

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Klein, Sandra R.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 05/07/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 255 E. Temple Street Suite 1582 Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	- Salary & bonus
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. California Bankruptcy Forum	5/19/11 - 5/22/11	Squaw Valley, CA	23rd Annual California Bankruptcy Conference	Lodging and meals
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase	Mortgage on Rental Property #1	M
2.	Chase	Mortgage on Rental Property #2	O
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage #1									
2. -Altria	A	Dividend	J	T					
3. -American Express	A	Dividend			Sold	06/29/11	J	B	
4. -British Petroleum	A	Dividend	K	T					
5. -Bristol Myers Squibb	A	Dividend	K	T					
6. -Walt Disney	A	Dividend	J	T					
7. -Frontier Communications	A	Dividend	J	T					
8. -Hewlett Packard	A	Dividend	J	T					
9. -Kraft Foods	A	Dividend	J	T					
10. -Microsoft	A	Dividend	J	T					
11. -Pfizer	A	Dividend	J	T					
12. -Philip Morris International	B	Dividend	K	T					
13. -Powershares QQQ	A	Dividend	J	T					
14. -Tyler Technologies		None	K	T					
15. -Verizon	A	Dividend	J	T					
16. -Wells Fargo	A	Dividend			Sold	08/29/11	J	C	
17. -VXX		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -VPU	A	Dividend	J	T	Buy	10/26/11			
19.									
20. Brokerage #2									
21. -Apache	A	Dividend	J	T					
22. -Devon Energy	A	Dividend	J	T					
23. -Exxon Mobil	A	Dividend	J	T					
24. - Highmark California MMA*	A	Dividend	L	T					
25.									
26. Union Bank 401-K #1	E	Dividend	N	T					
27. -UB Stable Value Fund C									
28. -Vanguard Target Ret. 2025									
29. -Vanguard Instl Index Plus									
30.									
31. Wells Fargo 401-K #2	D	Dividend	M	T					
32. -WF Stable Value Fund									
33. -S&P 500 Index Fund									
34. -WF ESOP Fund					Sold	08/29/11	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35.									
36. Vanguard IRA #1	D	Dividend	M	T					
37. -Equity Income Fund									
38. -Morgan Growth Fund									
39. -Value Index Fund									
40. -Windsor Fund									
41. -Lifestrategy Growth Fund									
42. -Prime Money Market Fund									
43. -Global Equity Fund									
44. -Pacific Stock Index Fund									
45. -Wellington Fund									
46. -Energy Fund									
47. -Strategic Equity Fund									
48. -REIT Index Fund									
49.									
50. Vanguard 529 Plan #1	A	Dividend	J	T					
51. -Growth Index									

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52. -Morgan Growth									
53. -Total Int'l Stock Index									
54. -Value Index									
55.									
56. Vanguard 529 Plan #2	A	Dividend	J	T					
57. -Total Int'l Stock Index									
58. -Total Stock Market Index									
59. -High Yield Bond Portfolio									
60.									
61. Brokerage #3									
62. -Wells Fargo Calif. MMA	A	Dividend	L	T					
63. -Altria	A	Dividend	J	T					
64. -British Petroleum	A	Dividend	J	T					
65. -Bristol Myers Squibb	A	Dividend	J	T					
66. -General Electric	A	Dividend	J	T					
67. -Pfizer	A	Dividend	J	T					
68. -Philip Morris Int'l	A	Dividend	K	T					

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69.									
70. Brokerage #4									
71. -Highmark Calif. MMA	A	Dividend	J	T					
72. -Apache	A	Dividend	J	T					
73. -Coca Cola	A	Dividend	J	T					
74. -Devon Energy	A	Dividend	J	T					
75. -Exxon Mobil	A	Dividend	J	T					
76.									
77. Fidelity Brokerage #5									
78. -Fidelity Cash Reserves	A	Dividend	K	T					
79. -Worldwide	A	Dividend	K	T					
80. -Asset Manager 70%	A	Dividend	L	T					
81. -Magellan	A	Dividend	K	T					
82. -Growth & Income	A	Dividend	M	T					
83.									
84. Fidelity IRA #2	E	Dividend	M	T					
85. -Stock Selector Large Cap Value									

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86. -Fifty									
87. -Balanced									
88. -Select Energy									
89. -Value									
90.									
91. Fidelity IRA #3	D	Dividend	K	T					
92. -Real Estate Investment									
93. -Select Energy									
94. -Select Defense & Aerospace									
95.									
96. Fidelity Brokerage #6									
97. -Calif. MMA	A	Dividend	K	T					
98.									
99. Rental property #1 - Redondo Beach, CA	E	Rent	O	W					
100. Rental property #2 - Redondo Beach, CA*	F	Rent	P1	W					
101.									
102. Brokerage #7*									

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103. - MUFJ	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

My investiture ceremony and reception was held on 10/27/11. The cost of the caterer for my reception was \$14,136.20. Many local bar associations generously contributed to pay the caterer. The bar associations paid the caterer and I do not know which bar associations contributed nor do I know how much each bar association contributed.

When I filed my initial financial disclosure report on 10/7/11, I inadvertently left off certain information. That information is indicated with an * on Section VII and is summarized below:

- An investment in Highmark California MMA from Brokerage Account #2. This investment has now been added to the list of investments in Brokerage Account #2;
- Brokerage Account #7. This Brokerage Account has now been added to my list of investment accounts.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Sandra R. Klein

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544