

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ramos, Nelva G.	2. Court or Organization U.S. District Court, Texas	3. Date of Report 08/06/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 1133 North Shoreline Blvd. Corpus Christi, Texas 78401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2001	State of Texas Judicial Retirement Plans
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

Onyx Engineering--Salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Kleberg	Note on Jim Hogg County property	None
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo Bank -- Accounts, CD	A	Interest	J	T					
2. First National Bank -- Accounts	A	Interest	J	T					
3. New York Life Insurance Company - Whole Life		None	K	T					
4. BROKERAGE #1 (H)									
5. Edward Jones Money Market (JRSXX)	A	Dividend	K	T					
6. Franklin Fed Tax - Free Income A (FKTIX)	B	Dividend	K	T					
7. FT Bld Amer Bds 10-20 Yr #11 (VKBTHX)	B	Interest	K	T					
8. IRA #1 (H)									
9. Franklin Balanced Fund CL A (FBLAX)	A	Dividend	K	T					
10. Franklin Equity Income Fund CL A (FISEX)	A	Dividend	K	T					
11. Franklin Growth Fund CL A (FKGRX)	A	Dividend	K	T					
12. Franklin Growth Opptys Fd Cl A (FGRAX)	A	Dividend	J	T					
13. Franklin Mutual Global Discovery Fund CL A (TEDIX)	A	Dividend	J	T					
14. Franklin Mutual Shares Fund CL A (TESIX)	A	Dividend	J	T					
15. Franklin Rising Dividends Fund CL A (FRDPX)	A	Dividend	K	T					
16. Franklin Small-Mid Cap Grwth A (FRSGX)	A	Dividend	J	T	Buy	03/10/17	J		
17. Franklin Total Return Fund CL A (FKBAX)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. Franklin U S Government Securities Fund CL A (FKUSX)	A	Dividend	J	T					
19. Templeton Growth Fund CL A (TEPLX)	A	Dividend	K	T					
20. Templeton Global Bond Fund CL A (TPINX)	A	Distribution			Sold	03/10/17	J		
21. IRA #2 (H)									
22. Franklin Growth Fund CL A (FGRAX)	A	Dividend	J	T					
23. Templeton Foreign Fund CL A (TFFAX)	A	Dividend	J	T					
24. Templeton Global Smaller Companies Fund CL A (TEMGX)	A	Dividend	J	T					
25. Templeton Growth Fund CL A (TEPLX)	A	Dividend	J	T					
26. Templeton World Fund CL A (TEMWX)	A	Dividend	J	T					
27. IRA #3 (H)									
28. Cohen & Steers Preferred Sec & Income Fund CL I (CPXIX)	B	Dividend	K	T	Buy (add'l)	01/30/17	J		
29.					Sold (part)	03/09/17	J	A	
30.					Sold (part)	04/04/17	J	A	
31.					Buy (add'l)	12/14/17	J		
32. Deutsche X-Trackers MSCI (DBEF)		None			Buy	03/09/17	J		
33.					Sold	03/27/17	J	A	
34. Doubleline Shiller Enh Cape Fd (DSEEX)	B	Dividend	K	T	Buy	04/04/17	K		

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35.					Sold (part)	08/14/17	J	A	
36.					Buy (add'l)	12/14/17	J		
37. First Trust Preferred (FPE)	A	Dividend			Buy	04/04/17	J		
38.					Sold	12/13/17	J	A	
39. Global X MSCI Norway (NORW)		None			Buy	10/11/17	J		
40.					Sold	11/06/17	J	A	
41. Global X MSCI Portugal (PGAL)	A	Dividend			Buy	08/16/17	J		
42.					Sold	11/06/17	J	A	
43. Guggenheim China Technol (CQQQ)		None			Buy	12/01/17	J		
44.					Sold	12/11/17	J		
45. Guggenheim S&P 500 Equal (ETF) (RSP)	A	Dividend			Sold (part)	01/04/17	J	A	
46.					Buy (add'l)	01/17/17	J		
47.					Sold (part)	03/09/17	K	B	
48.					Sold (part)	03/16/17	J	A	
49.					Sold	04/04/17	K	A	
50. iShares Core S&P Mid-Cap (ETF) (IJH)		None			Buy (add'l)	01/04/17	J		
51.					Sold	01/17/17	J	A	

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52. IShares Core S&P Small (ETF) (IJR)	A	Dividend			Buy (add'l)	01/04/17	J		
53.					Sold (part)	01/17/17	J	A	
54.					Buy (add'l)	03/09/17	J		
55.					Sold	03/27/17	J		
56. IShares Core S&P 500 (IVV)	A	Dividend	K	T	Buy	03/02/17	J		
57.					Buy (add'l)	03/09/17	K		
58.					Sold (part)	03/16/17	J	A	
59.					Sold	05/09/17	K	A	
60.					Buy (add'l)	12/07/17	K		
61.					Buy (add'l)	12/15/17	J		
62. IShares MSCI All Country (ACWI)	A	Dividend			Buy	07/13/17	J		
63.					Sold (part)	08/16/17	J	A	
64.					Buy (add'l)	11/06/17	J		
65.					Buy (add'l)	11/29/17	J		
66.					Sold	12/13/17	J	A	
67. IShares MSCI Brazil (EWZ)		None			Buy	10/11/17	J		
68.					Sold	10/31/17	J		

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69. IShares MSCI Eurozone (EZU)	A	Dividend			Buy	07/13/17	J		
70.					Sold (part)	08/16/17	J	A	
71.					Buy (add'l)	11/06/17	J		
72.					Buy (add'l)	11/29/17	J		
73.					Sold	12/15/17	J	A	
74. IShares MSCI Austria (EWO)	A	Dividend			Buy	07/31/17	J		
75.					Sold	11/29/17	J	A	
76. IShares MSCI France (EWQ)	A	Dividend			Buy	08/11/17	J		
77.					Sold	12/01/17	J	A	
78. IShares MSCI Italy (EWI)	A	Dividend			Buy	07/31/17	J		
79.					Sold	12/01/17	J	A	
80. IShares MSCI Japan (EWJ)		None			Buy	12/01/17	J		
81.					Sold	12/11/17	J		
82. IShares MSCI Poland (EPOL)	A	Dividend			Buy	08/11/17	J		
83.					Sold	12/01/17	J	A	
84. IShares MSCI Spain (EWP)	A	Dividend			Buy	08/16/17	J		
85.					Sold	10/11/17	J		

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2. Value Codes (See Columns C1 and D3)					
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86. IShares MSCI South Korea (EWY)	A	Dividend			Buy	03/27/17	J		
87.					Sold	12/15/17	J	A	
88. IShares MSCI Taiwan (EWT)	A	Dividend			Buy	03/27/17	J		
89.					Sold (part)	08/16/17	J	A	
90.					Buy (add'l)	12/01/17	J		
91.					Sold	12/11/17	J		
92. IShares MSCI Turkey (TUR)	A	Dividend			Buy	08/16/17	J		
93.					Sold	10/11/17	J		
94. IShares Inc Core MSCI Emerging Mkts ETF (IEMG)	A	Dividend	K	T	Buy (add'l)	02/13/17	J		
95.					Buy (add'l)	03/09/17	J		
96.					Buy (add'l)	03/16/17	J		
97.					Sold (part)	08/16/17	J	B	
98.					Buy (add'l)	11/16/17	J		
99.					Sold (part)	12/15/17	J	A	
100. IShares TR Core MSCI EAF (ETF) (IEFA)	A	Dividend	K	T	Sold (part)	01/04/17	J	A	
101.					Buy (add'l)	01/17/17	J		
102.					Sold (part)	01/30/17	J	A	

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103.					Sold (part)	02/13/17	J	A	
104.					Sold (part)	03/09/17	J	A	
105.					Buy (add'l)	03/16/17	J		
106.					Buy (add'l)	05/09/17	J		
107.					Sold (part)	07/13/17	K	A	
108.					Sold (part)	07/31/17	J	A	
109.					Buy (add'l)	11/06/17	J		
110.					Sold (part)	11/16/17	J		
111.					Buy (add'l)	12/13/17	K		
112. PIMCO Income Fund CL P (PONPX)	A	Dividend	K	T	Sold (part)	01/30/17	J	A	
113.					Sold (part)	03/09/17	J	A	
114.					Sold (part)	04/04/17	J	A	
115.					Buy (add'l)	12/14/17	J		
116. Powershares QQQ Tr Se (QQQ)	A	Dividend	K	T	Buy	06/05/17	K		
117.					Sold (part)	07/31/17	J	A	
118.					Sold (part)	08/16/17	J		
119.					Buy (add'l)	11/16/17	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	12/15/17	J		
121. Powershares Variable Rate (VRP)	A	Dividend			Buy	04/04/17	J		
122.					Sold	12/13/17	J	A	
123. SPDR S&P China (GXC)	A	Dividend			Buy	02/13/17	J		
124.					Sold (part)	03/02/17	J		
125.					Buy (add'l)	03/27/17	J		
126.					Sold	12/15/17	J	B	
127. Vaneck Vectors Russia (RSX)	A	Dividend			Buy	08/11/17	J		
128.					Sold	12/01/17	J	A	
129. Vanguard Mega Cap 300 (MGK)	A	Dividend			Buy	03/09/17	J		
130.					Buy (add'l)	05/09/17	J		
131.					Sold	06/05/17	K	B	
132. Wisdomtree India (EPI)	A	Dividend	J	T	Buy	01/30/17	J		
133.					Sold (part)	03/02/17	J	A	
134.					Buy (add'l)	03/27/17	J		
135.					Sold (part)	08/16/17	J	A	
136.					Buy (add'l)	10/31/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	11/16/17	J		
138. 529 PLAN #1 (H)									
139. Franklin Moder 9-12 years	A	Dividend			Sold	07/01/17	K		
140. Franklin Mod Alloc Age 13-16 A	A	Dividend	K	T	Buy	07/01/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

For ease of reporting, Part VII has been separated into individual accounts. This is not indicative of reportable transactions.

Part VII, lines 13 and 19: Name corrections; see 2016, lines 21 and 10, respectively.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, lines 6 and 7 (2016): These are defined benefit pension plans, therefore they have been removed from Part VII of this and future reports. These plans are listed collectively in Part II.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Nelva G. Ramos**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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