

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Zainey, Jay C.	2. Court or Organization USDC, Eastern District of LA	3. Date of Report 02/25/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge - active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 500 Poydras Street C-455 New Orleans, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Active Participant	God's Special Children
2.	Active Participant	Homeless Experience Legal Protection (HELP) Program
3.	President	St. Andrew's Village
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Zainey, Jay C.

Date of Report

02/25/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Administrative Office	1/4/2017 - 1/6/2017	Atlanta, GA	Meeting of U.S. Judicial Conference or committees	Travel
2. Bar Group, Bar Organization, or Bar Association	3/31/2017 - 4/2/2017	Point Clear, AL	Activity of professional association or civic organization	Travel
3. Judicial Conference, Conference Committee, or Subcommittee	5/8/2017 - 5/10/2017	Grapevine, TX	Circuit judicial conference	Travel
4. Federal Judicial Center	5/15/2017 - 5/19/2017	Berkley, CA	FJC educational seminar	Travel

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Zainey, Jay C.

Date of Report

02/25/2019

5.	Judicial Conference, Conference Committee, or Subcommittee	5/30/2017 - 6/1/2017	Washington, DC	Meeting of U.S. Judicial Conference or committees	Travel
6.	Bar Group, Bar Organization, or Bar Association	6/6/2017 - 6/11/2017	Sandestin, FL	Activity of professional association or civic organization	Travel
7.	Judicial Conference, Conference Committee, or Subcommittee	10/30/2017 - 10/31/2017	Grapevine, TX	Meeting of U.S. Judicial Conference or committees	Travel

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Zainey, Jay C.

Date of Report

02/25/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Whitney Bank (Previously First NBC Bank)	Continuing Guarantee regarding loan to St. Andrew's Village	M
2.	Whitney Bank (Previously First NBC Bank)	Line of Credit	L
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Zainey, Jay C.

Date of Report

02/25/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Rental Property #1, Metairie, LA - \$383,500	E	Rent	N	S					
2. Rental Property #2, New Orleans, LA - \$265,500	D	Rent	N	S					
3. Rental Property #3, New Orleans, LA - \$290,800	E	Rent	N	S					
4. JP Morgan - Cash Accounts (3)	A	Interest	J	T					
5. Capital One - Cash Accounts (3)		None	J	T					
6. First NBC Bank - Cash Accounts (3)		None	J	T	Merged (with line 29)	04/28/17			
7. Sun Life Financial - Fixed Premium Whole Life #1	A	Interest	M	T					
8. Sun Life Financial - Fixed Premium Whole Life #2	A	Interest	K	T					
9. Sun Life Financial - Fixed Premium Whole Life #3	A	Interest	J	T					
10. Transamerica Life Ins Co - Fixed Retirement Annuity	D	Interest	N	T					
11. Delaware Life Ins Co - Fixed Retirement Annuity #1	C	Interest	M	T					
12. Jackson National Life Ins. - Inherited IRA - Beneficial Payment	B	Distribution	J	T	Closed	12/31/17	J		
13. Jackson National Life Ins. - Fixed Retirement Annuity #2	B	Interest	K	T					
14. Jackson National Life Ins. - Fixed Retirement Annuity #3	B	Interest	K	T					
15. Jackson National Life Ins. - Fixed Retirement Annuity #4	B	Interest	K	T					
16. Jackson National Life Ins. - Fixed Retirement Annuity #5	B	Interest	K	T					
17. Jackson National Life Ins. - Fixed Retirement Annuity #6	A	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Zainey, Jay C.

Date of Report

02/25/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Jackson National Life Ins. - Fixed Retirement Annuity #7	B	Interest	K	T					
19. Jackson National Life Ins. - Fixed Retirement Annuity #8	B	Interest	K	T					
20. Jackson National Life Ins. - Fixed Retirement Annuity #9	A	Interest	J	T					
21. IRA #1 - State Street Bank & Trust - JP Morgan 1138/Diversified Fund-A	D	Interest	L	T					
22. IRA #2 - State Street Bank & Trust - JP Morgan 1138/Diversified Fund-A	B	Interest	K	T					
23. Sun Life Financial - Common Stock	A	Dividend	K	T					
24. Khoder International Brokerage, LLC - inactive		None	J	T					
25. Jackson National Life Ins. - Fixed Retirement Annuity #10	B	Interest	J	T					
26. Jackson National Life Ins. - Fixed Retirement Annuity #11	A	Interest	K	T					
27. Jackson National Life Ins. - Fixed Retirement Annuity #12	A	Interest	J	T					
28. Jackson National Life Ins. - Fixed Retirement Annuity #13	B	Interest	K	T					
29. Whitney Bank - Cash Accounts (3)	B	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Zainey, Jay C.

Date of Report

02/25/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

In Part VII, page 5, line 24, "Khoder International Brokerage, LLC" is not a stock brokerage company. It is an LLC formed by several potential partners. There is no business, no assets, no debt, no employees and has never been active. This company remains inactive.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Zainey, Jay C.

Date of Report

02/25/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jay C. Zainey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544