. -Templeton Emerg Markets Fund	A	Dividend	J	T					
128. (H) Morgan Stanley Smith Barney Brokerage Account	A	Dividend	J	T					
129. -Citibank NA So. Dakota Bank Deposit Program	A	Interest	L	T					
130. -CBS Corp Cl B (X)	A	Dividend			Sold	02/20/14	K	D	
131. -CEF Invesco Value		None			Redeemed	07/14/14	J		
132. Conoco Phillips		None			Sold	05/01/14	J		
133. Group Cap 1 (Y-part)		None			Redeemed	04/28/14	J		
134. -Mirant Corp		None			Redeemed	02/03/14	J		
135. -Norfolk Southern Corp (X)					Sold	02/03/14	K	D	
136. -Phillips 66 Com					Sold	02/03/14	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Horn, Marian B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Verizon	A	Dividend			Sold	02/03/14	J	A	
138. Viacom		None			Sold	02/03/14	K	D	
139. WGL Holdings	A	Dividend			Sold	02/03/14	J		
140. Stamp Collection		None	K	T					
141. Residential Property, Washington, DC	D	Rent	N	W					
142. Residential Property, Washington, DC (X)		None			Sold	06/03/14	N		
143. Residential Property, Ft Lauderdale Fla (X)	A	Rent	O	W	Buy	05/09/14	K		
144. Patton Boggs 401k	C	Int./Div.			Sold	02/11/14	M	F	
145. -Berkshire Hathaway Inc Del CLB	A	Dividend			Sold	06/03/14	M	F	
146. -M&I Stable PPL fund	A	Interest			Sold	10/27/14	J	A	
147. -Marshall Prime Money Market CLI	A	Interest	K	T					
148. Patton Boggs Defined Benefits Plan	C	Interest			Sold	02/11/14	L	F	
149. The Carbon Project		None	K	T					
150.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Horn, Marian B.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Google split in half;; Ing changed nameto Voya; some items not on previous list came from the estate account of mother-in-law which was closed in 2014

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Marian B. Horn**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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