

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tallman, Howard R.	2. Court or Organization Bankruptcy Court -- Colorado	3. Date of Report 04/25/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge -- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 01/03/2017
7. Chambers or Office Address 721 19th Street Denver, CO 80202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.	National Association of Chapter 13 Trustees	July 20-24, 2016	Philadelphia, Pennsylvania	Speaker at national CLE	Seminar registration, travel, lodging and meals
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2.	Annual Conference of Colorado State Judges	September 12-13, 2016	Vail, Colorado	Speaker on bankruptcy law for state judges	Seminar registration and lodging
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3.	National Conference of Bankruptcy Judges (NCBJ)	October 25-30, 2016	San Francisco, California	Attend national conference	Partial reimbursement for lodging
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4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. KeyBank -- Accounts	A	Interest	L	T					
2. ENT CU	A	Interest	J	T					
3. IRA No. 1(a) -- SEI Group									
4. ---Large Cap Value	A	Dividend	K	T					
5. ---Large Cap Growth	A	Dividend	K	T					
6. ---Small Cap Value	A	Dividend	J	T					
7. ---Small Cap Growth	A	Dividend	J	T					
8. ---Core Fixed Income	C	Interest	M	T					
9. ---International Fixed Income	A	Interest	K	T					
10. ---Emerging Markets Debt	A	Interest	K	T					
11. ---High Yield Bonds	B	Interest	K	T					
12. ---International Equity	A	Dividend	K	T					
13. ---Emerging Markets Equity	A	Dividend	J	T					
14. ---Domestic Fixed Income (x)									Part VIII, Note 1
15. ---Prime Obligation - Cash & cash equivalents	A	Int./Div.	K	T					
16. IRA No. 1(b) -- SEI Group ---Bond Account									See Part VIII, Note 1
17. ---Prime Obligation - Cash & cash equivalents	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. IRA No. 1(c) -- SEI Group: Laddered Bonds									
19. --Domestic Fixed Income									
20. ---IBM Corp	A	Interest	J	T					
21. ---Goldman Sachs Group	A	Interest	J	T					
22. ---Apple Inc	A	Interest	J	T					
23. ---Wal-Mart Stores	A	Interest	J	T					
24. ---General Electric Capital Corp	A	Interest	J	T					
25. ---Walt Disney Co	A	Interest	J	T					
26. ---Wells Fargo Co	A	Interest	J	T					
27. ---Bank of America Corp	A	Interest	J	T					
28. ---JP Morgan Chase	A	Interest	J	T					
29. ---Toyota Motor Credit	A	Interest	J	T					
30. ---AT&T Inc	A	Interest	J	T					
31. ---DuPont EI DE Nemours	A	Interest	J	T					
32. ---Oracle Corp	A	Interest	J	T					
33. ---Philip Morris Inc	A	Interest	J	T					
34. ---John Deere Capita	A	Interest	J	T					

1. Income Gain Codes:	A --\$1,000 or less	B --\$1,001 - \$2,500	C --\$2,501 - \$5,000	D --\$5,001 - \$15,000	E --\$15,001 - \$50,000
(See Columns B1 and D4)	F --\$50,001 - \$100,000	G --\$100,001 - \$1,000,000	H1 --\$1,000,001 - \$5,000,000	H2 --More than \$5,000,000	
2. Value Codes	J --\$15,000 or less	K --\$15,001 - \$50,000	L --\$50,001 - \$100,000	M --\$100,001 - \$250,000	
(See Columns C1 and D3)	N --\$250,001 - \$500,000	O --\$500,001 - \$1,000,000	P1 --\$1,000,001 - \$5,000,000	P2 --\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 --\$25,000,001 - \$50,000,000	R --Cost (Real Estate Only)	P4 --More than \$50,000,000	S --Assessment	T --Cash Market
(See Column C2)	Q --Appraisal	V --Other	W --Estimated		
	U --Book Value				

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35. ---Citigroup Inc	A	Interest	J	T					
36. ---Comcast Corp	A	Interest	J	T					
37. ---Merck & Co	A	Interest	J	T					
38. ---Coca-Cola Co	A	Interest	J	T					
39. -International Fixed Income									
40. ---BP Capital PLC	A	Interest	J	T					
41. ---Sanofi	A	Interest	J	T					
42. ---Prime Obligation: Cash & cash equivalents	A	Interest	J	T					
43. IRA No. 1(d) -- WP Carey --CPA 18 Global Income Property	C	Int./Div.	K	T					
44. Mutual Fund 1 - SEI Group									
45. ---Tax Managed Large Cap	A	Dividend	K	T					
46. ---Small Cap Value	A	Dividend	J	T					
47. ---Small Cap Growth	A	Dividend	J	T					
48. ---Intermediate-Term Municipal	A	Dividend	J	T					
49. ---International Fixed Income	A	Dividend	J	T					
50. ---Emerging Markets Debt	A	Dividend	J	T					
51. ---High Yield Bonds	A	Dividend	J	T					

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52. ---International Equity	A	Dividend	J	T					
53. ---Emerging Markets Equity	A	Dividend	J	T					
54. ---Tax-Free Fund: Cash & cash equivalents	A	Interest	J	T					
55. Mutual Fund 2 - SEI Group									
56. ---Tax Free Fund: Cash & cash equivalents	A	Interest	K	T					
57. ---Tax Managed Vol. Fund	A	Dividend	J	T					
58. ---Tax Managed Large Cap	A	Dividend	J	T					
59. ---Short Duration Muni	A	Dividend	K	T					
60. ---Tax Advantage Income	A	Dividend	J	T					
61. ---Intermediate Term Muni	A	Dividend	J	T					
62. Jackson National Life	B	Interest	K	T					
63. Genworth Life Ins. Co.	A	Interest	J	T					
64. Icon Income Fund Eleven	A	Distribution	J	T					
65. Pacific Life Variable Annuity: Port Opt Aggressive-Growth Fund	A	Int./Div.			Closed	05/25/16	L	E	See Part VIII, No. 2

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII, Note 1, Lines 14, 16-42. As reported in the Amended Report for 2013, dated July 14, 2014, at Note 2 and the Annual Report for 2014, dated April 29, 2015, at Note 2, the Domestic Fixed Income fund of IRA 1(a) was re-invested in the Laddered Bond fund referenced in IRA 1(b) and (c). With the reporting requirement changes added for the 2015 reporting period, this final report lists the individual bonds that are now held in the Laddered Bond fund. The only input that I or my financial advisor had in 2013 during the process of opening the new IRA account(s) was to determine the total amount to be transferred to the new investment and we had no control over which individual bonds were selected.

2. Part VII, Note 2, Line 43. This fund was closed during the reporting year and proceeds used for other purposes. As previously reported, the income and value are reported in an aggregate amount for the investment as reflected in the annuity's price per unit/share. The only input that I or my financial adviser had in this annuity investment was to provide an initial risk profile. Neither of us has any control over the choice of investment sub-accounts or the allocation of funds among those various sub-accounts. Funds are re-invested or re-balanced by the issuer using either existing, replacement or additional sub-accounts, without prior notice to or input from us.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Howard R. Tallman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544