

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cox, Susan E.	2. Court or Organization US District Court	3. Date of Report 08/08/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US Magistrate Judge -Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 219 South Dearborn Ave. Room 1334 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

Baxalta Incorporated - Salary & Bonus

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Bank	Mortgage on Rental Property #1 - Bloomingdale, MI	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. First National Bank of LaGrange Accounts	A	Interest	K	T					
2. Chase Bank	A	Interest	J	T					
3. Wells Fargo Bank Account	A	Interest	J	T					
4. US Employees Credit Union Account	A	Interest	K	T					
5. Baxter International - Exercisable Stock Options		None	P1	T					
6. Baxter International - Unvested Stock Options		None			Closed	10/09/17	J	D	
7. Baxter Int'l Common Stock	C	Dividend	M	T					
8. Shire PLC - Exercisable Stock Options		None	N	T					
9. Shire PLC - Unvested Stock Options		None	K	T					
10. Shire PLC - Common Stock	A	Dividend	M	T					
11. Edward Lifesciences Common		None	K	T					
12. Farm - Wilson County, KS (1/12th Interest)	A	Rent	K	W					
13. Rental Property #1 -Bloomington, MI. Paid \$217,000 11/25/09. Owns 50%	A	Rent	M	R					
14. SHIRE PLC (Formerly Baxalta, Inc.) 401K PLAN									
15. Stable Income Fund	C	Dividend			Sold	12/31/17	M	E	
16. S&P 500 Equity Index Fund	C	Dividend			Sold	12/31/17	M	E	
17. International EAFE Equity Index Fund	B	Dividend			Sold	12/31/17	L	E	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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18. Target Retirement Fund	C	Dividend			Sold	12/31/17	M	F	
19. Small Cap Fund	A	Dividend			Sold	12/31/17	L	E	
20. Baxter Int'l Common Stock	B	Dividend			Sold	12/31/17	L	E	
21. Shire PLC Common Stock	A	Dividend	K	T					
22. FIAM Target Date 2030 Fund	C	Int./Div.	O	T	Buy	12/31/17	O		
23. BROKERAGE ACCOUNT #1									
24. Amazon.com		None	K	T	Buy (add'l)	06/23/17	J		
25. Berkshire Hathaway Inc C1 B		None	K	T					
26. Fidelity Cash Reserves Fund	A	Interest	J	T					
27. Fidelity Floating Rate High Income	A	Int./Div.	J	T					
28. Fidelity Short Term Bond Fund	A	Interest	J	T	Sold (part)	11/21/17	J	A	
29. Fidelity Utilities ETF	A	Dividend			Sold	10/18/17	J	A	
30. General Motors Corp	B	Dividend	K	T					
31. Kohlberg Kravis Roberts	A	Dividend			Sold	06/22/17	J	A	
32. Las Vegas Sands Corp	A	Dividend	K	T					
33. Matthews Asia Dividend	A	Dividend	J	T					
34. Oakmark International	B	Dividend			Sold	06/22/17	K	C	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. S&P 500 Calls - 08/18/17		None			Open	06/22/17	J		
36.					Closed	08/17/17	J	A	
37. S&P 500 Calls - 10/20/17		None			Open	08/22/17	J		
38.					Closed	10/20/17	J	A	
39. S&P 500 Calls - 11/17/17		None			Open	10/25/17	J		
40.					Closed	11/17/17	J	A	
41. QQQ Calls - 08/18/17		None			Open	06/22/17	J		
42.					Closed	08/17/17	J	A	
43. QQQ Calls - 10/20/17		None			Open	08/22/17	J		
44.					Closed	10/20/17	J	B	
45. QQQ Calls - 11/17/17		None			Open	10/25/17	J		
46.					Closed	11/17/17	J	A	
47. Powershares QQQ ETF	A	Dividend	K	T	Buy	06/22/17	K		
48.					Sold	10/20/17	K	B	
49.					Buy	10/24/17	K		
50.					Sold	11/17/17	K	A	
51.					Buy	11/21/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. Robotics & Automation ETF	A	Dividend	J	T	Buy	06/23/17	J		
53. S&P 500 Calls - 08/18/17		None			Open	06/22/17	J		
54.					Closed	08/17/17	J	A	
55. S&P 500 Calls - 10/20/17		None			Open	08/22/17	J		
56.					Closed	10/20/17	J	A	
57. S&P 500 Calls - 11/17/17		None			Open	10/25/17	J		
58.					Closed	11/17/17	J	A	
59. S&P 500 ETF	A	Dividend	L	T	Sold	10/20/17	K	D	
60.					Buy	10/24/17	L		
61.					Sold	11/17/17	K	A	
62.					Buy	11/21/17	L		
63. Starbucks	A	Dividend	K	T					
64. Symantec Corp	A	Dividend			Sold	06/22/17	K	D	
65. BROKERAGE ACCOUNT #2									
66. Alphabet		None	K	T					
67. Berkshire Hathaway Inc C1 B		None	K	T					
68. Berwyn Income	A	Int./Div.			Sold	11/28/17	J	A	

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69. Boeing Bond 8.75% 8-15-21	A	Interest	J	T					
70. Fidelity Floating Rate High Income	A	Interest	J	T	Sold (part)	10/18/17	J	A	
71. Fidelity Muni Money Market Fund	A	Interest	J	T					
72. Fidelity New Markets Income	A	Int./Div.	J	T					
73. Fidelity Short Term Bond	A	Interest	J	T					
74. Fidelity Utilities ETF	A	Dividend	J	T	Sold (part)	06/23/17	J	A	
75. Ishares S&P US Preferred Stock Index	A	Dividend			Sold	11/28/17	J	A	
76. Ishares Treasury Inflation Protected	A	Interest	K	T	Sold (part)	07/25/17	J	A	
77. Keycorp Note 2.9% 9-15-20	A	Interest	J	T					
78. Mainstay High Yield Muni Bond	B	Interest			Sold (part)	07/25/17	J	A	
79.					Sold	11/28/17	J	A	
80. Powershares ETF Int'l Dividend	A	Dividend	J	T					
81. S&P 500 Calls - 2/17/17		None			Open	01/04/17	J		
82.					Closed	02/15/17	J		
83. S&P 500 Calls - 4/21/17		None			Open	02/21/17	J		
84.					Closed	04/21/17	J	A	
85. S&P 500 Calls - 6/16/17		None			Open	04/24/17	J		

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86.					Closed	06/15/17	J		
87. S&P 500 Calls - 8/18/17		None			Open	06/22/17	J		
88.					Closed	08/17/17	J	A	
89. S&P 500 Calls - 10/20/17		None			Open	08/22/17	J		
90.					Closed	10/20/17	J	A	
91. S&P 500 Calls - 11/17/17		None			Open	10/25/17	J		
92.					Closed	11/17/17	J	A	
93. S&P 500 ETF	A	Dividend	L	T	Sold	10/20/17	K	C	
94.					Buy	10/24/17	L		
95.					Sold	11/17/17	L	A	
96.					Buy	11/21/17	L		
97. SPDR Gold Trust		None	J	T	Sold (part)	07/25/17	J	A	
98. Target Corp	A	Dividend	J	T					
99. Vanguard Total Bond ETF	A	Interest	K	T					
100. Vanguard Wellesley Income	A	Int./Div.	K	T					
101. Wells Fargo Bond 2.55% 12-7-20	A	Interest	J	T					
102. Wells Fargo Bond 3% 1-22-21	A	Interest	J	T					

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103. BROKERAGE ACCOUNT #3									
104. Apple Inc.	A	Dividend	J	T	Sold (part)	08/24/17	J	C	
105. Fidelity Blue Chip Growth	A	Dividend	J	T					
106. Fidelity Capital & Income	A	Int./Div.	J	T	Buy	09/01/17	J		
107. Fidelity Cash Fund	A	Interest	J	T					
108. Fidelity Conservative Income	A	Int./Div.	J	T	Buy	09/01/17	J		
109. Fidelity High Income	A	Int./Div.	J	T					
110. Fidelity Select Healthcare	A	Dividend	J	T					
111. Fidelity Short Term Bond	A	Interest	J	T					
112. Fidelity Utilities ETF	A	Dividend	J	T					
113. S&P Midcap 400	A	Dividend	J	T	Sold (part)	08/24/17	J	B	
114. Vanguard Wellesley Income	A	Int./Div.	J	T					
115. BROKERAGE ACCOUNT #4									
116. Apple Inc.	A	Dividend	J	T	Sold (part)	08/24/17	J	C	
117. Fidelity Blue Chip Growth	A	Dividend	J	T	Sold (part)	08/24/17	J	A	
118. Fidelity Capital & Income	A	Int./Div.	J	T	Buy	09/01/17	J		
119. Fidelity Cash Fund	A	Interest	J	T					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Fidelity Conservative Income	A	Int./Div.	J	T	Buy	09/01/17	J		
121. Fidelity High Income Fund	A	Int./Div.	J	T					
122. Fidelity Short Term Bond	A	Interest	J	T					
123. Fidelity Utilities ETF	A	Dividend	J	T					
124. Mainstay High Yield Muni	A	Interest	J	T					
125. S&P Midcap 400	A	Dividend	J	T	Sold (part)	08/24/17	J	B	
126. BROKERAGE ACCOUNT #5									
127. Alphabet		None	K	T					
128. Amazon.com		None	K	T					
129. Amgen Inc	A	Dividend	J	T					
130. Exxon Mobil Corp	A	Dividend	J	T					
131. Facebook		None	K	T					
132. FedEx Corp	A	Dividend	J	T					
133. FedEx Corp 8% 1/19 Bond	B	Interest	K	T					
134. Fidelity Cash Reserves	A	Interest	J	T					
135. Fidelity Large Cap Growth	A	Dividend			Sold	12/19/17	J	B	
136. Fidelity New Markets Income	A	Int./Div.	J	T	Buy	12/21/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Fidelity Short Term Bond	A	Interest			Sold	12/14/17	K	A	
138. Fidelity Spartan 500 Index Inv	A	Dividend			Sold (part)	06/23/17	J	B	
139.					Sold	12/14/17	J	C	
140. Fidelity Total Emerging Markets	A	Dividend			Buy	06/23/17	K		
141.					Sold	12/19/17	K	B	
142. Fidelity Utilities ETF	A	Dividend	J	T					
143. Ford Motor Co	A	Dividend	J	T					
144. Ishares S&P US Preferred Stock	A	Dividend			Sold	06/23/17	J	A	
145. Oakmark International	A	Dividend	K	T	Buy	06/23/17	K		
146. Powershares QQQ Trust	A	Dividend	J	T	Sold (part)	06/23/17	K	D	
147. Procter & Gamble Co	A	Dividend	J	T					
148. Purefunds Cyber Security ETF	A	Dividend	J	T	Buy (add'l)	06/23/17	J		
149. Southwest Airlines	A	Dividend	K	T					
150. SPDR Financial Sector ETF	A	Dividend	J	T					
151. Union Pacific Corp	A	Dividend	K	T					
152. Vanguard Total Bond ETF	A	Interest	K	T					
153. Verizon Communications	A	Dividend			Sold	06/23/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. CUSTODIAL ACCOUNT #1									
155. First National Bank of LaGrange Account	A	Interest	K	T					
156. CUSTODIAL ACCOUNT #2									
157. First National Bank of LaGrange Account	A	Interest	J	T					
158. IL Bright Directions 529 Plan #2 - Fund 60A	B	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Susan E. Cox**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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