

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Bade, Bridget S.                                                                                                                     | <b>2. Court or Organization</b><br><br>U.S. District Court, Arizona                                                                                                                                                                                                                      | <b>3. Date of Report</b><br><br>05/15/2014                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. Magistrate Judge - Full Time                                             | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2013<br>to<br>12/31/2013 |
| <b>7. Chambers or Office Address</b><br><br>Sandra Day O'Connor U.S. Courthouse<br>401 W. Washington Street, Suite 321<br>Phoenix, Arizona 85003                                                          |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions )

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

|             |                                      |
|-------------|--------------------------------------|
| 1. Director | Archway Classical Academy - Chandler |
| 2.          |                                      |
| 3.          |                                      |
| 4.          |                                      |
| 5.          |                                      |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions )

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

|    |  |
|----|--|
| 1. |  |
| 2. |  |
| 3. |  |

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Name of Person Reporting

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME  
(yours, not spouse's)

1.

2.

3.

4.

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2013

Self-Employed Attorney - Bade Baskin Richards PLC (1/1/13 - 10/31/13); Self-Employed Attorney - Thomas W. Bade PLC (11/1/13-12/31/13)

2.

3.

4.

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u>              | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|------------------------------|--------------------|-------------------|
| 1. | Arizona Central Credit Union | HELOC              | K                 |
| 2. |                              |                    |                   |
| 3. |                              |                    |                   |
| 4. |                              |                    |                   |
| 5. |                              |                    |                   |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. (H) ACCOUNTS                                                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 2. Arizona Central Credit Union                                                                                            | A                                      | Int./Div.                                     | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. (H) BSB ROTH IRA - BAIRD                                                                                                |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 4. Aim Invesco Cochrane C I C                                                                                              | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. MFS Limited Maturity C I C                                                                                              | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. (H) TWB ROTH IRA - BAIRD                                                                                                |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 7. Davis NY Venture C I C                                                                                                  | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8.                                                                                                                         | A                                      | Distribution                                  |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 9. MFS Limited Maturity C I C                                                                                              | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. (H) MNB COVERDELL ESA - BAIRD                                                                                          |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 11. Davis NY Venture C I C                                                                                                 | A                                      | Dividend                                      | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12.                                                                                                                        | B                                      | Distribution                                  |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 13. (H) MTB COVERDELL ESA - BAIRD                                                                                          |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 14. Davis NY Venture C I C                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15.                                                                                                                        | B                                      | Distribution                                  |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 16. Ivy Balanced C I C (X)                                                                                                 | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. (H) BSB ROLLOVER IRA - BAIRD                                                                                           |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1 Income Gain Codes:    | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2 Value Codes           | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
| 3 Value Method Codes    | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| (See Column C2)         | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
|                         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                  |   |          |   |   |      |          |   |   |  |
|----------------------------------|---|----------|---|---|------|----------|---|---|--|
| 18. Baird Money Market           | A | Interest | J | T |      |          |   |   |  |
| 19. DELL common stock            |   | None     |   |   | Sold | 10/30/13 | J | A |  |
| 20. ESRX common stock            |   | None     | J | T |      |          |   |   |  |
| 21. GE common stock              | A | Dividend | J | T |      |          |   |   |  |
| 22. HD common stock              | A | Dividend | J | T |      |          |   |   |  |
| 23. MRK common stock             | A | Dividend | J | T |      |          |   |   |  |
| 24. MSFT common stock            | A | Dividend | J | T |      |          |   |   |  |
| 25. PG common stock              | A | Dividend | J | T |      |          |   |   |  |
| 26. XCEL common stock            | A | Dividend | J | T |      |          |   |   |  |
| 27. (H) TWB ROLLOVER IRA - BAIRD |   |          |   |   |      |          |   |   |  |
| 28. Baird Money Market           | A | Interest | J | T |      |          |   |   |  |
| 29. MO common stock              | A | Dividend | J | T |      |          |   |   |  |
| 30. BAC common stock             | A | Dividend | J | T |      |          |   |   |  |
| 31. C common stock               | A | Dividend | J | T |      |          |   |   |  |
| 32. CSCO common stock            | A | Dividend | J | T |      |          |   |   |  |
| 33. COP common stock             | A | Dividend | K | T |      |          |   |   |  |
| 34. GE common stock              | A | Dividend | J | T |      |          |   |   |  |

|                                                 |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|-------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3 Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|--------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                          | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                 |   |           |   |   |  |  |  |  |  |
|-----------------------------------------------------------------|---|-----------|---|---|--|--|--|--|--|
| 35. INTC common stock                                           | A | Dividend  | J | T |  |  |  |  |  |
| 36. JPM common stock                                            | A | Dividend  | J | T |  |  |  |  |  |
| 37. JNJ common stock                                            | A | Dividend  | J | T |  |  |  |  |  |
| 38. KRFT common stock                                           | A | Dividend  | J | T |  |  |  |  |  |
| 39. MRK common stock                                            | A | Dividend  | J | T |  |  |  |  |  |
| 40. MSFT common stock                                           | A | Dividend  | J | T |  |  |  |  |  |
| 41. MDLZ common stock                                           | A | Dividend  | J | T |  |  |  |  |  |
| 42. PM common stock                                             | A | Dividend  | K | T |  |  |  |  |  |
| 43. PFE common stock                                            | A | Dividend  | J | T |  |  |  |  |  |
| 44. PSX common stock                                            | A | Dividend  | J | T |  |  |  |  |  |
| 45. DBO Powershares DB Multi Sector<br>Commodity Trust Oil Fund |   | None      | J | T |  |  |  |  |  |
| 46. XCEL common stock                                           | A | Dividend  | J | T |  |  |  |  |  |
| 47. Blackrock S&P 500 Index Investor Cl A                       | A | Dividend  | K | T |  |  |  |  |  |
| 48. (H) BSB ROLLOVER IRA - BEACON<br>POINTE                     |   |           |   |   |  |  |  |  |  |
| 49. TDAM US Government Portfolio                                | A | Int./Div. | J | T |  |  |  |  |  |
| 50. Ishares Russell 100 Index Fund                              | A | Int./Div. | K | T |  |  |  |  |  |
| 51. Cullen Value Fd Hi Dvd Fd Cl A                              | A | Int./Div. | J | T |  |  |  |  |  |

|                                                 |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3 Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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| 52. First Eagle Sogen Fds Inc Global C1 A                                                                                  | A                                      | Int./Div.                                     | K                                              | T                                         | Buy<br>(add'l)                                  | 09/27/13                | J                               |                                |                                                                   |
| 53.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 11/25/13                | J                               |                                |                                                                   |
| 54. First Eagle Sogen Fds Inc Global C1 I                                                                                  | A                                      | Int./Div.                                     | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 55. Manning & Napier Pro Blend Ext Term<br>Series Fd                                                                       | A                                      | Int./Div.                                     | K                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 56. Northern Lights Swan Defined Risk A                                                                                    | A                                      | Int./Div.                                     | K                                              | T                                         | Buy                                             | 07/12/13                | J                               |                                |                                                                   |
| 57.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 09/27/13                | J                               |                                |                                                                   |
| 58. Pimco Fds All Asset All Authority                                                                                      | A                                      | Int./Div.                                     | J                                              | T                                         | Buy<br>(add'l)                                  | 01/11/13                | J                               |                                |                                                                   |
| 59.                                                                                                                        |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 11/25/13                | J                               | A                              |                                                                   |
| 60. Pimco Fds All Asset Fd Instl                                                                                           |                                        | None                                          |                                                |                                           | Sold                                            | 07/12/13                | J                               | A                              |                                                                   |
| 61. Touchstone Funds Small Cap Core A                                                                                      | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 62. Virtus Funds Emerging Mkts Oppty I                                                                                     | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 63. BRK B common stock                                                                                                     |                                        | None                                          |                                                |                                           | Sold                                            | 09/18/13                | J                               | B                              |                                                                   |
| 64. DVN common stock                                                                                                       | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 65. GE common stock                                                                                                        | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 66. GS common stock                                                                                                        | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 67. (H) TWB & BSB JT TEN - BEACON<br>POINTE                                                                                |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 68. TDAM Municipal Portfolio Class A                                                                                       | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. First Eagle Sogen Fds Inc Global Cl A                                                                                  | A                                      | Int./Div.                                     | J                                              | T                                         | Buy<br>(add'l)                                  | 04/17/13                | J                               |                                |                                                                   |
| 70. First Eagle Sogen Fds Inc Global Cl I                                                                                  | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 71. FPA Crescent Portfolio                                                                                                 | A                                      | Int./Div.                                     | K                                              | T                                         | Buy<br>(add'l)                                  | 01/07/13                | J                               |                                |                                                                   |
| 72.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 03/13/13                | J                               |                                |                                                                   |
| 73.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 07/12/13                | J                               |                                |                                                                   |
| 74. Northern Lights Swan Defined Risk                                                                                      | A                                      | Int./Div.                                     | J                                              | T                                         | Buy                                             | 09/12/13                | J                               |                                |                                                                   |
| 75. Riverpark Wedgewood Instl                                                                                              | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 76. Riverpark Wedgewood Retail                                                                                             | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 77. Touchstone Small Cap Core A                                                                                            | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 78. Virtus Funds Emerging Mkts Oppo Fd Cl A                                                                                | A                                      | Int./Div.                                     | J                                              | T                                         | Buy                                             | 01/07/13                | J                               |                                |                                                                   |
| 79.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 02/15/13                | J                               |                                |                                                                   |
| 80.                                                                                                                        |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 05/16/13                | J                               |                                |                                                                   |
| 81. BAC common stock                                                                                                       | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 82. GOOG common stock                                                                                                      |                                        | None                                          | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 83. (H) BBR PSP - BEACON POINTE                                                                                            |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 84. TDAM Municipal Portfolio                                                                                               | A                                      | Int./Div.                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 85. GS common stock                                                                                                        | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                 |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|-------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1 Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2 Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3 Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Bade, Bridget S.

Date of Report

05/15/2014

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                     |   |           |   |   |                |          |   |   |  |
|-----------------------------------------------------|---|-----------|---|---|----------------|----------|---|---|--|
| 86. Pimco Fds All Asset All Authority Instl         | A | Int./Div. | K | T | Buy<br>(add'l) | 01/18/13 | J |   |  |
| 87.                                                 |   |           |   |   | Sold<br>(part) | 07/16/13 | J | A |  |
| 88. Pimco Total Return D                            | A | Int./Div. | J | T | Buy<br>(add'l) | 01/07/13 | J |   |  |
| 89.                                                 |   |           |   |   | Sold<br>(part) | 07/16/13 | J | A |  |
| 90. Riverpark Wedgewood Retail                      | A | Int./Div. | J | T |                |          |   |   |  |
| 91. FPA Crescent Portfolio                          | A | Int./Div. | J | T | Buy<br>(add'l) | 01/07/13 | J |   |  |
| 92. Northern Lights Swan Defined Risk A             | A | Int./Div. | K | T | Buy            | 07/16/13 | J |   |  |
| 93.                                                 |   |           |   |   | Buy<br>(add'l) | 08/19/13 | J |   |  |
| 94. Virtus Funds Emerg Mkts Oppo Fd Cl A            | A | Int./Div. | J | T | Buy            | 01/07/13 | J |   |  |
| 95. (H) BBR PSP - BEACON POINTE -<br>SARATOGA VALUE |   |           |   |   |                |          |   |   |  |
| 96. TDAM Municipal Portfolio Cl A                   | A | Int./Div. | K | T |                |          |   |   |  |
| 97. MMM common stock                                | A | Dividend  | J | T |                |          |   |   |  |
| 98. ABT common stock                                | A | Dividend  | J | T |                |          |   |   |  |
| 99. ABBV common stock                               | A | Dividend  | J | T |                |          |   |   |  |
| 100. AAPL common stock                              | A | Dividend  | J | T | Buy            | 04/18/13 | J |   |  |
| 101. ADP common stock                               | A | Dividend  | J | T | Sold<br>(part) | 11/19/13 | J | A |  |
| 102. BDX common stock                               | A | Dividend  | J | T |                |          |   |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Bade, Bridget S.

Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. BRK B common stock                                                                                                    | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 104. CHRW common stock                                                                                                     | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 05/09/13                | J                               |                                |                                                                   |
| 105. CSCO common stock                                                                                                     | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 106. COH common stock                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 01/23/13                | J                               |                                |                                                                   |
| 107. KO common stock                                                                                                       | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 108. GD common stock                                                                                                       | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 06/13/13                | J                               | A                              |                                                                   |
| 109. IBM common stock                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 110. JNJ common stock                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 111. MCD common stock                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 112. MDT common stock                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 113. MSFT common stock                                                                                                     | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 114. NKE common stock                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 07/08/13                | J                               | A                              |                                                                   |
| 115. NVO common stock                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Buy                                             | 10/31/13                | J                               |                                |                                                                   |
| 116. OMC common stock                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 117. ORCL common stock                                                                                                     | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 118. PEP common stock                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 04/18/13                | J                               | A                              |                                                                   |
| 119. PG common stock                                                                                                       | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                  | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                        | T = Cash Market                                            |                        |

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Name of Person Reporting

Bade, Bridget S.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. SYK common stock                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         | Sold<br>(part)                                  | 05/02/13                | J                               | A                              |                                                                   |
| 121. TSS common stock                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 122. UTX common stock                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 123. VAR common stock                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 124. WMT common stock                                                                                                      | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 125. ADBE common stock                                                                                                     |                                        | None                                          |                                                |                                           | Sold                                            | 02/20/13                | J                               | A                              |                                                                   |
| 126. (H) BBR PSP - TCS FINANCIAL                                                                                           |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 127. Alliance Bernstein Premium Growth Cl A                                                                                | A                                      | Int./Div.                                     | J                                              | T                                         | Buy                                             | 11/27/13                | J                               |                                |                                                                   |
| 128. Allianz Convertible D                                                                                                 | A                                      | Int./Div.                                     |                                                |                                           | Buy                                             | 01/31/13                | J                               |                                |                                                                   |
| 129.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 04/24/13                | J                               | A                              |                                                                   |
| 130.                                                                                                                       |                                        |                                               | J                                              | T                                         | Buy                                             | 09/11/13                | J                               |                                |                                                                   |
| 131. Fidelity Funds Pharmaceuticals Fd                                                                                     | A                                      | Int./Div.                                     | J                                              | T                                         | Buy                                             | 11/05/13                | J                               |                                |                                                                   |
| 132. Fidelity Funds Brkg & Inv Mgmt                                                                                        | A                                      | Int./Div.                                     | J                                              | T                                         | Buy                                             | 11/14/13                | J                               |                                |                                                                   |
| 133. Invesco Mid Cap Core Eq Fd Cl A                                                                                       | A                                      | Int./Div.                                     | J                                              | T                                         | Buy                                             | 09/20/13                | J                               |                                |                                                                   |
| 134. Mainstay Fds Convertible A                                                                                            | A                                      | Int./Div.                                     |                                                |                                           | Buy                                             | 05/20/13                | J                               |                                |                                                                   |
| 135.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 08/12/13                | J                               | A                              |                                                                   |
| 136.                                                                                                                       |                                        |                                               | J                                              | T                                         | Buy                                             | 09/11/13                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. Oppenheimer Cap Appreciation Cl A                                                                                     |                                        | None                                          | J                                              | T                                         | Buy                                             | 12/12/13                | J                               |                                |                                                                   |
| 138. Prudential Fds Jennison Growth A                                                                                      | A                                      | Int./Div.                                     | J                                              | T                                         | Buy                                             | 09/10/13                | J                               |                                |                                                                   |
| 139. T Rowe Price Equity Income F Sh Ben Int                                                                               | A                                      | Int./Div.                                     | J                                              | T                                         | Buy                                             | 11/06/13                | J                               |                                |                                                                   |
| 140. Virtus Funds Real Estate Securities Cl A                                                                              | A                                      | Int./Div.                                     | J                                              | T                                         | Buy                                             | 09/20/13                | J                               |                                |                                                                   |
| 141. Am Centy Target Mats TR Zero Coupon                                                                                   | A                                      | Int./Div.                                     |                                                |                                           | Sold                                            | 03/07/13                | J                               | A                              |                                                                   |
| 142. Blackrock Pacific Cl A                                                                                                |                                        | None                                          |                                                |                                           | Sold                                            | 01/23/13                | J                               | A                              |                                                                   |
| 143.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 04/18/13                | J                               |                                |                                                                   |
| 144.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 07/12/13                | J                               | A                              |                                                                   |
| 145. Columbia High Yield Muni Z                                                                                            | A                                      | Int./Div.                                     |                                                |                                           | Sold                                            | 03/18/13                | J                               | A                              |                                                                   |
| 146. FAM Funds Value Fund                                                                                                  | A                                      | Int./Div.                                     |                                                |                                           | Sold                                            | 02/22/13                | J                               | A                              |                                                                   |
| 147. Legg Mason Clearbridge Aggressive Growth A                                                                            |                                        | None                                          |                                                |                                           | Sold                                            | 02/22/13                | J                               | A                              |                                                                   |
| 148. Loomis Sayles Fds I Inflation Protected Sec Retail                                                                    | A                                      | Int./Div.                                     |                                                |                                           | Sold                                            | 03/12/13                | J                               | A                              |                                                                   |
| 149. Lord Abbett Fundammetal Equity A                                                                                      | A                                      | Int./Div.                                     |                                                |                                           | Sold                                            | 01/23/13                | J                               | A                              |                                                                   |
| 150. Oppenheimer AMT-Free Muni Sh Ben Int A                                                                                | A                                      | Int./Div.                                     |                                                |                                           | Sold                                            | 01/31/13                | J                               | A                              |                                                                   |
| 151. Pimco Total Return Admin                                                                                              | A                                      | Int./Div.                                     |                                                |                                           | Sold                                            | 01/15/13                | J                               | A                              |                                                                   |
| 152. Pimco Long Term US Govt Fd Admin                                                                                      | A                                      | Int./Div.                                     |                                                |                                           | Sold                                            | 02/12/13                | J                               | A                              |                                                                   |
| 153. Putnam Tax Exempt Income Fd Sh Ben Int A                                                                              | A                                      | Int./Div.                                     |                                                |                                           | Sold                                            | 04/08/13                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Bade, Bridget S.

Date of Report

05/15/2014

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154. MFS Value Fund C1 A                                                                                                   | A                                      | Int./Div.                                     |                                                |                                           | Buy                                             | 01/15/13                | J                               |                                |                                                                   |
| 155.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 05/10/13                | J                               |                                |                                                                   |
| 156.                                                                                                                       |                                        |                                               |                                                |                                           | Sold<br>(part)                                  | 05/30/13                | J                               | A                              |                                                                   |
| 157.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 08/16/13                | J                               | A                              |                                                                   |
| 158. American Century Value Fund                                                                                           | A                                      | Int./Div.                                     |                                                |                                           | Buy                                             | 01/23/13                | J                               |                                |                                                                   |
| 159.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 04/18/13                | J                               | A                              |                                                                   |
| 160.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 06/11/13                | J                               |                                |                                                                   |
| 161.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 09/24/13                | J                               | A                              |                                                                   |
| 162. Oppenheimer Sers Fd Inc Value                                                                                         | A                                      | Int./Div.                                     |                                                |                                           | Buy                                             | 01/23/13                | J                               |                                |                                                                   |
| 163.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 04/18/13                | J                               | A                              |                                                                   |
| 164. T Rowe Price Value FD Inc Com                                                                                         |                                        | None                                          |                                                |                                           | Buy                                             | 02/12/13                | J                               |                                |                                                                   |
| 165.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 07/01/13                | J                               | A                              |                                                                   |
| 166.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 08/12/13                | J                               |                                |                                                                   |
| 167.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 09/20/13                | J                               | A                              |                                                                   |
| 168. Pioneer Funds Pioneer Fund C1 A                                                                                       | A                                      | Int./Div.                                     |                                                |                                           | Buy                                             | 02/22/13                | J                               |                                |                                                                   |
| 169.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 06/11/13                | J                               | A                              |                                                                   |
| 170. Snetinel Group Fds Inc Small Cap                                                                                      |                                        | None                                          |                                                |                                           | Buy                                             | 02/22/13                | J                               |                                |                                                                   |

|                                                 |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|-------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
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| 2 Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3 Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Bade, Bridget S.

Date of Report

05/15/2014

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                               | C<br>Gross value at end<br>of reporting period |                                           | D<br>Transactions during reporting period       |                         |                                 |                                |                                                                   |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 05/10/13                | J                               | A                              |                                                                   |
| 172.                                                                                                                       |                                        |                                               |                                                |                                           | Buy                                             | 06/19/13                | J                               |                                |                                                                   |
| 173.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 08/20/13                | J                               | A                              |                                                                   |
| 174. T Rowe Price Growth Stk Fd I G                                                                                        |                                        | None                                          |                                                |                                           | Buy                                             | 03/07/13                | J                               |                                |                                                                   |
| 175.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 06/06/13                | J                               | A                              |                                                                   |
| 176. American Funds Income Fund Of America                                                                                 | A                                      | Int./Div.                                     |                                                |                                           | Buy                                             | 03/12/13                | J                               |                                |                                                                   |
| 177.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 05/17/13                | J                               | A                              |                                                                   |
| 178. Columbia Convertible Securities                                                                                       | A                                      | Int./Div.                                     |                                                |                                           | Buy                                             | 03/18/13                | J                               |                                |                                                                   |
| 179.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 08/26/13                | J                               | A                              |                                                                   |
| 180. Dreyfus 100% US Treas Long Term                                                                                       | A                                      | Int./Div.                                     |                                                |                                           | Buy                                             | 04/08/13                | J                               |                                |                                                                   |
| 181.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 07/18/13                | J                               | A                              |                                                                   |
| 182. Alliance Bernstein High Income                                                                                        | A                                      | Int./Div.                                     |                                                |                                           | Buy                                             | 04/24/13                | J                               |                                |                                                                   |
| 183.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 09/03/13                | J                               | A                              |                                                                   |
| 184. American Funds Washington Mutual                                                                                      | A                                      | Int./Div.                                     |                                                |                                           | Buy                                             | 06/14/13                | J                               |                                |                                                                   |
| 185.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 08/12/13                | J                               | A                              |                                                                   |
| 186. Janus Forty Fund Class S                                                                                              |                                        | None                                          |                                                |                                           | Buy                                             | 07/09/13                | J                               |                                |                                                                   |
| 187.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 09/20/13                | J                               | A                              |                                                                   |

|                                                 |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 2 Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3 Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting

Bade, Bridget S.

Date of Report

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188. Northern Funds Small Cap Fd                                                                                           |                                        | None                                          |                                                |                                           | Buy                                             | 07/12/13                | J                               |                                |                                                                   |
| 189.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 11/05/13                | J                               | A                              |                                                                   |
| 190. T Rowe Price New Am Growth                                                                                            |                                        | None                                          |                                                |                                           | Buy                                             | 07/18/13                | J                               |                                |                                                                   |
| 191.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 09/10/13                | J                               | A                              |                                                                   |
| 192. American Century Intl Bd Fd                                                                                           |                                        | None                                          |                                                |                                           | Buy                                             | 08/12/13                | J                               |                                |                                                                   |
| 193.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 11/06/13                | J                               | A                              |                                                                   |
| 194. Franklin Convertible Secs Cl A                                                                                        | A                                      | Int./Div.                                     |                                                |                                           | Buy                                             | 07/25/13                | J                               |                                |                                                                   |
| 195.                                                                                                                       |                                        |                                               |                                                |                                           | Buy<br>(add'l)                                  | 09/09/13                | J                               |                                |                                                                   |
| 196.                                                                                                                       |                                        |                                               | J                                              | T                                         | Sold<br>(part)                                  | 12/12/13                | J                               | A                              |                                                                   |
| 197. DWS Investment Trust Core Equity                                                                                      | A                                      | Int./Div.                                     |                                                |                                           | Buy                                             | 09/10/13                | J                               |                                |                                                                   |
| 198.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 11/14/13                | J                               | A                              |                                                                   |
| 199. Goldman Sachs Tr High Yield Muni                                                                                      | A                                      | Int./Div.                                     |                                                |                                           | Buy                                             | 09/24/13                | J                               |                                |                                                                   |
| 200.                                                                                                                       |                                        |                                               |                                                |                                           | Sold                                            | 11/27/13                | J                               | A                              |                                                                   |
| 201. (H) LIFE INSURANCE POLICIES                                                                                           |                                        |                                               |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 202. Northwestern Mutual Whole Life                                                                                        | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 203. Northwestern Mutual Adjustable CompLife                                                                               | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 204. Northwestern Mutual Adjustable CompLife                                                                               | A                                      | Dividend                                      | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Bade, Bridget S.

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------------------------|------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                            | (1)<br>Amount<br>Code 1<br>(A-H)       | (2)<br>Type (e.g.,<br>div, rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 205. Northwestern Mutual Whole Life                                                                                        | A                                      | Dividend                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 206. Northwestern Mutual 65 Life                                                                                           | A                                      | Dividend                                     | J                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 207.                                                                                                                       |                                        |                                              |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 208.                                                                                                                       |                                        |                                              |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 209.                                                                                                                       |                                        |                                              |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 210.                                                                                                                       |                                        |                                              |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 211.                                                                                                                       |                                        |                                              |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 212.                                                                                                                       |                                        |                                              |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 213.                                                                                                                       |                                        |                                              |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 214.                                                                                                                       |                                        |                                              |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 215.                                                                                                                       |                                        |                                              |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 216.                                                                                                                       |                                        |                                              |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 217.                                                                                                                       |                                        |                                              |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 218.                                                                                                                       |                                        |                                              |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |
| 219.                                                                                                                       |                                        |                                              |                                                |                                           |                                                 |                         |                                 |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
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| 2 Value Codes           | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
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Name of Person

Bade, Bridget

## VIII. ADDITIONAL INFORMATION OR EXPLANATION

BBR PSP = Bade Baskin Richards Profit Sharing Plan, which is [REDACTED] for participants. [REDACTED] self-directed account is managed by two separate financial advisors. [REDACTED] is responsible for the accounts designated Beacon Pointe and Beacon Pointe outside account manager. TCS Financial is the advisor for the third account. TCS Financial provides funds to buy and/or sell and the time at which to buy or sell.

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Name of Person Reporting

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Bridget S. Bade**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544