

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) REINHARD, PHILIP G.	2. Court or Organization NDIL - WESTERN DIVISION	3. Date of Report 05/08/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 327 SOUTH CHURCH STREET ROOM 6100 ROCKFORD, ILLINOIS 61101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	TRUSTEE	TRUST #1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2018	Illinois Judges' Retirement System & Illinois Municipal Retirement Fund - Vested. Benefits began 1/12/1996.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Illinois Judges' Retirement System	\$83,703.00
2. 2018	Illinois Municipal Retirement Fund	\$16,170.35
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018-Int.	Substitute Teacher - Harlem Consolidated School District
2. 2018	Teachers Retirement System of the State of Illinois
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regal Beloit Corporation Common Stock - Trust #1	B	Dividend	M	T	Donated (part)				
2. EQTCorp,formerly listed as Equitable Resources Common Stock - Trust #1	A	Dividend	L	T	Buy (add'l)	07/27/18	J		
3. Equitrans Midstream Corp. (spinoff from EQT Corp.)		None	L	T	Spinoff (from line 2)	11/13/18	L		
4. Vanguard - Index Total Stock Market Fund	D	Dividend	N	T					
5. Vanguard - Index Total Stock Market Fund - Trust #1	A	Dividend	K	T					
6. E-Trade Ext.Ins.Sweep Dep.-Trust #1 (formerly E-Trade ClearingLLCBrok)	A	Interest	K	T					
7. Vanguard Prime Money Market Fund	C	Dividend	M	T	Buy (add'l)	4/26/18	J		
8.					Buy (add'l)	05/07/18	J		
9.					Buy (add'l)	06/11/18	J		
10.					Buy (add'l)	11/23/18	J		
11. Vanguard Prime Money Market Fund - Trust #1	A	Dividend	K	T	Redeemed (part)	02/12/18	J		
12.					Buy (add'l)	05/08/18	J		
13.					Buy (add'l)	06/12/18	J		
14.					Buy (add'l)	11/25/18	J		
15. Gold - Krugerrands		None	M	T	Buy (add'l)	07/30/18	K		
16. Johnson & Johnson Corp. Common Stock - Trust #1	B	Dividend	L	T					
17. MGE Energy Inc. Common Stock - Trust #1	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. 3M Corp. Common Stock - Trust #1	B	Dividend	M	T	Buy (add'l)	10/24/18	J		
19. Vanguard Intermediate-term Tx. Muni bonds - Admiral	D	Interest	N	T					
20. Midland Bank Financial Accounts (formerly Alpine Bank)	A	Interest	K	T					
21. Midland Bank Financial Account - Trust #1 (formerly Alpine Bank)	A	Interest	J	T					
22. Vanguard Intermediate-term Tx. Muni bonds - Inv - Trust #1	C	Interest	M	T					
23. Abraxas Pete, Common Stock - Trust #1		None	J	T	Buy (add'l)	08/07/18	J		
24. Devon Energy Corp. Common Stock - Trust #1	A	Dividend	K	T	Buy (add'l)	02/07/18	J		
25. Ally Bank - Trust #1	C	Interest	M	T					
26. Ally Bank	C	Interest	M	T					
27. Energy Transfer LP (formerly EnergyTransfer PartnersLP Units-Trust #1)	C	Dividend	K	T					
28. Apple Inc., Common Stock - Trust #1	B	Dividend	L	T					
29. Novartis AG Common Stock - Trust #1	A	Dividend	K	T					
30. Vanguard ST Invest. Grade Fund	A	Dividend	K	T					
31. Vanguard ST Invest. Grade Fund - Trust #1	A	Dividend	K	T					
32. Polaris Global Value Fund	B	Dividend	K	T					
33. T.Rowe Price Health Sciences Fund	C	Dividend	L	T					
34. Vanguard Capital Opportunity Fund	D	Dividend	L	T	Buy (add'l)	08/03/18	K		

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35. Vanguard Healthcare Fund	C	Dividend	K	T					
36. Market Vectors ETF Tr. Jr Gold Miners ETF Trust #1	A	Dividend	K	T	Buy (add'l)	08/13/18	J		
37. Penumbra Inc., Common Stock Trust #1		None	L	T					
38. Gold Mining Inc. - Trust #1		None	J	T	Buy (add'l)	04/06/18	J		
39. Vanguard Federal Money Market Fund - Trust #1	A	Dividend	J	T	Buy (add'l)	11/21/18	J		
40. Vanguard Dividend Growth Fund Investors Shares	A	Dividend	J	T					
41. Vanguard Prime Money Market Fund	A	Dividend	K	T					
42. Ishares Nasdaq Biotechnology ETF	A	Dividend	K	T					
43. Energy Select Sector SPDR ETF	A	Dividend	J	T					
44. Vaneck Vectors Gold Miners ETF	A	Dividend	J	T					
45. Apple Inc., Common Stock	A	Dividend	L	T					
46. Brown-Forman Corporation Class B Common Stock	A	Dividend	J	T					
47. Facebook Inc., Class A Common Stock		None	K	T					
48. Netflix Inc., Common Stock	A	Dividend			Sold	11/19/18	J	A	
49. Tinka Resources Ltd., Common Stock (Y)									
50. AT & T Common Stock (2)	A	Dividend			Sold	11/19/18	J		
51. Facebook Inc., Class A Common Stock (2)		None	L	T					

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52. General Electric Co. Common Stock (2)	A	Dividend			Sold	11/19/18	J		
53. E-Trade Extended Insurance Sweep Deposit Acct. (2)	A	Dividend	K	T					
54. Apple, Inc., Common Stock (3)	A	Dividend	L	T					
55. Facebook Inc., Class A Common Stock (3)		None	J	T					
56. E-Trade Fin. Retire Sweep Dep.(formerlyE-TradeExt. Ins.SweepAcct. (3))	A	Dividend	J	T					
57. Biotime Inc.	A	Dividend	J	T					
58. Mount Tam Bio Tech.		None			Sold	11/19/18	J		
59. Washington Prime Group New	B	Dividend	J	T					
60. CBL & Associates Properties	B	Dividend			Sold	11/19/18	J		
61. Vanguard Emerging Markets Stock Index Fund - Trust #1	A	Dividend			Sold	08/03/18	K	B	
62. Vanguard High Yield Tax Exempt Fund - Trust #1	A	Dividend	K	T					
63. Vanguard International Growth Fund - Trust #1	B	Dividend	K	T	Buy (add'l)	02/12/18	J		
64. Vanguard Federal Money Market Fund	A	Dividend	J	T	Redeemed (part)	1/31/18	J		
65.					Buy (add'l)	08/06/18	K		
66. KraneShares MSCI One ETF	A	Dividend	J	T	Buy	01/29/18	J		
67. American Silver Eagle Coins		None	K	T	Buy	07/30/18	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII, Line 57 for Biotime, Inc. The dividend reported takes the form of Agex Therapeutics Inc. stock owned by Biotime, Inc., which is below the reporting threshold.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **PHILIP G. REINHARD**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544